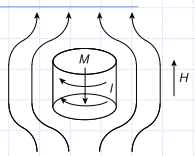


Superconductivity

Zero resistance Meissner effect (Perfect diamagnetism)



$$B = H + 4\pi M \text{ (cgs)} \quad B = \mu_0(H + M) \text{ (MKS)}$$

$$\rightarrow B = 0 \text{ Complete screening of the magnetic field.}$$

$$M = -1/4\pi H \quad M = -H$$

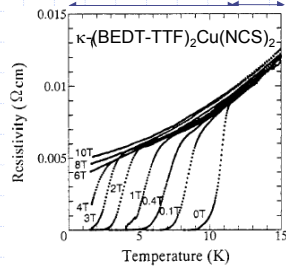
$$\text{or } \chi = -1/4\pi \quad \chi = -1$$

Zero resistance is a requisite of the perfect diamagnetism.

Only zero resistance $\rightarrow$ Cooled from $T > T_c$ to $T < T_c \rightarrow$ No diamagnetism

Perfect diamagnetism is a stronger condition than perfect conductance.

Superconductivity Metal



London equation

Equation of motion

$$m^* \frac{\partial \mathbf{v}}{\partial t} = e^* \mathbf{E} \quad \xrightarrow{J = nse^* \mathbf{v}} \quad \frac{\partial \mathbf{J}}{\partial t} = \frac{n_s e^{*2}}{m^*} \mathbf{E} \quad \xrightarrow{\nabla \times} \quad \nabla \times \mathbf{E} = -\frac{1}{c} \frac{\partial \mathbf{B}}{\partial t} \quad [\text{MKS: } c \rightarrow 1]$$

$$\nabla \times \frac{\partial \mathbf{J}}{\partial t} = \frac{n_s e^{*2}}{m^*} \nabla \times \mathbf{E} = \frac{n_s e^{*2}}{cm^*} \left(-\frac{\partial \mathbf{B}}{\partial t} \right) \quad \rightarrow \quad \frac{\partial}{\partial t} \left(\nabla \times \mathbf{J} + \frac{n_s e^{*2}}{cm^*} \mathbf{B} \right) = 0$$

We shall assume () is always zero in a superconductor.

$$\nabla \times \mathbf{J} = -\frac{1}{c\Lambda} \mathbf{B} \quad \text{where } \Lambda = m^* / n_s e^{*2} \quad (\text{London equation})$$

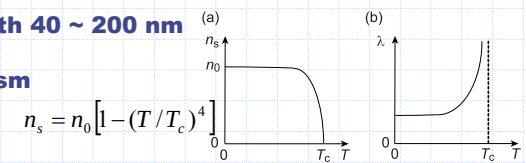
$$\nabla \times \mathbf{B} = \frac{4\pi}{c} \mathbf{J} \quad \xrightarrow{\nabla \times} \quad \lambda^2 \nabla^2 \mathbf{B} = \mathbf{B} \quad \text{where } \lambda^2 = \frac{c^2 m^*}{4\pi n_s e^{*2}}$$

$\nabla \times$ In a superconductor, $\mathbf{B}$ decays like $B = H \exp(-x/\lambda)$
 $\lambda^2 \nabla^2 \mathbf{J} = \mathbf{J}$ Similarly, $\mathbf{J}$ decays like $\mathbf{J} = \mathbf{J}_0 \exp(-x/\lambda)$

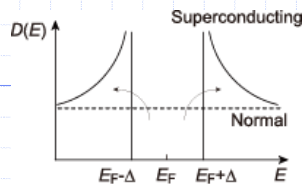
λ : Penetration depth 40 ~ 200 nm

Perfect diamagnetism

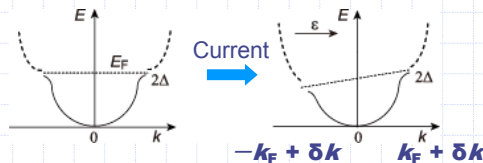
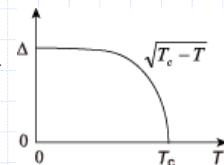
London equation



Superconductor has an energy gap.



$$\Delta \propto \sqrt{T_c - T}$$

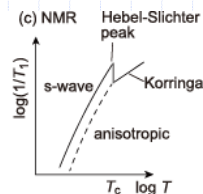
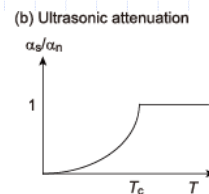
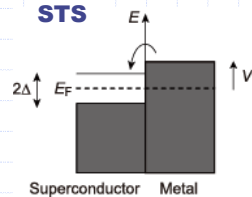


