

A man in a grey blazer and light-colored shirt stands next to a display of papers. The papers appear to be technical documents or research papers, with some text visible but mostly illegible due to blurring. The man is holding a small object in his right hand.

2018 2Q Wireless Communication Engineering

#9 Error Correction Coding

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Course Schedule (2)

	Date	Text	Contents
#9	July 9	4.6	Error correction coding
	June 12		No class
#10	July 19		Adaptive modulation coding
#11	July 23	4.3	Inter symbol interference and adaptive equalizer
#12	July 26	3.5	Orthogonal frequency division multiplexing (OFDM)
#13	July 30		Array signal processing and MIMO communications
#14	Aug 2		Collaborative exercise for better understanding 2
#15	TBD	All	Final examination

From Previous Lecture

■ Error rate in fading channel

$$p_{\text{eb}}(\gamma) = \frac{1}{2} \text{erfc}(\sqrt{\gamma}) \quad \Rightarrow \quad \bar{p}_{\text{eb}}(\bar{\gamma}) = \frac{1}{2} \left(1 - \sqrt{\frac{\bar{\gamma}}{1 + \bar{\gamma}}} \right)$$

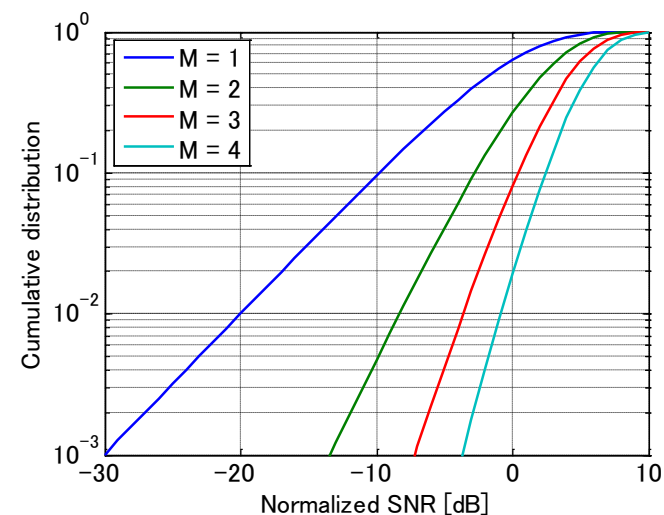
■ Antenna signal processing

$$\mathbf{y} = \mathbf{h}s + \mathbf{n}$$

$$\hat{s} = \mathbf{w}^H \mathbf{y} = \mathbf{w}^H \mathbf{h}s + \mathbf{w}^H \mathbf{n}$$

■ Performance of MRC diversity

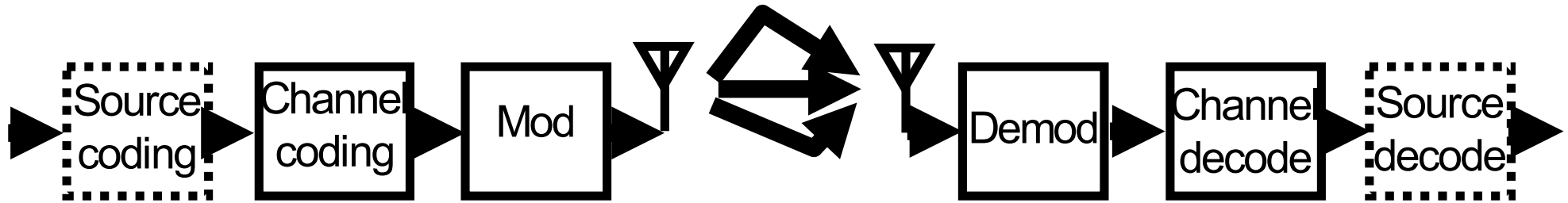
$$\gamma_{\text{opt}} = \frac{|\mathbf{h}|^2 P}{\sigma^2} = \frac{\sum_{i=1}^M |h_i|^2 P}{\sigma^2} = \sum_{i=1}^M \gamma_i \quad \Rightarrow \quad f(\gamma) = \frac{1}{(M-1)! \bar{\gamma}^M} \gamma^{M-1} \exp\left(-\frac{\gamma}{\bar{\gamma}}\right)$$



Contents

- Structure of channel coding
- Block coding
- Convolutional coding
- Viterbi decoder (hard decision)
- Viterbi decoder (soft decision)
- Puncture & eraser
- Fading & interleaver

Source Coding & Channel Coding



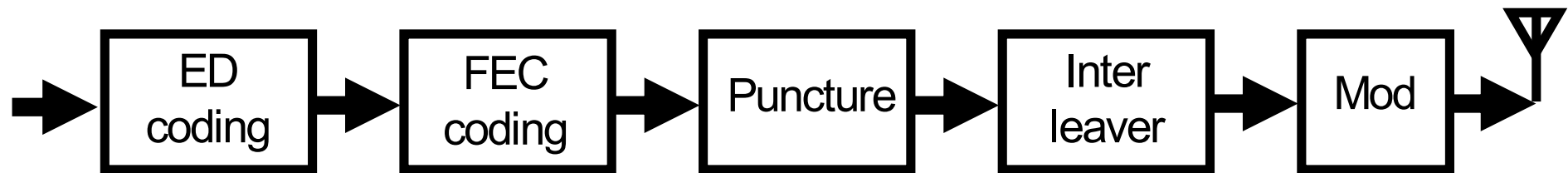
Source coding

Coding to compress information by removing redundancy
(e.g. zip, mpeg, etc.)

Channel coding

Coding to make it tolerant against error by adding redundancy

Structure of Channel Coding



Error Detection (ED) coding

Coding to detect error event at the receiver, the detected information is used for Automatic Repeat reQuest (ARQ) (e.g. even parity, CRC)

Puncture

To control coding rate by removing parity bits adaptively

Forward Error Correction (FEC) coding

Coding to make it tolerant against error by adding redundancy (e.g. block coding (Hamming, Reed Solomon), convolutional coding (CC, Turbo))

Interleaver

Randomizer to avoid burst error due to fading

Block Coding

(n, k) block coding

Message sequence $\mathbf{m} = [m_1 \ m_2 \ \dots \ m_k]$

Coded sequence $\mathbf{x} = [x_1 \ x_2 \ \dots \ x_n]$

Coding rate $r = k/n$

Linear coding

$$\mathbf{x}_i \oplus \mathbf{x}_j = \mathbf{x}_k$$

Hamming distance

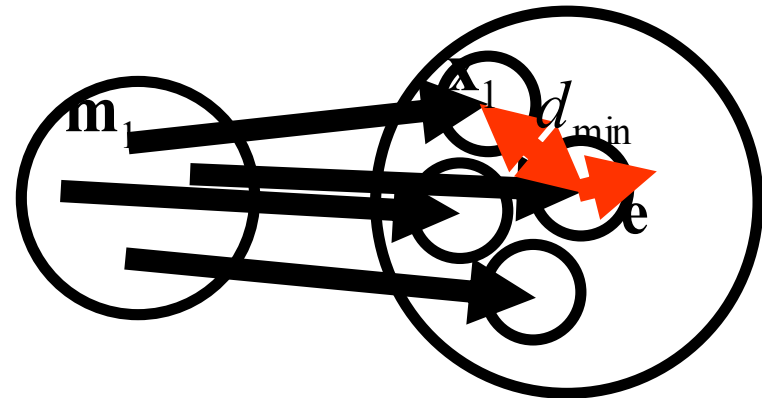
$$\begin{aligned} \text{ham}(\mathbf{x}_i, \mathbf{x}_j) &= \text{hamw}(\mathbf{x}_i \oplus \mathbf{x}_j) \\ &= \text{hamw}(\mathbf{x}_k) \end{aligned}$$

