

# ICT.H409

## Optics in Information Processing V

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### Analysis of optical imaging system

- Resolution limit of optical imaging system
- NA: numerical aperture
- Depth of focus / Depth of field

### Computational optical imaging

- Extended depth of field
- Computational super-resolution
- Wavefront coding
- Compressive sensing

### Analysis of optical imaging system

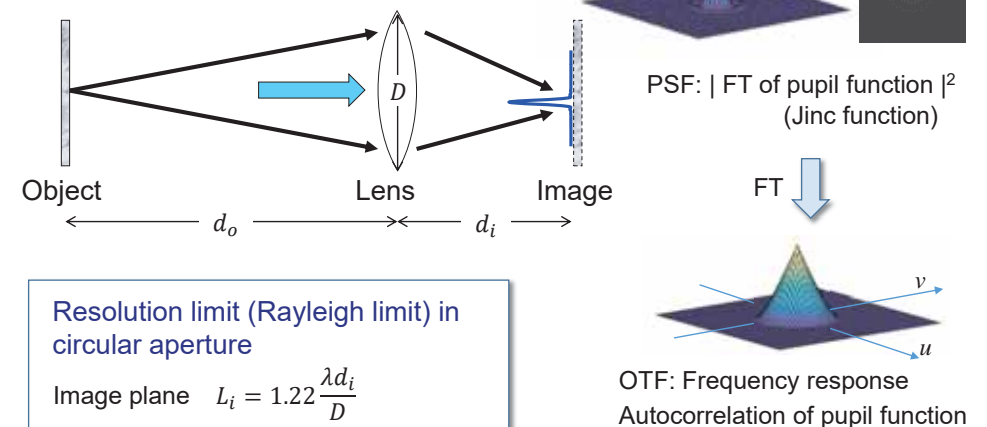
Resolution limit of optical imaging system

NA: numerical aperture

Depth of focus / Depth of field



### Resolution limit of optical imaging system PSF and OTF



# Numerical Aperture (NA)

$$NA = n \sin \theta$$

$$\sin \theta \cong \tan \theta = \frac{D}{2d_o}$$

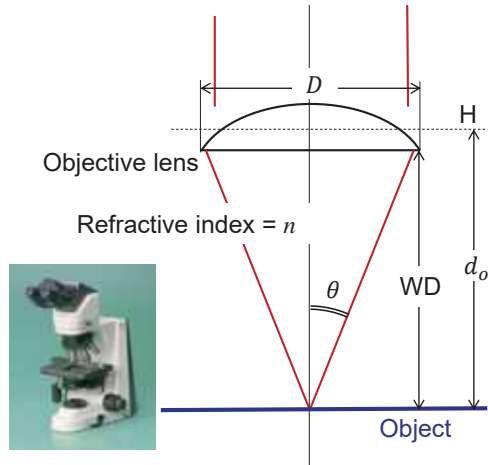
Rayleigh limit

$$L_o = 1.22 \frac{\lambda d_o}{D} = 1.22 \cdot \frac{\lambda}{2 NA}$$

$$= 0.61 \cdot \frac{\lambda}{NA}$$

**Resolution limit**

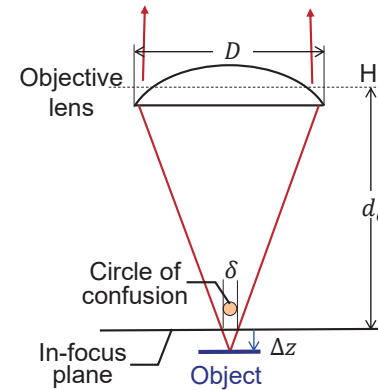
WD: Working distance  
f : focal length



<http://www.nikon-instruments.jp/jpn/page/products/50i55i.aspx>

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Consider a microscopic imaging system in which the NA of the objective lens is 0.5. The refractive index of the medium is 1.0, and the wavelength of the light is assumed to be  $\lambda = 500\text{nm}$ . What is the resolution limit in the object plane based on Rayleigh criterion?



Consider a microscopic imaging system as shown in the left figure, where the diameter of the objective lens is  $D$  and the distance from the lens and the in-focus plane is  $d_o$ . For the object located  $\Delta z$  farther than the in-focus plane, derive the diameter of the circle of confusion ( $\delta$ ).

When the NA of the objective lens is 0.5 and  $\Delta z = 5 \mu\text{m}$ , calculate  $\delta$  approximately.