Standing wave

Gap moves

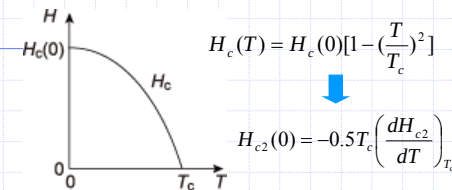
Zero resistance

Gap appears in STS



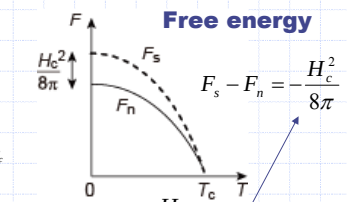
Critical field

Magnetic field destroys superconductivity.



Magnetization energy $dE = M dH$

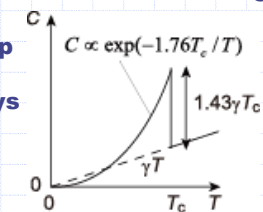
Perfect diamagnetism $M = -1/4\pi H$



Superconducting transition: 2nd order @ $H=0$
 1st order @ $H \neq 0$

Superconducting gap

Heat capacity decays



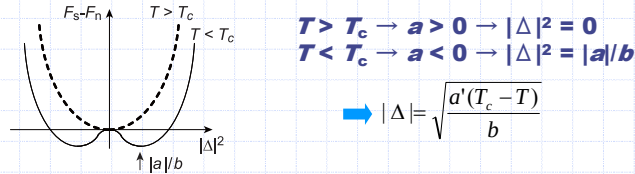
Ginzburg-Landau Expansion

Near T_c , Free energy is expanded by Δ

$$F_s - F_n = a |\Delta|^2 + \frac{b}{2} |\Delta|^4 + \dots \quad \text{Ginzburg-Landau expansion}$$

Δ that minimizes F

$$\frac{\partial(F_s - F_n)}{\partial |\Delta|^2} = a + b |\Delta|^2 = 0 \quad \text{and assume } a = a'(T - T_c)$$

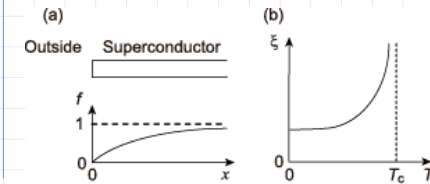


Rewrite GL expansion using $\psi = (\sqrt{2m}/\hbar^2)\Delta$ and adding kinetic energy and magnetization energy

$$F_s - F_n = \alpha |\psi|^2 + \frac{\beta}{2} |\psi|^4 + \frac{1}{2m^*} \left| \left(\hbar \nabla - \frac{e^*}{c} \mathbf{A} \right) \psi \right|^2 + \frac{H^2}{8\pi}$$

$$\delta \psi^* \rightarrow \alpha \psi + \beta |\psi|^2 \psi + \frac{1}{2m^*} \left(\hbar \nabla - \frac{e^*}{c} \mathbf{A} \right)^2 \psi = 0$$

$$\frac{\psi = (|\alpha|/\beta)^{1/2} f}{\xi^2 = \hbar^2 / 2m^* |\alpha|} \rightarrow \xi^2 \frac{d^2 f}{dx^2} + f - f^3 = 0 \rightarrow f = \tanh\left(\frac{x}{\sqrt{2}\xi}\right)$$



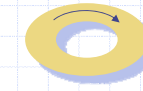
ξ : Coherence length 2~200 nm
 How quick SC is restored.

$$\xi \propto \left(1 - \frac{T}{T_c}\right)^{-1/2} \leftarrow \alpha \propto (T - T_c)$$

SC is not restored at T_c .

$$\delta \mathbf{A} \rightarrow \mathbf{J} = \frac{\hbar e^*}{2m^* i} (\psi^* \nabla \psi - \psi \nabla \psi^*) - \frac{e^*}{cm^*} \psi^* \psi \mathbf{A}$$

$$\psi = \psi_0 e^{i\theta} \rightarrow \mathbf{J} = \frac{\hbar e^*}{m^*} |\psi|^2 \nabla \theta - \frac{e^*}{cm^*} |\psi|^2 \mathbf{A} \xrightarrow{\text{X 1st term}} \text{London equation}$$