Potential of error correction

$$\begin{aligned} d_{\min} &= \min_{i,j} \text{ham}(\mathbf{x}_i, \mathbf{x}_j) = \min_i \text{hamw}(\mathbf{x}_i) \\ d_c &= \left\lfloor \frac{1}{2} (d_{\min} - 1) \right\rfloor \end{aligned}$$

Message \mathbf{m}

Codes \mathbf{x}



$(3, 1)$ repetition code

Message

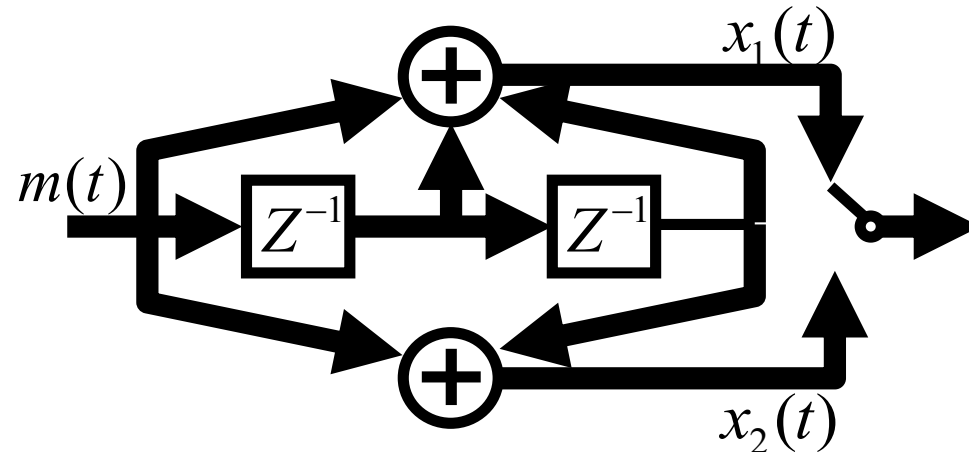
Code

0	→	0	0	0	$r = 1/3$
1	→	1	1	1	

Minimum distance $d_{\min} = 3$

Potential of error correction $d_c = \left\lfloor \frac{1}{2} (3 - 1) \right\rfloor = 1$

Convolutional Coding



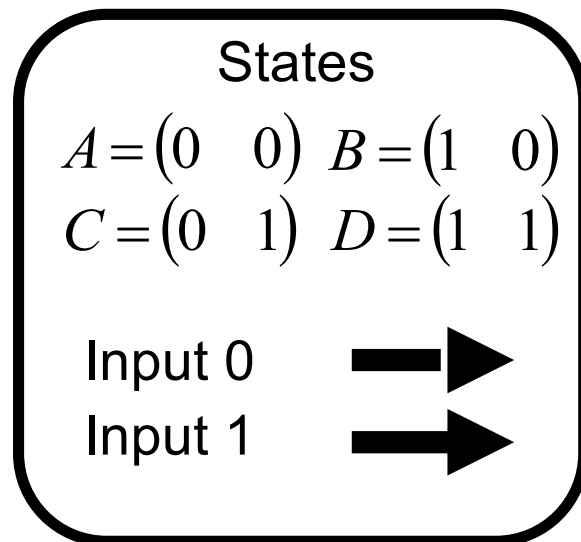
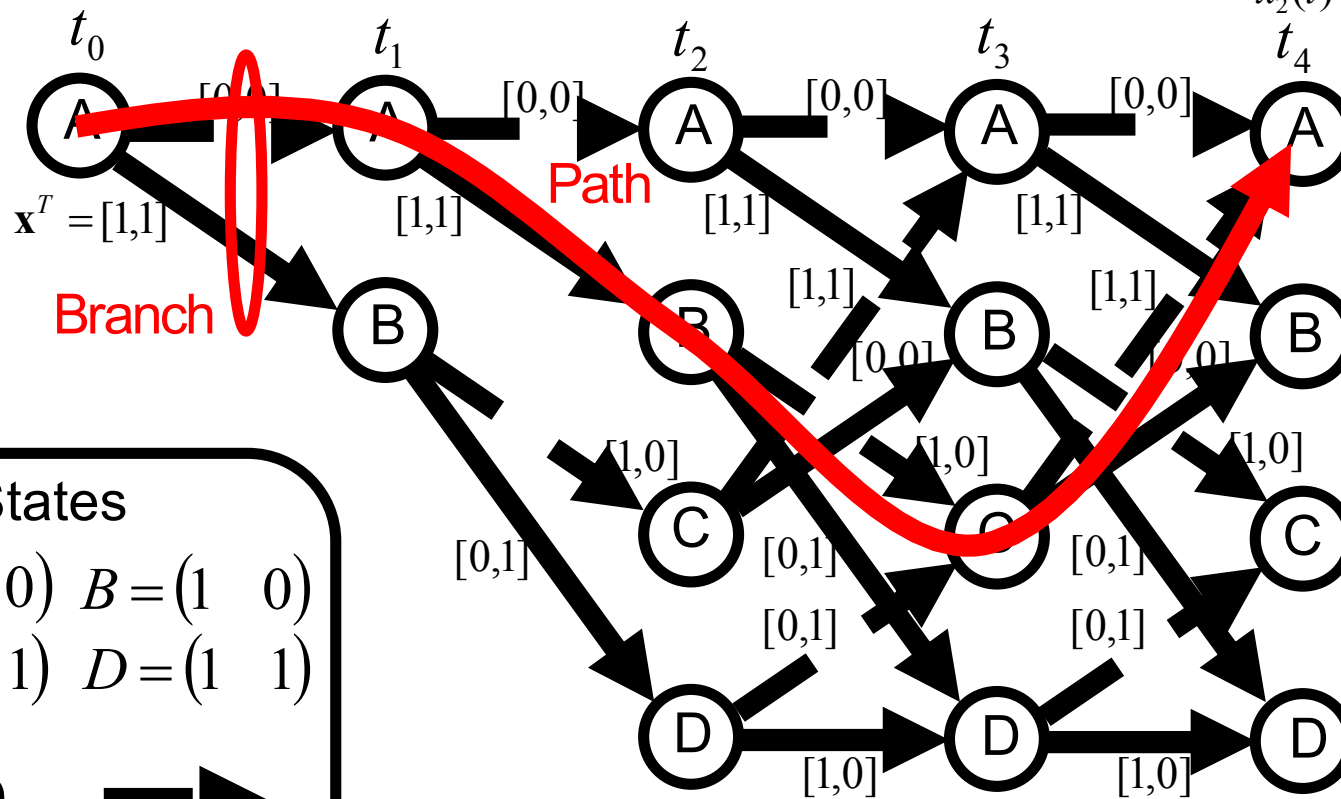
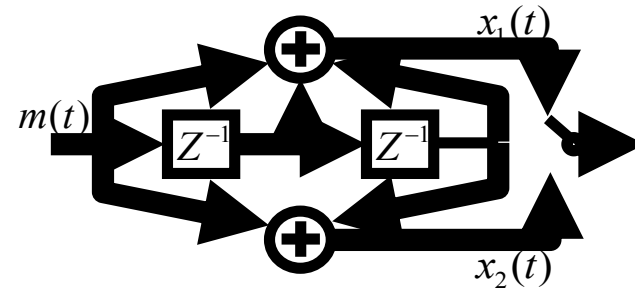
Coding rate $r = \frac{1}{2}$