Assume that  $\Delta z \ll d_o$ .

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## Depth of field

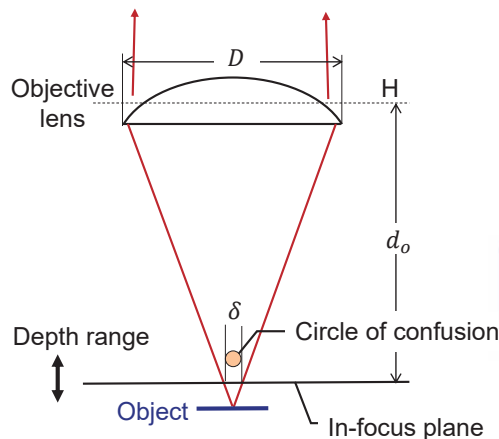


If the object is out of focus, the image is blurred. For point object, the blurred image becomes circle, which is called "circle of confusion."

$\delta$  : Blur size  
(Diameter of the circle of confusion)

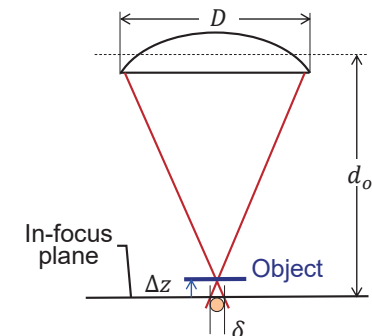
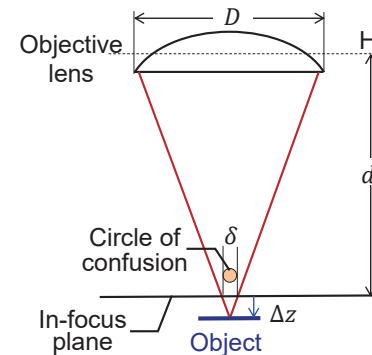
If  $\delta$  is smaller than the diffraction limit ( $\delta_d$ ) or the resolution of image sensor ( $\delta_s$ ) (or the resolution limit determined by other factors), the blur is imperceptible. Such  $\delta$  is the diameter of "permissible circle of confusion"  $\delta_p$ .

The depth range with  $\delta < \delta_p$  is called "depth of field (DOF)."



<http://www.medlab.com.au/cytology/>

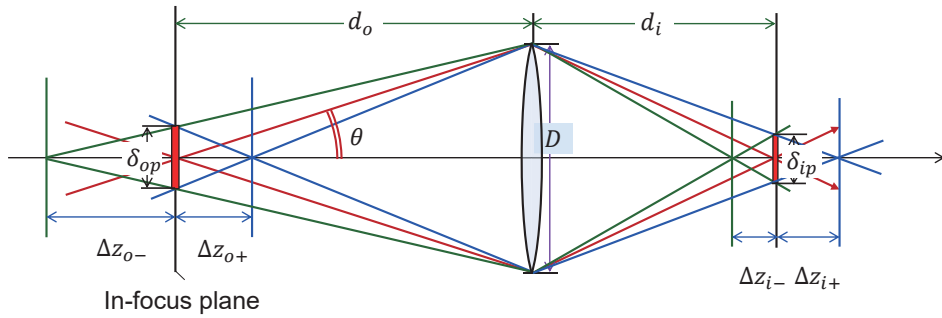
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For the object nearer than the in-focus plane,

$$\delta = \frac{\Delta z}{d_o - \Delta z} \cdot D \cong \Delta z \cdot 2 NA$$

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$$\delta_{ip} = |M| \delta_{op} = \frac{d_i}{d_o} \delta_{op}$$

Diameter of permissible circle of confusion

-- Resolution of image sensor

-- Diffraction limit

$|M| = M$  hereafter for simplicity.