Stokes theorem
 Ring current $\oint \nabla \theta dl = 2\pi m \oint \mathbf{A} dl = \int \nabla \times \mathbf{A} dl = \int \mathbf{H} dS = \Phi$
 $\frac{m^* c}{e^*} \oint \mathbf{J} dl + \Phi = n \Phi_0 \quad \Phi_0 = \frac{2\pi \hbar c}{e^*} = \frac{hc}{e^*} = \frac{hc}{2e} = 2 \times 10^{-7} \text{ Gauss/cm}^2$

Magnetic flux: H created by a Cooper pair

Type II Superconductor

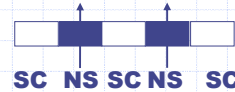
λ : magnetic field is screened

ξ : SC gap is restored

SC has $H_c^2 / 8\pi$ lower energy

$$\rightarrow H_c^2 (\xi - \lambda) / 8\pi \quad \text{Surface energy}$$

$\xi > \lambda$: Positive surface energy $\rightarrow$ No $\Phi \rightarrow$ Type I superconductor



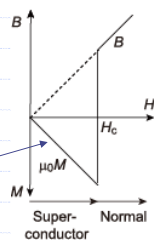
Infinite SC Plate $\rightarrow H$ has to pass
 $\rightarrow$ Intermediate state
 (Domains of SC and Non SC regions)

$\xi < \lambda$: Negative surface energy $\rightarrow \Phi \rightarrow$ Type II superconductor

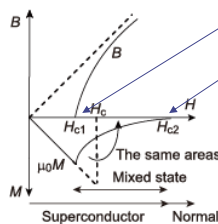


Many fluxes $\rightarrow$ Mixed state

(a) Type-I Superconductor



(b) Type-II Superconductor



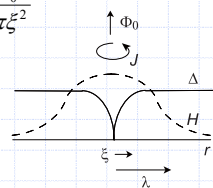
$$H_{c1} = \frac{\Phi_0}{4\pi\lambda^2} \ln \frac{\lambda}{\xi}$$

$$H_{c2} = \frac{\Phi_0}{2\pi\xi^2}$$

$$H_{c1} = H_c / \sqrt{2}\kappa$$

$$H_{c2} = \sqrt{2}\kappa H_c$$

$$\kappa = \lambda / \xi$$



Material	T_c /K	ξ /nm	λ /nm	$\kappa = \xi/\lambda$	2Δ /K	$2\Delta/k_B T_c$
Al	1.18	1550	45	0.03	4.1	3.5
Pb	7.2	87	39	0.48	31	4.3
Nb	9.25	39	52	1.3	35	3.8
NbN	16	5	200	40	59	3.7
Nb ₃ Sn	18	3	65	22	77	4.3
Nb ₃ Ge	23.2	3	90	30	77	4.3
PbMo ₆ S ₈	14	2.2	215	98	97	4.2
LiTi ₂ O ₄	11				42	3.8
La _{2-x} Sr _x CuO ₄	37	2	200	100	151	4.1
YBa ₂ Cu ₃ O ₇	89	// 3.4	36	11	348	4.0
		$\perp$ 0.7	125	179		
Tl ₂ Ba ₂ Ca ₂ Cu ₃ O ₁₀	123	//	173		510	4.1
		$\perp$	480			
HgBa ₂ Ca ₂ Cu ₃ O ₈	133	// 1.5	130	87	557	4.2
		$\perp$ 0.19	3500	1.8×10^4		
MgB ₂	39	// 6.5	100	15	140	3.6
		$\perp$ 2.5				
SmFeAsO _{1-x} F _x	55		200		100	

Type I

Type II

Material	T_c /K	ξ /nm	λ /nm	$\kappa = \xi/\lambda$	2Δ /K	$2\Delta/k_B T_c$
K ₃ C ₆₀	19	2.6	240	92	57	3.6
Rb ₃ C ₆₀	29.6	2	247	124	87	3.0
(TMTSF) ₂ C ₁₀ O ₄	1.2	a 77			3.8	3.2
		b 36				
		c 2				
κ -(ET) ₂ Cu(NCS) ₂	10.4	// 7	1400	200	30	2.9
		$\perp$ 0.5	40000	8×10^4		
κ -(ET) ₂ Cu[N(CN) ₂]Br	11.3	// 3.7	1500	400	57	5.0
		$\perp$ 0.6	38000	6×10^4		