Constraint length $N = 3$

Generator polynomial $g_1 = 7, g_2 = 5$

Input (messages)	Output (codes)
0 0 0 0	00 00 00 00
0 1 0 0	00 11 10 11

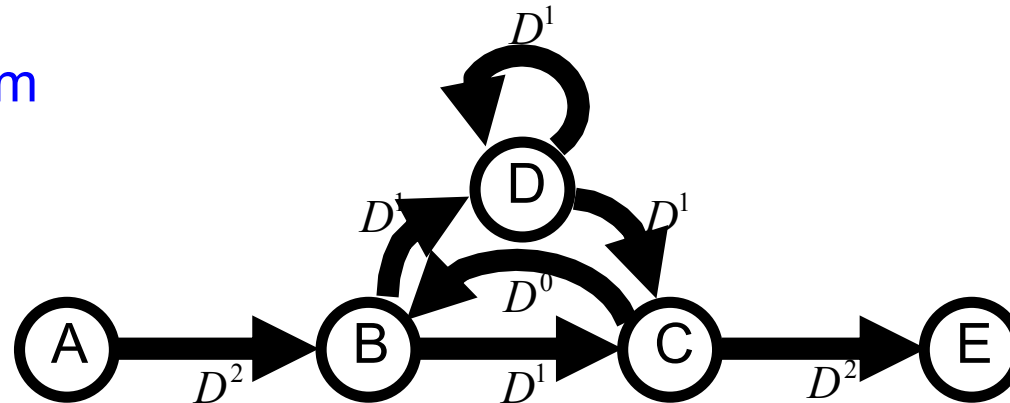
Trellis Graph



Input	Output
0 1 0 0	00 11 10 11

State Diagram & Transfer Function

State diagram



Transfer function

$$X_B = D^2 X_A + X_C$$

$$X_C = DX_B + DX_D$$

$$X_D = DX_B + DX_D$$

$$X_E = D^2 X_C$$

$$T(D) = \frac{X_E}{X_A} = \frac{D^5}{1-2D}$$

$$= D^5 + 2D^6 + 4D^7 + \dots + 2^{d-d_{\min}} D^d$$

Minimum distance $d_{\min} = 5$



Potential error correction

$$d_c = \left\lfloor \frac{d_{\min} - 1}{2} \right\rfloor$$

Viterbi Decoder (Hard Decision)