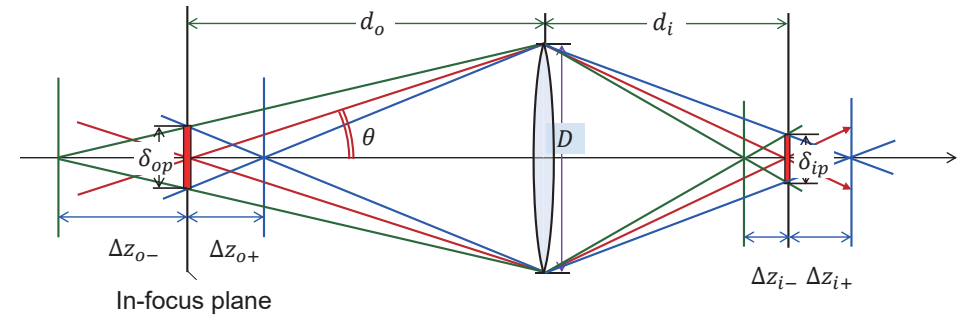
$$\delta_{ip} = \frac{\Delta z_{i-}}{d_i - \Delta z_{i-}} \cdot D$$

$$\Delta z_{i-} = \frac{d_i \delta_{ip}}{D + \delta_{ip}}$$

$$\delta_{ip} = \frac{\Delta z_{i+}}{d_i + \Delta z_{i+}} \cdot D$$

$$\Delta z_{i+} = \frac{d_i \delta_{ip}}{D - \delta_{ip}}$$

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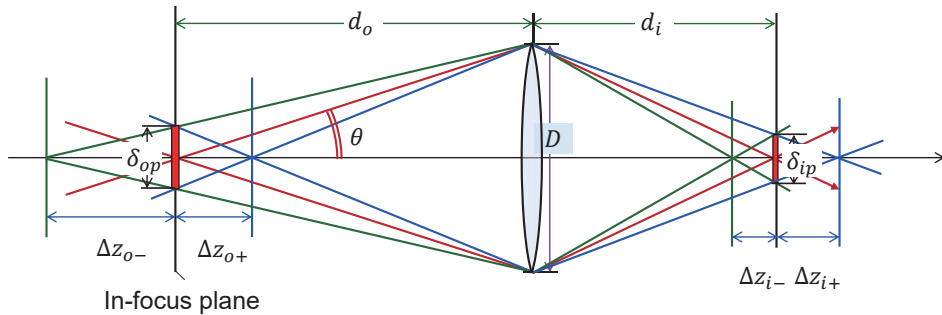


On the image plane

$$\begin{aligned} \Delta z_{i-} + \Delta z_{i+} &= \frac{d_i \delta_{ip}}{D + \delta_{ip}} + \frac{d_i \delta_{ip}}{D - \delta_{ip}} = \frac{2 d_i D \delta_{ip}}{D^2 - \delta_{ip}^2} = \frac{2 d_i}{D} \frac{\delta_{ip}}{1 - (\delta_{ip}/D)^2} \\ &\cong \frac{2 d_i}{D} \delta_{ip} = \frac{\delta_{ip}}{NA_i} = M \frac{\delta_{ip}}{NA} \end{aligned} \quad \text{Depth of focus} \quad (\delta_{ip} \ll D)$$

$$\text{where } NA_i = \frac{D}{2d_i} = \frac{1}{M} NA$$

➔ Depth of focus =  $M^2$  Depth of field



On the object plane

$$\Delta z_{o-} = \frac{d_o \delta_{op}}{D - \delta_{op}} \quad \Delta z_{o+} = \frac{d_o \delta_{op}}{D + \delta_{op}}$$

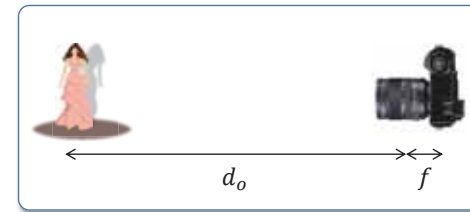
$$\Delta z_{o-} + \Delta z_{o+} = \frac{d_o \delta_{op}}{D - \delta_{op}} + \frac{d_o \delta_{op}}{D + \delta_{op}} = \frac{2 d_o D \delta_{op}}{D^2 - \delta_{op}^2} = \frac{2 d_o}{D} \frac{\delta_{op}}{1 - (\delta_{op}/D)^2}$$

$$= \frac{\delta_{ip}}{NA} \cdot \frac{1}{1 - 2 NA (\delta_{op}/d_o)^2} \cong \frac{\delta_{op}}{NA}$$

Depth of field (DOF)

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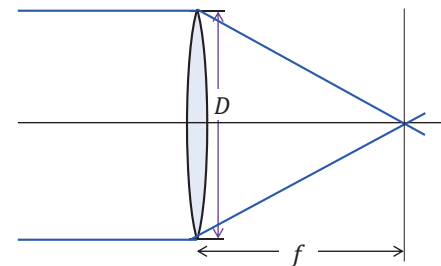
F-number (F#)  $F = \frac{f}{D}$