Type II

Pauli Limit

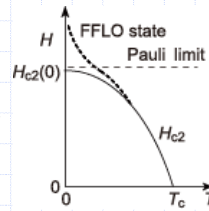
$$\Delta < \text{Zeemann energy} \rightarrow \Delta = \sqrt{2} \mu_B H_{c2} \rightarrow 2\Delta = 3.53 k_B T_c$$

$$H_{c2} < (1.86 \text{ T/K}) T_c$$

$$H_{c2}(0) = -0.5 T_c \left(\frac{dH_{c2}}{dT} \right)_{T_c} \rightarrow H_{c2}(0) = -0.69 T_c \left(\frac{dH_{c2}}{dT} \right)_{T_c}$$

+ Spatially inhomogeneous superconductivity

FFLO (Fulde-Ferrell- Larkin-Ovchinnikov) state



Fourier transform of the Coulomb repulsion

$$V(r) = \frac{e^2}{4\pi\epsilon_0 r} \rightarrow V(k) = \int V(r) e^{ikr} \frac{dk}{(2\pi)^3} = \frac{e^2}{\epsilon_0 k^2}$$

Yukawa potential

$$V(r) = \frac{e^2}{4\pi\epsilon_0 r} e^{-k_0 r} \rightarrow V(k) = \frac{e^2}{\epsilon_0 (k^2 + k_0^2)}$$

Plasma oscillation → Exchanging electrons and ions

$$\epsilon(\omega) = 1 - \frac{\Omega_p^2}{\omega^2} \text{ where } \Omega_p = \frac{n Z^2 e^2}{\epsilon M}$$

$$\rightarrow V(k) = \frac{e^2}{\epsilon_0 (k^2 + k_0^2)} \frac{\omega^2}{\omega^2 - \Omega_p^2}$$

→ Attraction for $\omega < \Omega_p \sim$ Debye temperature

Electrons in ion⁺ feel attraction @ low energy
due to retarded screening of the ions.

→ Cooper pairs → Superconductivity

Bardeen-Cooper-Schrieffer Theory

Interacting electrons

$$H = \sum_{i \neq j} t_{ij} c_{i\sigma}^\dagger c_{j\sigma} + \sum_{k,k'} V_{k,k'} c_{k\uparrow}^\dagger c_{-k'\downarrow}^\dagger c_{-k\downarrow} c_{k'\uparrow}$$

Mean field for $\langle c_{k\uparrow}^\dagger c_{-k\downarrow}^\dagger \rangle \rightarrow$ Cooper pairs [not for $\langle n_{i\sigma} \rangle = \langle c_{i\sigma}^\dagger c_{i\sigma} \rangle$]

$$V c_{k\uparrow}^\dagger c_{-k'\downarrow}^\dagger c_{-k\downarrow} c_{k'\uparrow} = V \langle c_{k\uparrow}^\dagger c_{-k'\downarrow}^\dagger \rangle c_{-k\downarrow} c_{k'\uparrow} + V c_{k\uparrow}^\dagger c_{-k'\downarrow}^\dagger \langle c_{-k\downarrow} c_{k'\uparrow} \rangle - V \langle c_{k\uparrow}^\dagger c_{-k'\downarrow}^\dagger \rangle \langle c_{-k\downarrow} c_{k'\uparrow} \rangle$$

Ground state

$$|BCS\rangle = \prod_k (\cos \theta_k + \sin \theta_k c_{k\uparrow}^\dagger c_{-k\downarrow}^\dagger) |0\rangle \quad \text{cf.} \quad |Normal\rangle = \prod_k c_{k\uparrow}^\dagger c_{-k\downarrow}^\dagger |0\rangle$$

Using

$$\Delta_k = - \sum_{k'} V_{k,k'} \langle c_{-k'\downarrow} c_{k'\uparrow} \rangle$$

$$\Delta_k^* = - \sum_{k'} V_{k,k'} \langle c_{k'\uparrow}^\dagger c_{-k'\downarrow}^\dagger \rangle$$

$$\sin \theta_k = 1 \text{ for } k < k_F$$

$$\sin \theta_k = 0 \text{ for } k > k_F$$

$$\rightarrow H = 2 \sum_k \xi_k c_{k\sigma}^\dagger c_{k\sigma} - \sum_k (\Delta_k^* c_{-k\downarrow}^\dagger c_{k\uparrow}^\dagger + \Delta_k c_{k\uparrow}^\dagger c_{-k\downarrow}^\dagger - \Delta_k \langle c_{k\uparrow}^\dagger c_{-k\downarrow}^\dagger \rangle) \quad \xi_k = E(k) - \mu$$