Receive signal

$$\mathbf{r}(t) = [r_1(t), r_2(t)]^T$$

Branch metric

$$b_{AA}(t) = \text{ham}(\mathbf{r}(t), \mathbf{x}_{AA})$$

$$b_{AC}(t) = \text{ham}(\mathbf{r}(t), \mathbf{x}_{AC})$$

$$\text{ham}(\mathbf{a}, \mathbf{b}) = \sum_i a_i \oplus b_i$$

Path metric

$$p_{AA}(t) = p_A(t-1) + b_{AA}(t)$$

$$p_{AC}(t) = p_C(t-1) + b_{AC}(t)$$

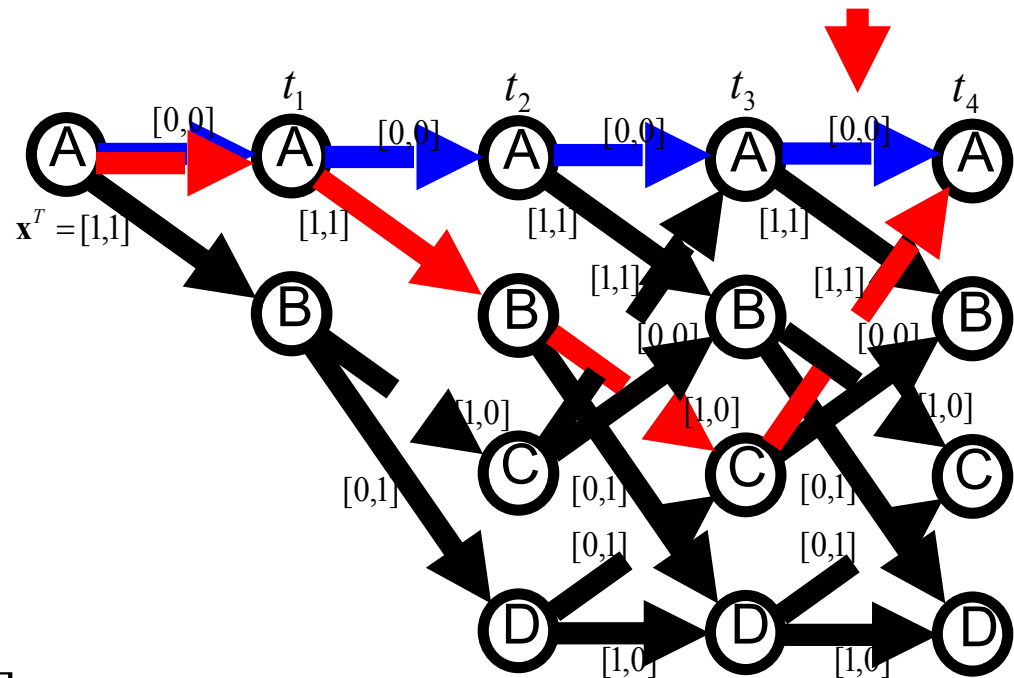
Survived path

$$p_A(t) = \min[p_{AA}(t), p_{AC}(t)]$$

Path decision

$$\hat{m}(t) = \arg \min[p_A(t), p_B(t), p_C(t), p_D(t)]$$

Decoded sequence



Example of Viterbi Decoding

Message sequence

$$\mathbf{m} = [0 \quad 1 \quad 0 \quad 0 \quad \boxed{0 \quad 0}]$$

Tail bits

Coded sequence

$$\mathbf{x} = [00 \quad 11 \quad 10 \quad 11 \quad 00 \quad 00]$$

Receive signal

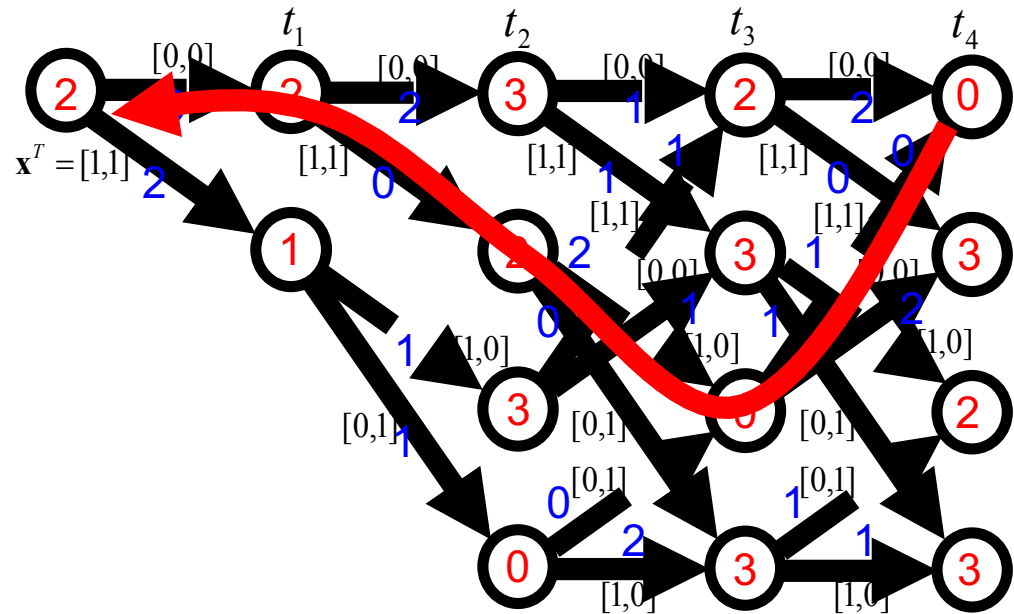
$$\mathbf{r} = [00 \quad 11 \quad \boxed{01} \quad 11 \quad 00 \quad 00]$$

Error event

Decoded message

$$\hat{\mathbf{m}} = [0 \quad 1 \quad 0 \quad 0]$$

(Hard decision) Viterbi decoding



Complexity of Viterbi Decoding

Convolutional coding

$$(n, k) = (8, 4)$$

$$N = 3$$

Total search space

$$L = 2^n = 256$$

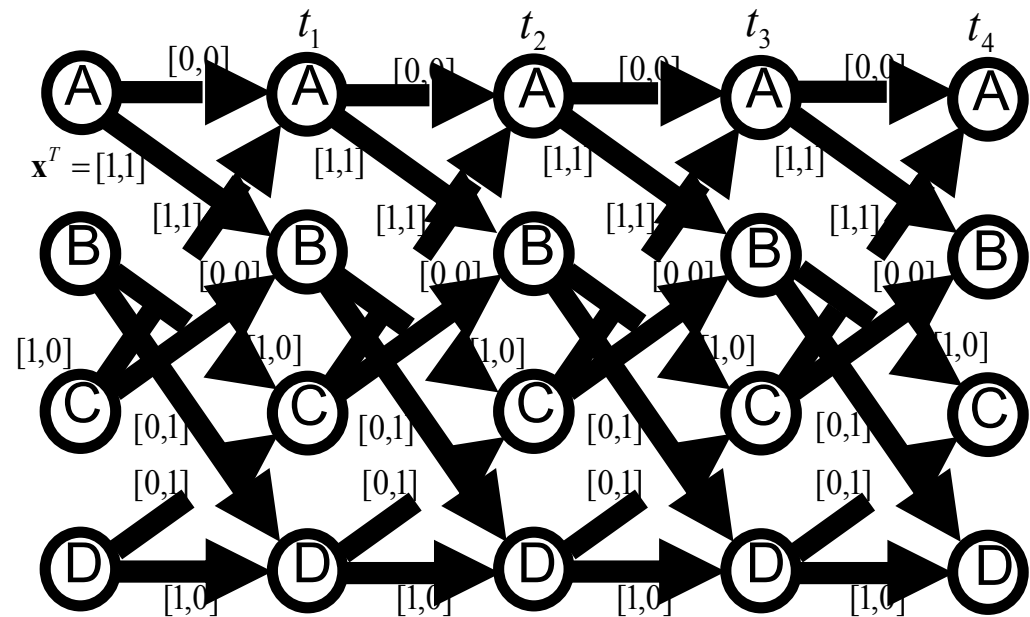
Complexity of Trellis search

$$L = 2^{N-1} \cdot 2^k = 64$$

Complexity of Viterbi decoding

$$L = 2^{N-1} \cdot 2 \cdot k = 32$$

Trellis graph



Error Rate of Viterbi Decoding

Hamming distance between codes

$$d = \text{ham}(\mathbf{x}_1, \mathbf{x}_2)$$

$$\mathbf{x}_1 = [00 \ 00 \ 00 \ 00]$$

$$\mathbf{x}_2 = [00 \ 11 \ 10 \ 11]$$

Pair-wise error probability

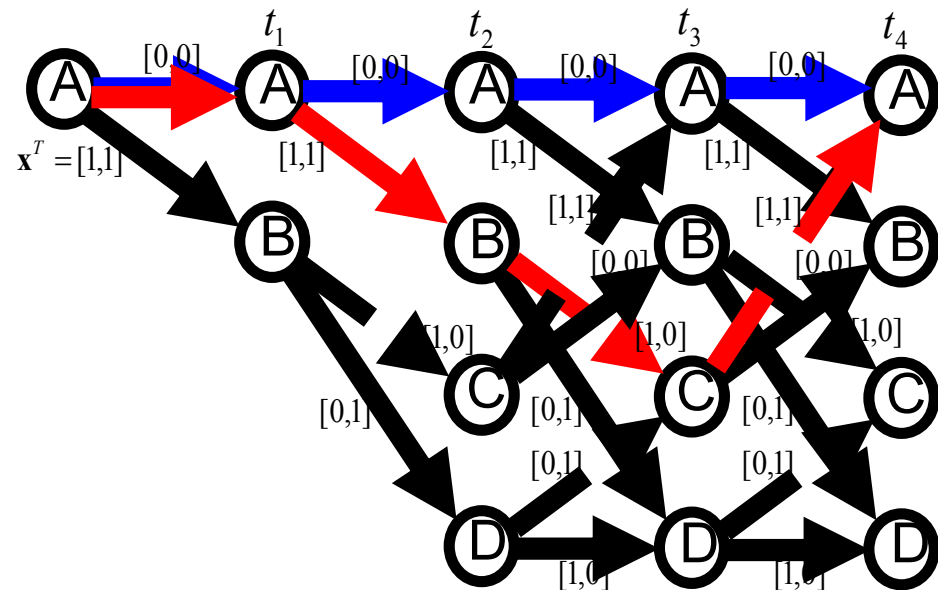
$$p_2(d) = \sum_{k=d_c+1}^d \binom{d}{k} p_e^k (1-p_e)^{d-k}$$