If  $d_o \gg f$ ,  $d_i \cong f$

$$NA_i = \frac{D}{2d_i} = \frac{1}{2F}$$

$$\begin{aligned} \text{Depth of field} &\cong \frac{1}{M^2} \cdot \frac{\delta_{ip}}{NA_i} \\ &= \frac{2 F \delta_{ip}}{M^2} = \frac{2 F \delta_{op}}{M} \end{aligned}$$



Brightness of the image (irradiance or illuminance)  $B$  is proportional to the area of lens aperture, and inversely proportional to  $M^2$ . Then

$$B \propto \frac{1}{F^2}$$

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## Summary of F-number, NA, brightness, resolution and DOF

F-number	Large	Small
NA	Small	Large
Image Brightness	High	Low
Resolution	Low	High
Depth of field Depth of focus	Long	Short

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Consider a microscopic imaging system in which the NA of the objective lens is 0.5. The refractive index of the medium is 1.0. The image is captured by an image sensor with  $1\mu m$  resolution on the object plane. Derive the depth of field in this case.

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Blu-ray Disc Capacity  $\approx$  DVD  $\times$  5  $\approx$  25GB / single layer, single side  
 Beam spot size  $\approx$  DVD  $\times$  1/5  
 Error margin for tilt becomes small (inversely proportional to  $NA^3$ )  $\rightarrow$  Thin cover layer (0.1mm)

HD DVD Capacity  $\approx$  DVD  $\times$  3 = 15GB  
 Beam spot size  $\approx$  DVD  $\times$  1/5  
 Same disk structure as DVD  $\rightarrow$  Cost, manufacturing equipment are almost equivalent to DVD  
 Error margin for tilt  $\approx$  DVD  $\times$  1/2

Rayleigh criterion  
 $L = 0.61 \lambda / NA$

Spot size  
 BD  
 NA:  $0.6/0.85 = 0.70$   
 $\lambda : 405/650 = 0.62$   
 $0.70 \times 0.62 = 0.43$   
 $0.43 \times 0.43 = 0.19 \sim$  About 1/5

<http://techon.nikkeibp.co.jp/NE/word/050512.html>  
 HD-DVD  
 NA:  $0.6/0.65 = 0.92$   
 $\lambda : 405/650 = 0.62$   
 $0.92 \times 0.62 = 0.57$   
 $0.57 \times 0.57 = 0.32 \sim$  About 1/3

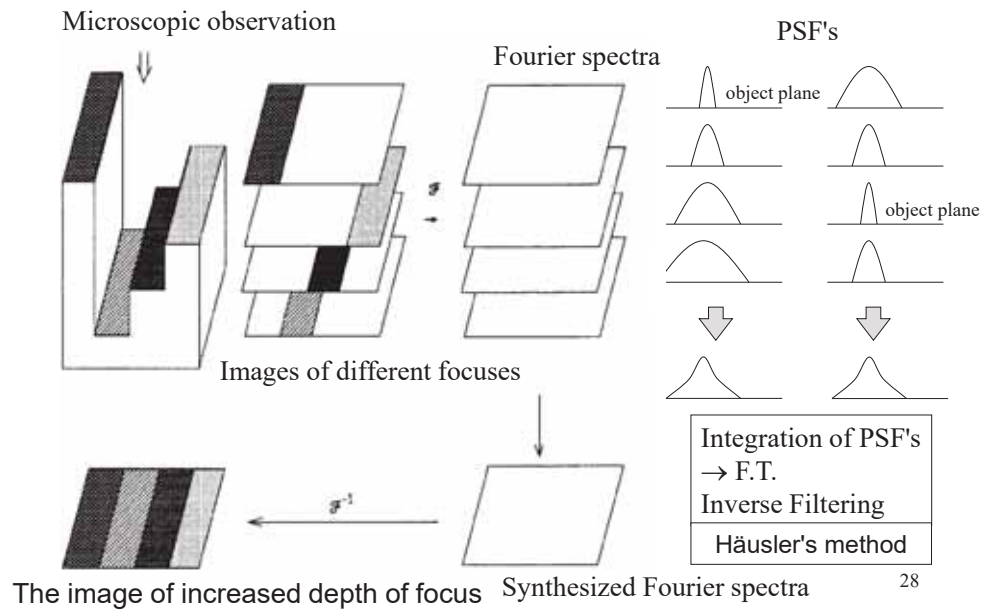


## Computational optical imaging

- Extended depth of field
- Computational super-resolution
- Wavefront coding
- Compressive sensing