Diagonalization (Bogoliubov transformation)

$$c_{k\uparrow}^\dagger = \cos \theta_k \gamma_{k\uparrow}^\dagger + \sin \theta_k \gamma_{-k\downarrow}^\dagger \quad c_{k\uparrow} = \cos \theta_k \gamma_{k\uparrow} + \sin \theta_k \gamma_{-k\downarrow}$$

$$c_{-k\downarrow}^\dagger = \cos \theta_k \gamma_{-k\downarrow}^\dagger - \sin \theta_k \gamma_{k\uparrow}^\dagger \quad c_{-k\downarrow} = \cos \theta_k \gamma_{-k\downarrow} - \sin \theta_k \gamma_{k\uparrow}$$

Creation and annihilation operators are "hybridized".

Nondiagonal term:

$$(2\xi_k \sin \theta_k \cos \theta_k + \Delta_k^* \sin^2 \theta_k - \Delta_k \cos^2 \theta_k) (\gamma_{k\uparrow}^\dagger \gamma_{-k\downarrow}^\dagger + \gamma_{-k\downarrow} \gamma_{k\uparrow})$$

is zero for

$$\tan 2\theta_k = \frac{\Delta_k}{\xi_k} \rightarrow \cos^2 2\theta_k = \frac{1}{1 + \tan^2 2\theta_k} = \frac{\xi_k^2}{\xi_k^2 + \Delta_k^2}$$

$$\rightarrow \cos^2 \theta_k = \frac{1 + \cos 2\theta_k}{2} = \frac{1}{2} \left(1 + \frac{\xi_k}{\sqrt{\xi_k^2 + \Delta_k^2}} \right) \quad \sin^2 \theta_k = \frac{1}{2} \left(1 - \frac{\xi_k}{\sqrt{\xi_k^2 + \Delta_k^2}} \right)$$

Diagonal term:

$$\xi_k (\cos^2 \theta_k - \sin^2 \theta_k) + 2\Delta_k \cos \theta_k \sin \theta_k \rightarrow E = \sum_k \sqrt{\xi_k^2 + \Delta_k^2}$$

Bogoliubov trans. to Δ_k

$$\Delta_k = - \sum_{k'} V_{k,k'} \sin \theta_{k'} \cos \theta_{k'} (1 - \langle \gamma_{-k'\downarrow}^\dagger \gamma_{-k'\downarrow} \rangle - \langle \gamma_{k'\uparrow}^\dagger \gamma_{k'\uparrow} \rangle)$$

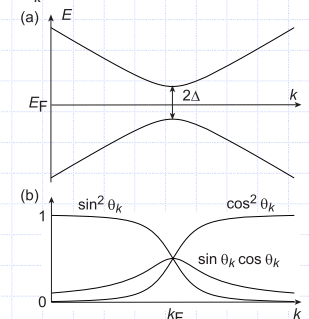
$$\leftarrow \gamma_{-k'\downarrow}^\dagger \gamma_{-k'\downarrow} = 1 - \gamma_{-k'\downarrow}^\dagger \gamma_{-k'\downarrow} \rightarrow 0$$

$$\langle \gamma_{-k'\downarrow}^\dagger \gamma_{k'\uparrow} \rangle > \langle \gamma_{k'\uparrow}^\dagger \gamma_{-k'\downarrow} \rangle \rightarrow 0$$

Here $\sin \theta_{k'} \cos \theta_{k'} = (1/2) \sin 2\theta_{k'} = \Delta_{k'}/2\sqrt{\xi_{k'}^2 + \Delta_{k'}^2}$

$$\langle \gamma_{-k'\downarrow}^\dagger \gamma_{-k'\downarrow} \rangle > \langle \gamma_{k'\uparrow}^\dagger \gamma_{k'\uparrow} \rangle \rightarrow$$

$$f(E) = 1/(\exp(E/k_B T) + 1)$$



Gap equation (determines the gap.)