$$p_e = \frac{1}{2} \text{erfc}(\sqrt{\gamma}) \quad \text{if BPSK}$$

$$d_c = \left\lfloor \frac{d-1}{2} \right\rfloor$$

Code error probability

$$P_e < \sum_{d=d_{\min}}^{\infty} 2^{d-d_{\min}} P_2(d)$$



Puncture

Message

$m(1), m(2)$

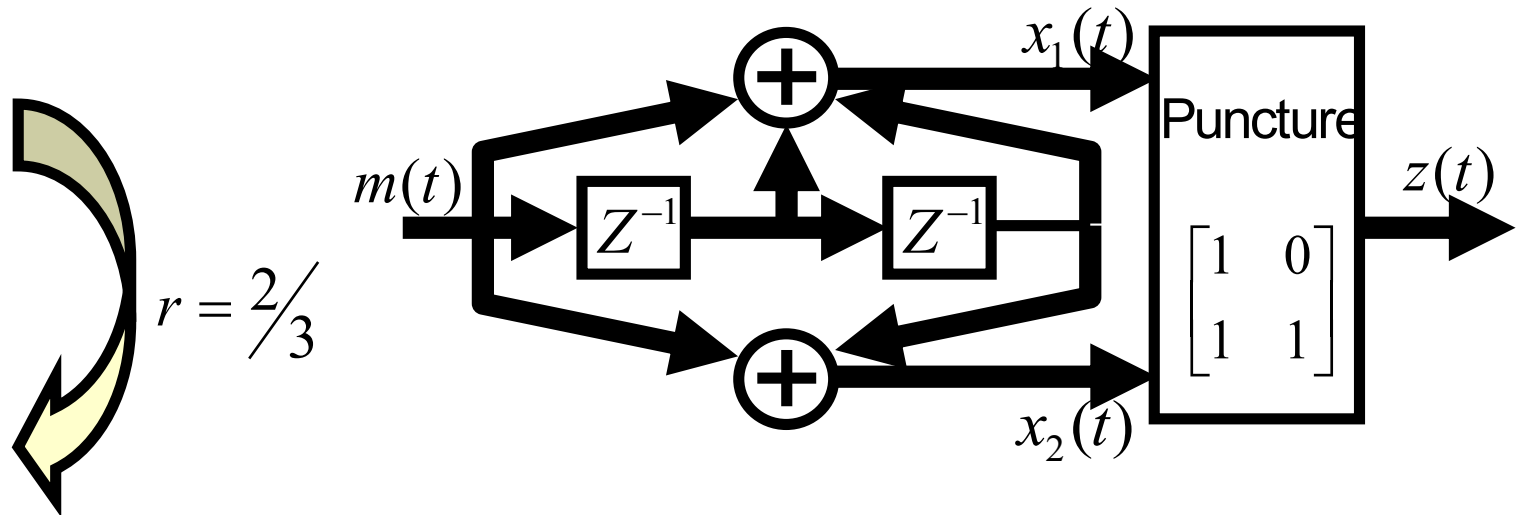
Codes

$x_1(1), x_1(2)$

$x_2(1), x_2(2)$

Puncture

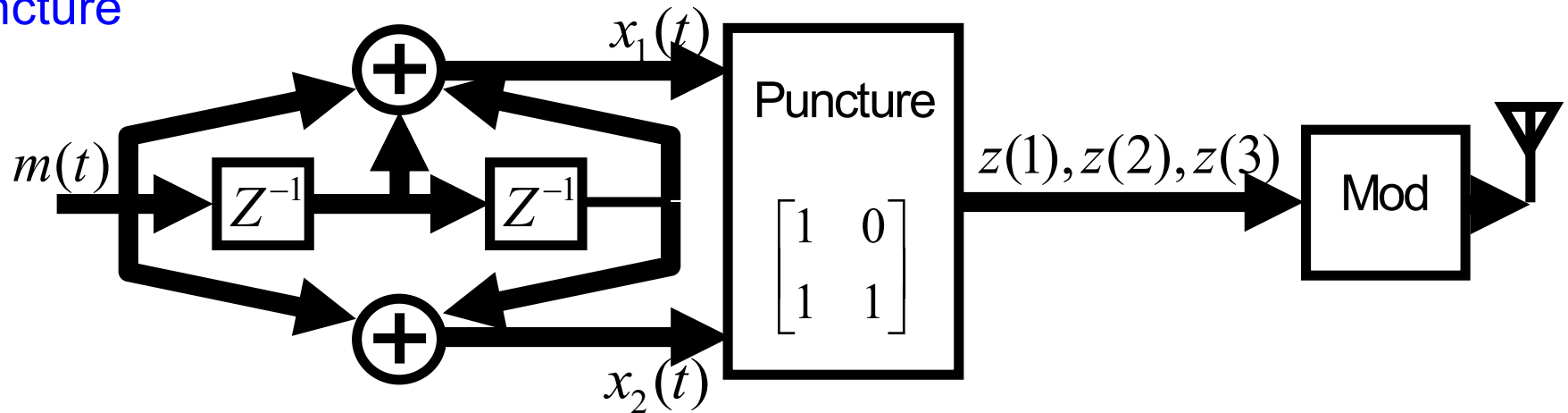
$z(1), z(2), z(3) = x_1(1), x_2(1), x_2(2)$



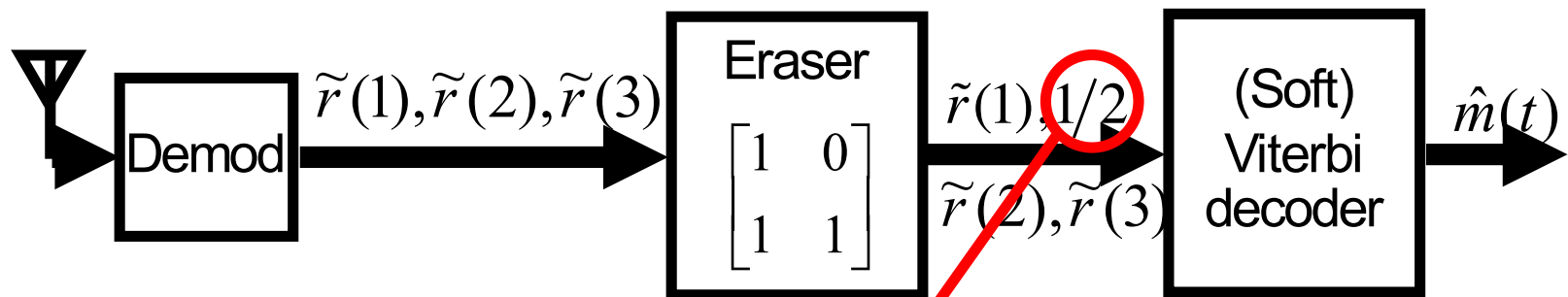
N	$r = 1/2$		$r = 2/3$		$r = 3/4$		$r = 4/5$	
	P	d_{\min}	P	d_{\min}	P	d_{\min}	P	d_{\min}
3	1 1	5	1 0 1 1	3	1 0 1 1 1 0	3	1 0 1 1 1 1 0 0	2