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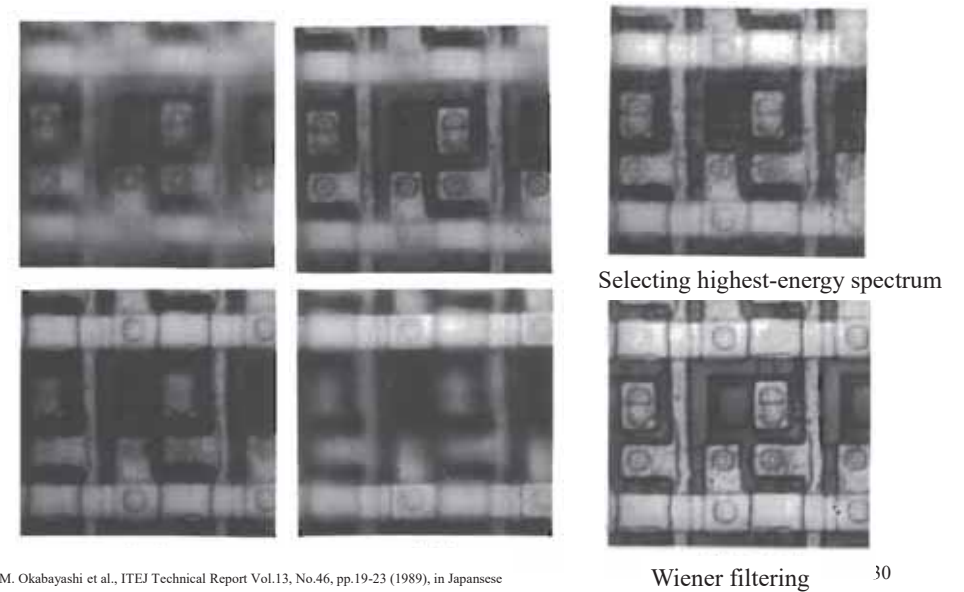
## Increasing the depth of field in microscopic observations



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## Wiener filtering for increasing depth of focus

$$e = E \left\{ \left| \sum_{l=0}^L F_l(u, v) - \sum_{k=1}^K M_k(u, v) G_k(u, v) \right|^2 \right\} \quad l: \text{depth of object}, k: \text{focus position}$$



M. Okabayashi et al., ITEJ Technical Report Vol.13, No.46, pp.19-23 (1989), in Japanese

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### Häusler's method

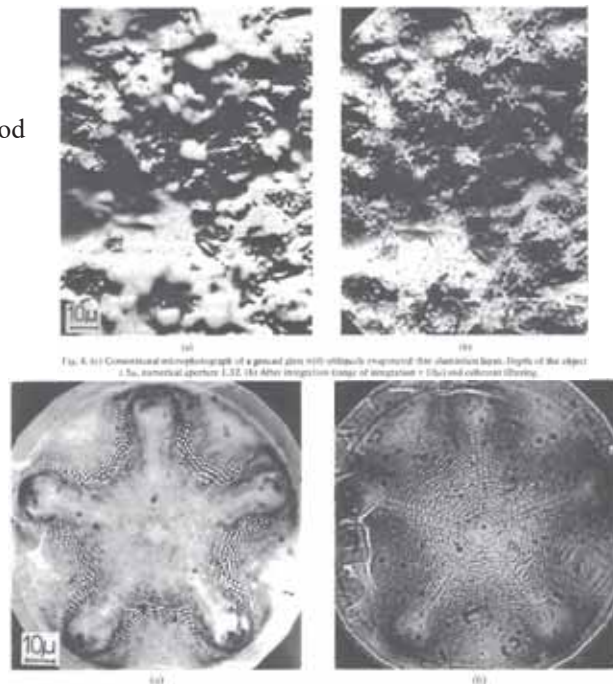
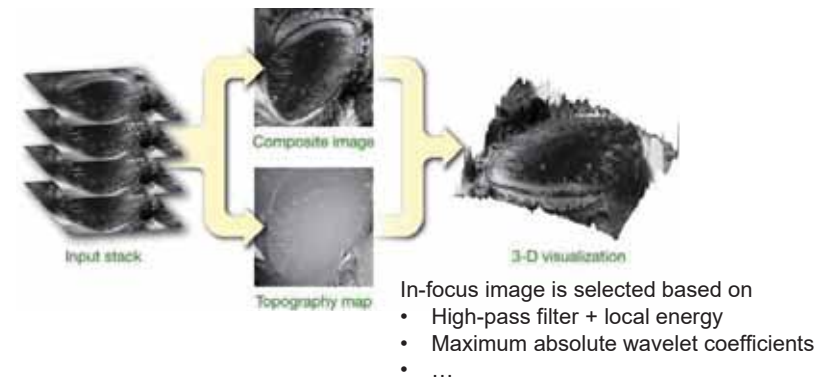