$$\Delta_k = -\sum_{k'} V_{k,k'} \frac{\Delta_{k'}}{2\sqrt{\xi_{k'}^2 + \Delta_{k'}^2}} (1 - 2f(E))$$

$$V_{k,k'} \rightarrow -V \quad \begin{matrix} \xi < \omega_D \\ \xi > \omega_D \end{matrix} \rightarrow \begin{matrix} \Delta_k \\ 0 \end{matrix} \quad \begin{matrix} \xi < \omega_D \\ \xi > \omega_D \end{matrix} \rightarrow \Delta = V \sum_{k'} \frac{\Delta}{2\sqrt{\xi_{k'}^2 + \Delta^2}}$$

$$\rightarrow 1 = V \int_0^{\hbar\omega_D} \frac{Dd\xi}{\sqrt{\xi^2 + \Delta^2}} = VD \sinh^{-1} \frac{\hbar\omega_D}{\Delta} \rightarrow \Delta = 2\hbar\omega_D \exp(-1/VD)$$

$$1 - 2f(E) = \tanh(E/2) \rightarrow \frac{1}{DV} = \int_0^{\hbar\omega_D} \frac{\tanh(\sqrt{\xi^2 + \Delta^2}/2k_B T)}{\sqrt{\xi^2 + \Delta^2}} d\xi \rightarrow \frac{2\Delta}{k_B T_c} = \frac{2\pi}{e} = 3.53$$

$$\Delta \rightarrow 0 @ T_c \quad k_B T_c = 1.13\hbar\omega_D \exp(-1/VD)$$

$$\Delta_k \quad \begin{matrix} \text{Isotropic} \\ \text{Anisotropic} \end{matrix} \quad \begin{matrix} \text{s-wave Singlet} \\ \text{Possible for } V > 0 \text{ (Repulsion)} \\ \text{p-wave Triplet} \\ \text{d}_{x^2-y^2}\text{-wave} \end{matrix} \quad \begin{matrix} \uparrow\downarrow \\ \uparrow\uparrow \\ \uparrow\downarrow \end{matrix}$$

To investigate anisotropic SC, $\Delta \rightarrow 0$ in $\sqrt{\xi^2 + \Delta^2}$

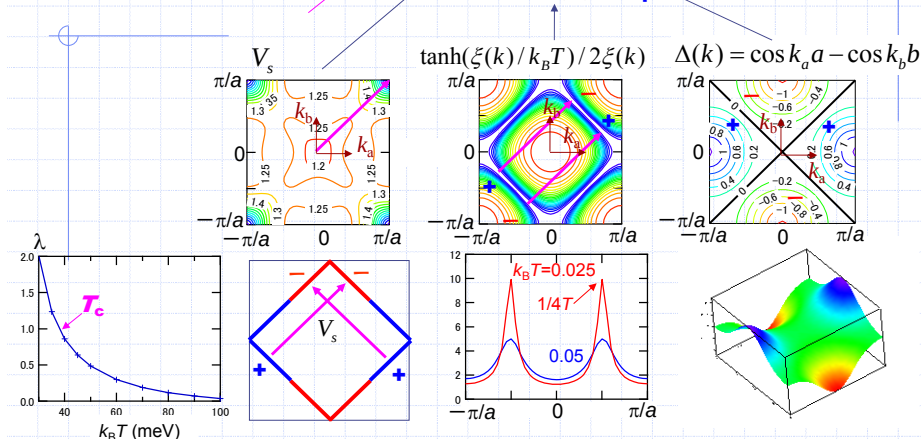
$$\lambda \Delta(k) = -\sum_{k'} V_s(k-k') \frac{\tanh(\xi(k')/2k_B T)}{2\xi(k')} \Delta(k') \quad \lambda \rightarrow 1 @ T_c$$

Linearized gap equation of Eliashberg equation

Half-filled square lattice

Eliashberg equation

$$\lambda \Delta(k) = -\sum_{k'} V_s(k-k') \frac{\tanh(\xi(k')/2k_B T)}{2\xi(k')} \Delta(k')$$



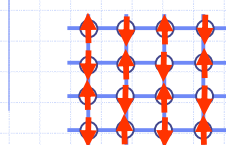
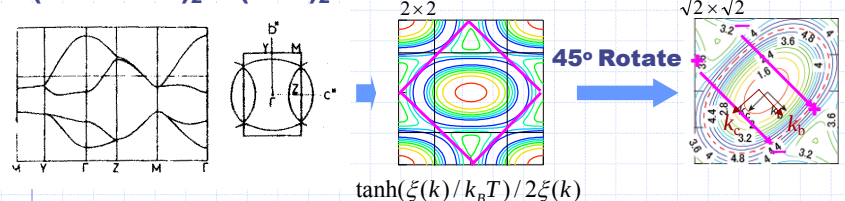
V_s connects $+\Delta(k')$ and $-\Delta(k)$

→ Attracting SC ($\lambda > 0$) from Repulsive $V_s > 0$

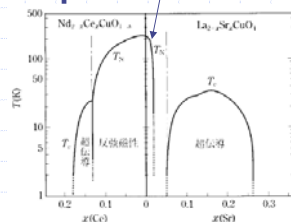
SDW happens prior to SC in the ordinary square lattice.