Eraser

Puncture



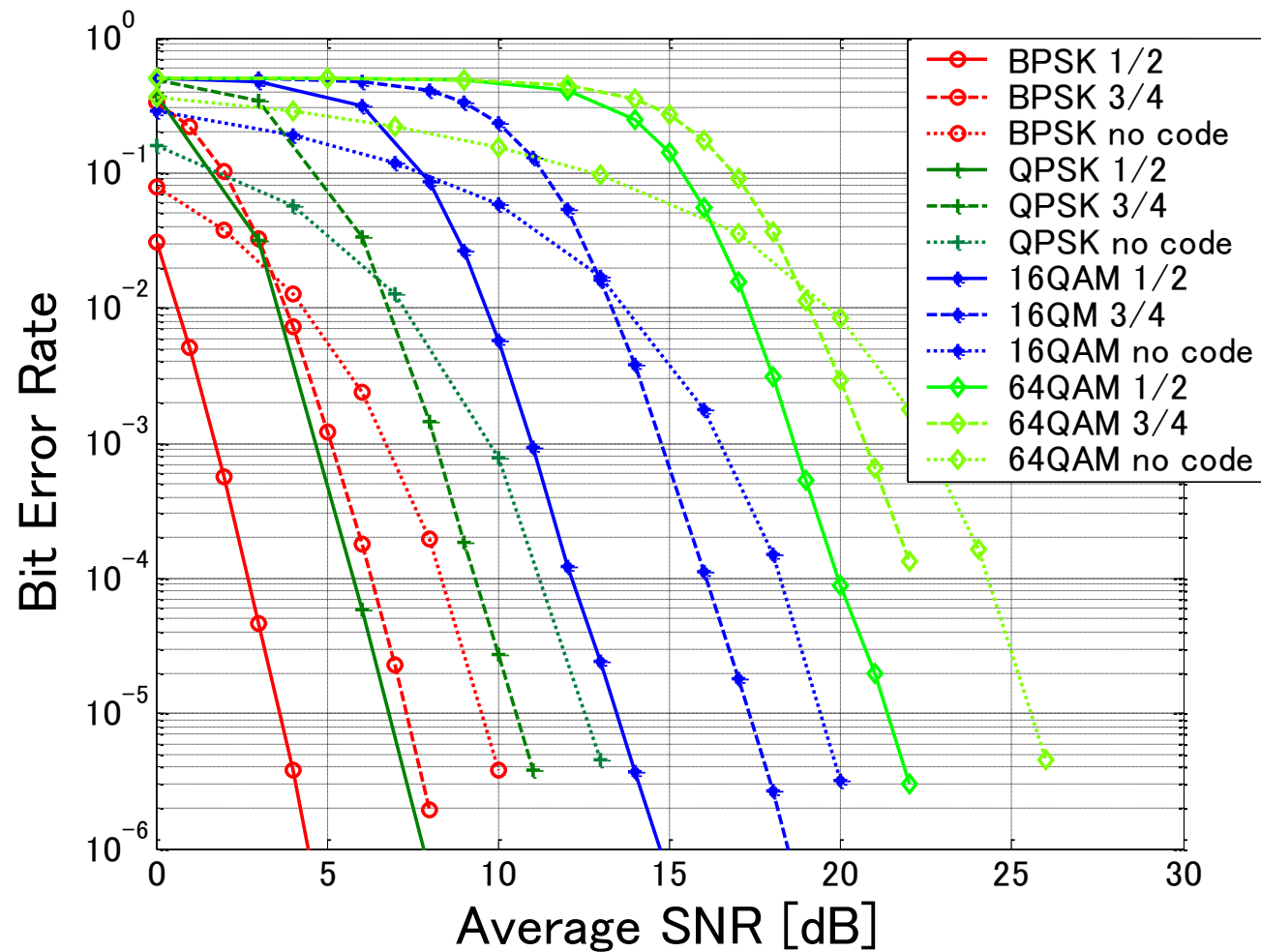
Eraser



Insert neutral symbol with no effect on hamming (Euclid) distance

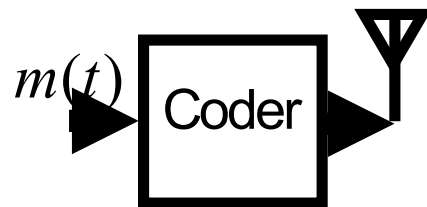
BER Performance

AWGN (Additive White Gaussian Noise) channel

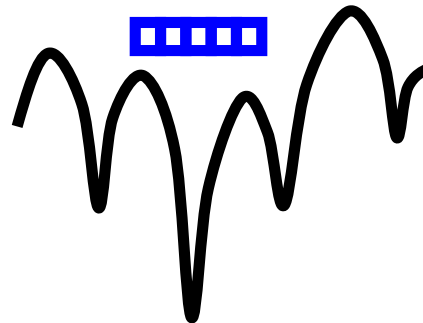


Interleaver

Without interleaver



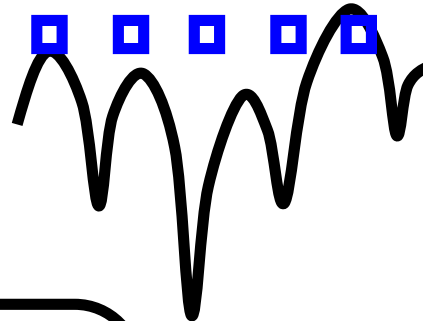
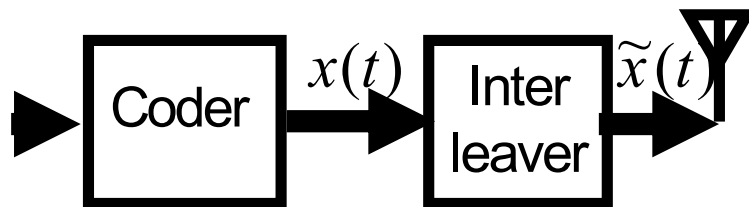
Fading channel