Fig. 8. (a) Conventional microphotograph of a diamond. Depth of the object is 50 μm, numerical aperture 1.32. (b) After integration range of integration is 10 μm and coherent filtering. (c) Conventional microphotograph of a diamond. Depth of the object is 50 μm, numerical aperture 1.32. (d) After integration range of integration is 10 μm and coherent filtering.

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## Extended depth of field (EDOF) and 3D visualization



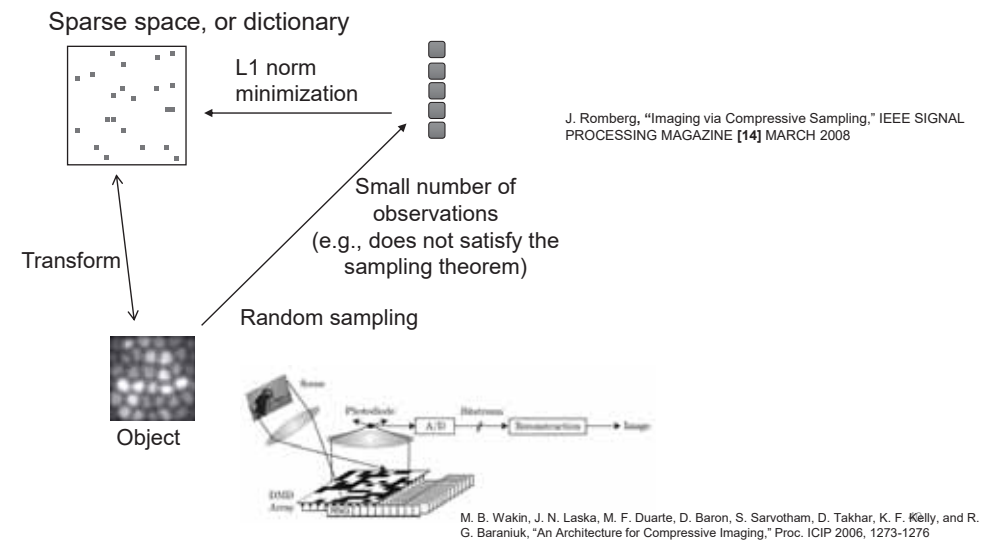
# Wavefront coding

- When NA of an optical system is large,
  - More light contributes the image formation
    - ☞ Brighter image (Higher S/N), Higher resolution
    - ☞ Shallow depth of field
      - Image blur due in defocused objects
      - Shift-variant blur
  - Difficult to be restored by digital image restoration
- Extending depth of field by “Wavefront coding”
  - Apply wavefront modulation at the lens aperture, such that the PSF(impulse response) becomes almost same shape in deep depth of field. → Shift-invariant blur.
  - Obtain a sharp image by digital image filtering

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# Compressive sensing

- Sparse signal



# Superresolution

- A technology that enables higher resolution determined by the diffraction limit or the sampling theorem
- Optical superresolution
  - An optical system is modified such that higher frequency component contributes the image formation.
- Multiple image frames (Video)
  - Images with shifted sampling points are synthesized to obtain a higher resolution image.
- Learning-based superresolution
  - Lost high-frequency component is estimated by learning
- Constraint on the object (prior knowledge)
  - E.g., object support, point objects, nonnegative...

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# Summary

- Image formation by a lens system
  - Coherent case / Incoherent case
- PSF: Impulse response
- OTF: Frequency response
- Resolution limit
  - Rayleigh criterion
- The relationship between the resolution of optical imaging system, NA, F-number, and DOF.
- Computational imaging approaches for enhancing the resolution

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