In cuprates, doping → SC instead of AF.

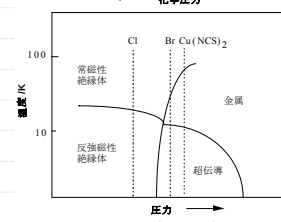
κ -(BEDT-TTF)₂Cu(NCS)₂



Cuprates



K-Phase

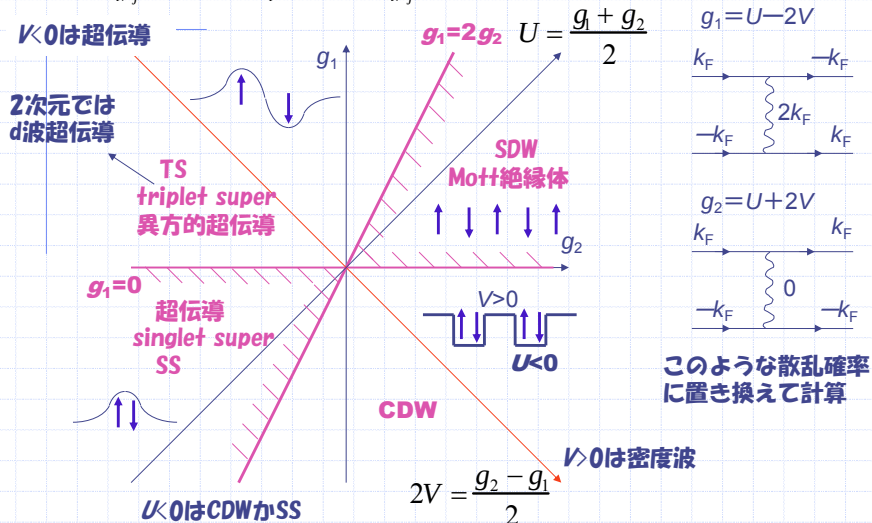


SC bordering on AF

$d_{x^2-y^2}$ -wave SC due to spin fluctuation

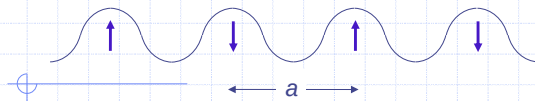
拡張Hubbardモデルの1次元で基底状態 (g-ology)

$$H = \sum_{i \neq j} t_{ij} a_i^\dagger a_j + U \sum_i n_{i\uparrow} n_{i\downarrow} + V \sum_{i \neq j} n_i n_j$$



このような散乱確率に置き換えて計算

Hartree-Fock Approximation of the Hubbard Model



1D Half-filled metal → Antiferromagnetic Insulator (Spin Density Wave)

Hubbard model

$$H = \sum_i t c_{i\sigma}^+ c_{i+1\sigma} + \sum_i U n_{i\uparrow} n_{i\downarrow}$$

Insert $c_{i\sigma} = \sum_k e^{ikx} c_{k\sigma}$ **in** $\sum_i t c_{i\sigma}^+ c_{i+1\sigma}$

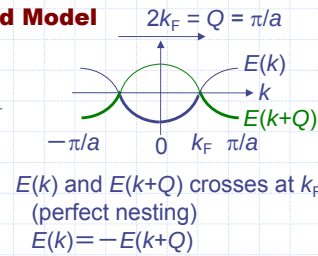
$$\sum_i t \left(\sum_k e^{-ikx} c_{k\sigma}^+ \right) \left(\sum_{k'} e^{ik'(x+a)} c_{k'\sigma} \right) = t (e^{-ika} + e^{ika}) c_{k\sigma}^+ c_{k\sigma} = (2t \cos ka) n_{k\sigma}$$

Mean-field approximation to the 2nd term

$$U n_{\uparrow} n_{\downarrow} = U \langle n_{\uparrow} \rangle \langle n_{\downarrow} \rangle + U n_{\uparrow} \langle n_{\downarrow} \rangle - U \langle n_{\uparrow} \rangle \langle n_{\downarrow} \rangle$$