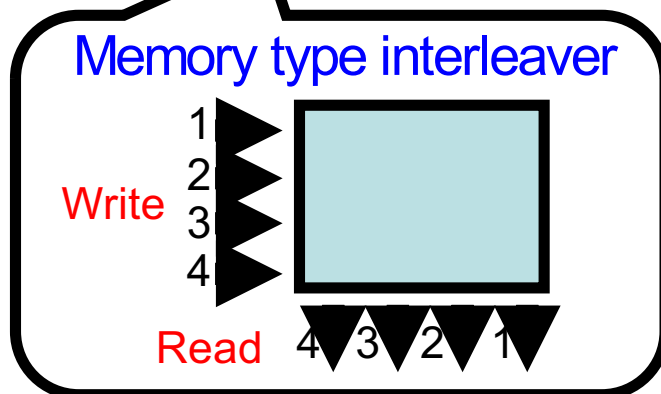
Joint error probability
of k bits

$$p_e(\gamma_{\text{bad}})^k$$

With Interleaver

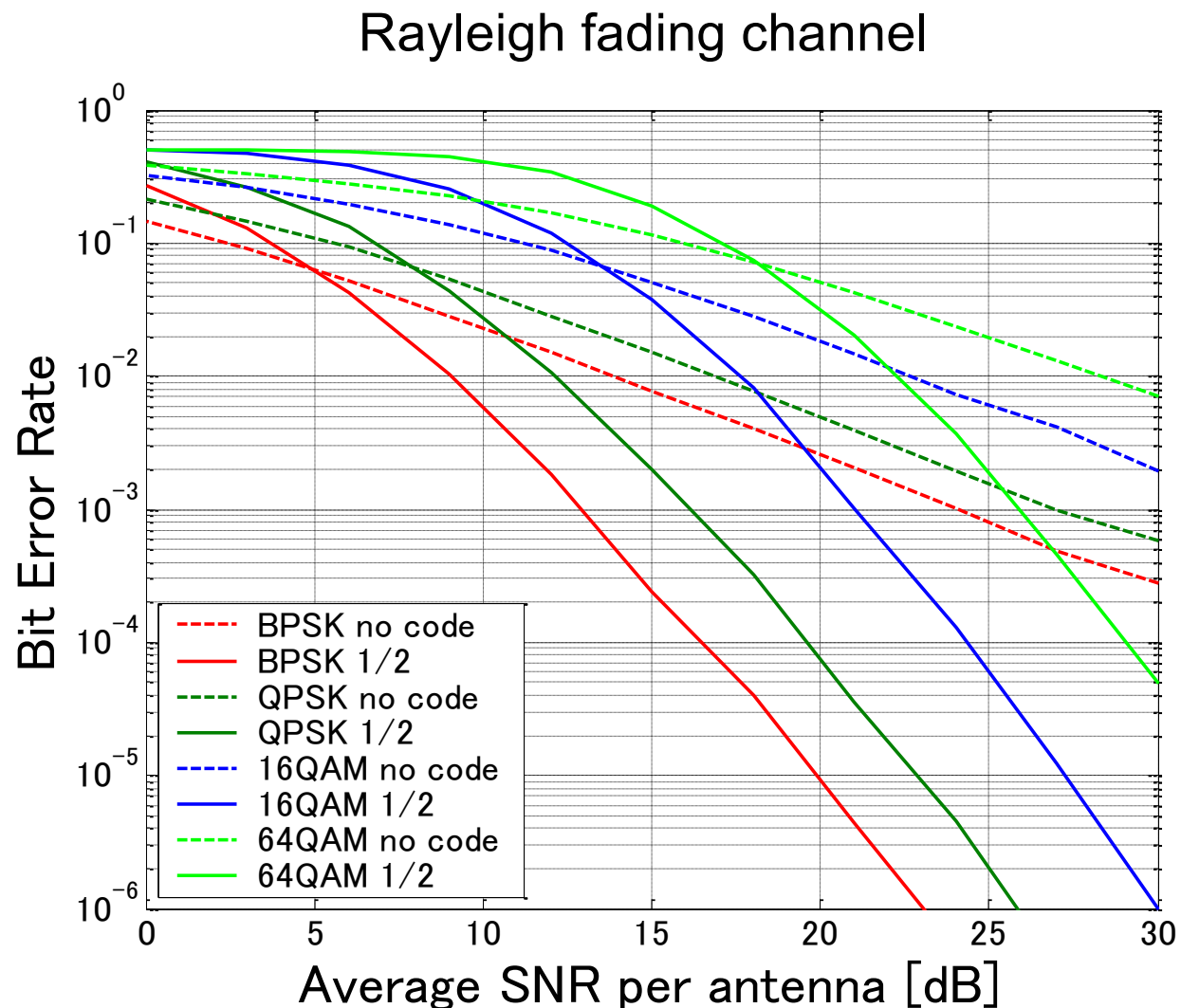


$$p_e(\gamma_1) \cdot p_e(\gamma_2) \cdots p_e(\gamma_k)$$



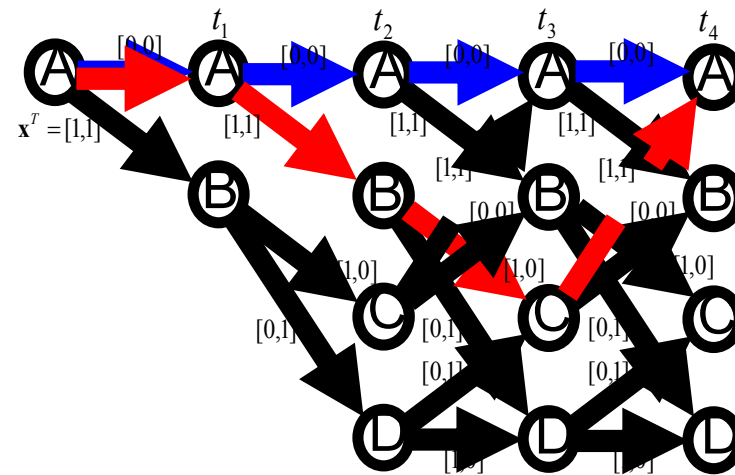
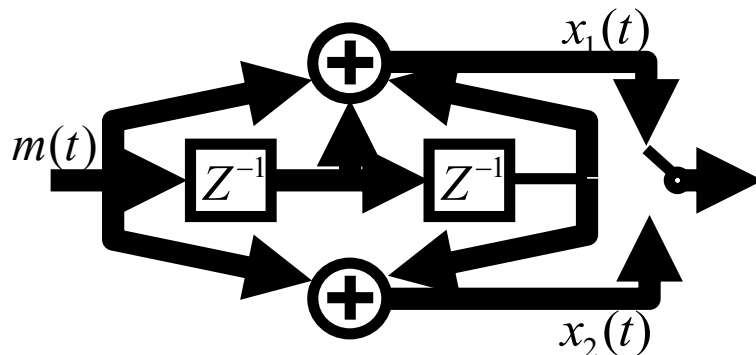
Avoiding burst error
by time diversity

BER Performance in Fading Channel



Summary

■ Convolutional coding & Viterbi decoding



■ Error rate of Viterbi decoding

$$p_e < \sum_{d=d_{\min}}^{\infty} 2^{d-d_{\min}} p_2(d) \quad p_2(d) = \sum_{k=d_c+1}^d \binom{d}{k} p_e^k (1-p_e)^{d-k}$$

■ Interleaver & time diversity

Avoiding burst error

$$p_e(\gamma_{\text{bad}})^k \rightarrow p_e(\gamma_1) \cdot p_e(\gamma_2) \cdots p_e(\gamma_k)$$

Viterbi Decoder (Soft Decision)