Using $\langle n_{\uparrow} \rangle = \frac{n+m}{2}$ $\langle n_{\downarrow} \rangle = \frac{n-m}{2}$ (**← Stoner model**)

$$U n_{\uparrow} n_{\downarrow} = U \frac{n+m}{2} \frac{n-m}{2} + U \frac{n-m}{2} \frac{n+m}{2} - U \frac{n^2 - m^2}{4} = \text{constant}$$



$E(k)$ and $E(k+Q)$ crosses at k_F
(perfect nesting)
 $E(k) = -E(k+Q)$

$$i \rightarrow k \quad n_{i\sigma} = \left(\sum_k e^{-ikx} c_{k\sigma}^+ \right) \left(\sum_{k'} e^{ik'x} c_{k'\sigma} \right) = c_{k\sigma}^+ c_{k+Q\sigma}$$

$\lambda_{\sigma} = \pm 1$ for $\uparrow$ and $\downarrow$

In summary

$$H = \sum_k \left[E(k) c_{k\sigma}^+ c_{k\sigma} + E(k+Q) c_{k+Q\sigma}^+ c_{k+Q\sigma} - \frac{Um\lambda_{\sigma}}{2} (c_{k+Q\sigma}^+ c_{k\sigma} + c_{k\sigma}^+ c_{k+Q\sigma}) \right] + \frac{U(n^2 + m^2)}{4}$$

In order to diagonalize the nondiagonal term containing U , we put

$$c_{k\sigma} = \cos \phi_k a_{k\sigma} - \sin \phi_k b_{k\sigma}$$

[a, b : annihilation operators of A, B sublattices]

$$c_{k+Q\sigma} = \sin \phi_k a_{k\sigma} + \cos \phi_k b_{k\sigma}$$

The nondiagonal term becomes

$$\left[-(E(k) - E(k+Q)) \cos \phi_k \sin \phi_k + \frac{Um}{2} (\cos^2 \phi_k - \sin^2 \phi_k) \right] (a_{k\sigma}^+ b_{k\sigma} + b_{k\sigma}^+ a_{k\sigma})$$

ϕ_k that makes this zero is $\tan 2\phi_k = \frac{Um}{E(k) - E(k+Q)}$

$$\rightarrow \cos^2 2\phi_k = \frac{1}{1 + \tan^2 2\phi_k} = \frac{(E(k) - E(k+Q))^2}{(E(k) - E(k+Q))^2 + (Um)^2}$$

$$\rightarrow \cos^2 \phi_k = \frac{1 + \cos 2\phi_k}{2} = \frac{1}{2} \left(1 + \frac{E(k) - E(k+Q)}{\sqrt{(E(k) - E(k+Q))^2 + (Um)^2}} \right)$$

$$\sin^2 \phi_k = 1 - \cos^2 \phi_k = \frac{1}{2} \left(1 - \frac{E(k) - E(k+Q)}{\sqrt{(E(k) - E(k+Q))^2 + (Um)^2}} \right)$$

The diagonal term becomes

$$(E(k) \cos^2 \phi_k + E(k+Q) \sin^2 \phi_k + 2Um \cos \phi_k \sin \phi_k) a_{k\sigma}^+ a_{k\sigma}$$

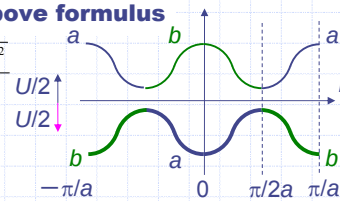
$$+ (E(k) \cos^2 \phi_k + E(k+Q) \sin^2 \phi_k - 2Um \cos \phi_k \sin \phi_k) b_{k\sigma}^+ b_{k\sigma}$$

$\cos^2 \theta_k$, $\sin^2 \phi_k$ are inserted in the above formulas

$$W(k) = \frac{E(k) + E(k+Q) \pm \sqrt{(E(k) - E(k+Q))^2 + (mU)^2}}{2}$$

$$E(k) = -E(k+Q) \rightarrow$$

$$W(k) = \frac{1}{2} \sqrt{(E(k) - E(k+Q))^2 + (mU)^2}$$



Gap opens for small U

→ Antiferromagnetic insulator (SDW)

BZ half → 2x lattice → Periodicity of $\uparrow$ and $\downarrow$