Receive signal

$$\tilde{\mathbf{r}}(t) = [\tilde{r}_1(t), \tilde{r}_2(t)]^T$$

Branch metric

$$b_{AA}(t) = \text{euc}(\tilde{\mathbf{r}}(t), \tilde{\mathbf{x}}_{AA})$$

$$b_{AC}(t) = \text{euc}(\tilde{\mathbf{r}}(t), \tilde{\mathbf{x}}_{AC})$$

$$\tilde{b}_{AA}(t) = \tilde{\mathbf{r}}(t) \cdot \tilde{\mathbf{x}}_{AA}$$

$$\tilde{b}_{AC}(t) = \tilde{\mathbf{r}}(t) \cdot \tilde{\mathbf{x}}_{AC}$$

Path metric

$$p_{AA}(t) = p_A(t-1) + \tilde{b}_{AA}(t)$$

$$p_{AC}(t) = p_C(t-1) + \tilde{b}_{AC}(t)$$

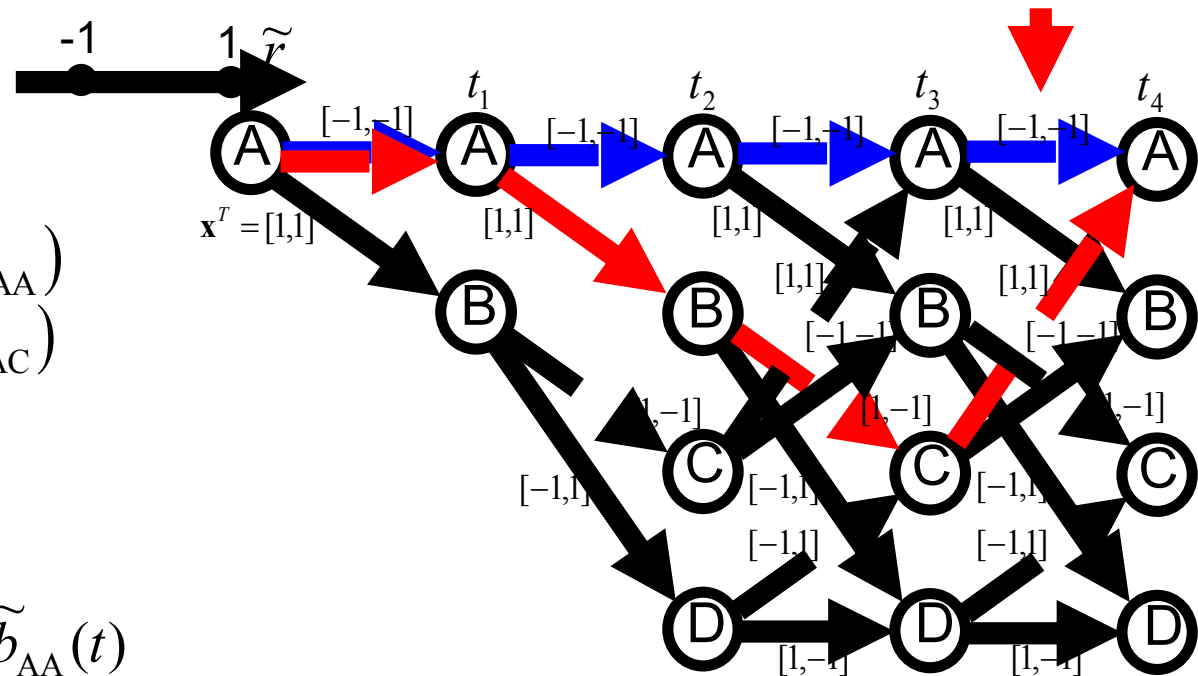
Survived path

$$p_A(t) = \max[p_{AA}(t), p_{AC}(t)]$$

Path decision

$$\hat{m}(t) = \arg \max[p_A(t), p_B(t), p_C(t), p_D(t)]$$

Decoded sequence



Metric of soft decision

$$\begin{aligned} \text{euc}(\mathbf{a}, \mathbf{b}) &= \sum_i \sqrt{|a_i - b_i|^2} \\ &= \sum_i \sqrt{a_i^2 - 2a_i b_i + b_i^2} \\ \min[\text{euc}(\mathbf{a}, \mathbf{b})] &\equiv \max[\mathbf{a} \cdot \mathbf{b}] \end{aligned}$$

Example of (Soft) Viterbi Decoding

Message sequence

Tail bits

$$\mathbf{m} = [0 \quad 1 \quad 0 \quad 0 \quad \boxed{0} \quad \boxed{0}]$$

Coded sequence

$$\mathbf{x} = [-1-1 \quad 11 \quad 1-1 \quad 11 \quad -1-1 \quad -1-1]$$

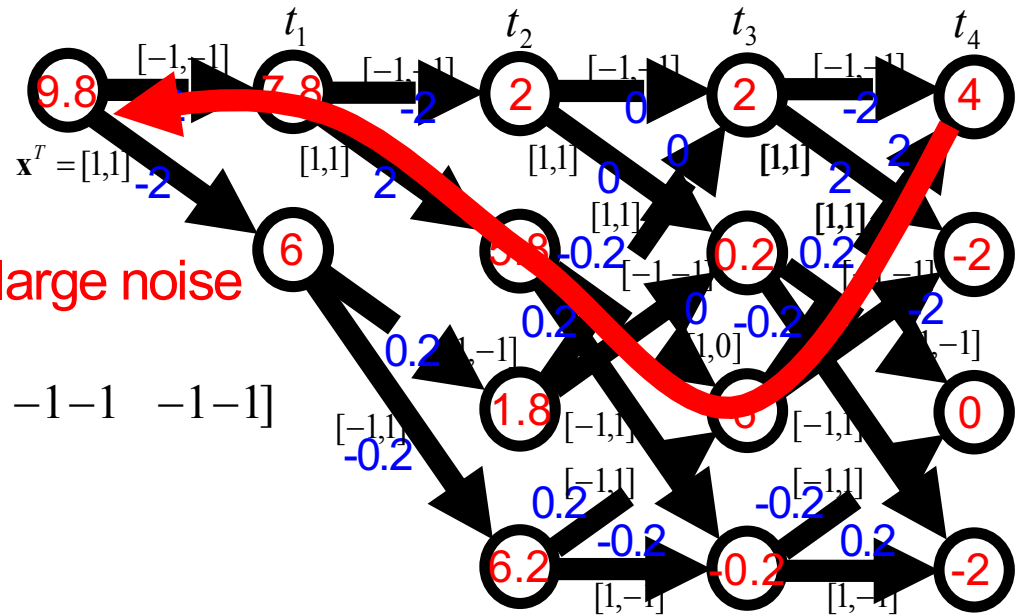
Receive signal

$$\mathbf{r} = [-1.1-0.9 \quad 1.10.9 \quad \textcircled{-0.10.1} \quad 1.10.9 \quad -1-1 \quad -1-1]$$

Decoded message

$$\hat{\mathbf{m}} = [0 \quad 1 \quad 0 \quad 0]$$

Soft decision Viterbi decoding



Error Rate of Soft Viterbi Decoding

Hamming distance

$$d = \text{ham}(\mathbf{x}_1, \mathbf{x}_2)$$

Pair-wise error probability

$$P_2(d) = P(p_2 > p_1)$$

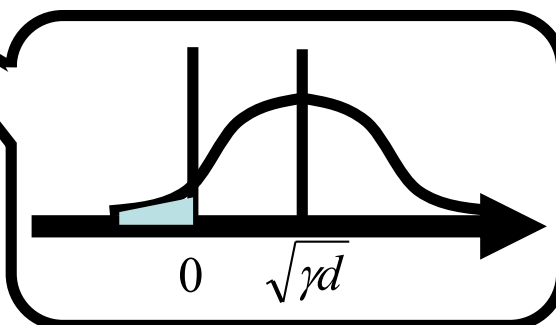
$$= P\left(\sum_i \tilde{\mathbf{r}}(t_i) \cdot (\tilde{\mathbf{x}}_2(t_i) - \tilde{\mathbf{x}}_1(t_i)) > 0\right)$$

$$= P\left(\sum_{i=t_{\text{diff}}} 2\tilde{\mathbf{r}}(t_i) > 0\right)$$

$$P_2(d) = \frac{1}{2} \text{erfc}(\sqrt{\gamma d}) \quad \text{if BPSK}$$

Code error rate

$$P_e < \sum_{d=d_{\min}}^{\infty} 2^{d-d_{\min}} P_2(d)$$



$$\mathbf{x}_1 = [00 \ 00 \ 00 \ 00]$$

$$\tilde{\mathbf{x}}_1 = [-1-1 \ -1-1 \ -1-1 \ -1-1]$$

$$\mathbf{x}_2 = [00 \ 11 \ 10 \ 11]$$

$$\tilde{\mathbf{x}}_2 = [-1-1 \ 11 \ 1-1 \ 11]$$

