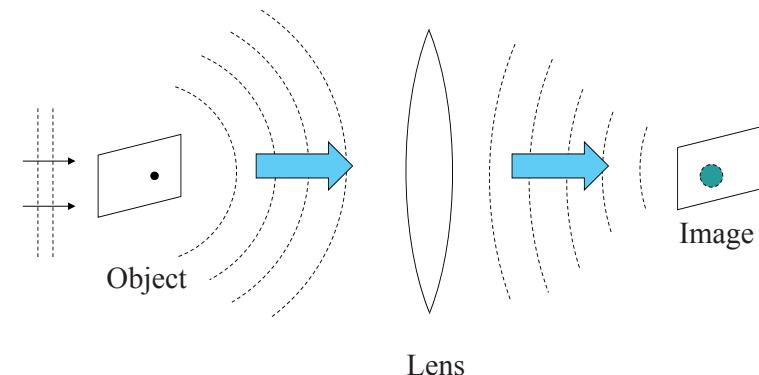


# 光画像工学

## Optical imaging and image processing (V)

### 2.3 Diffraction and wave propagation 2.3 光の回折と伝搬



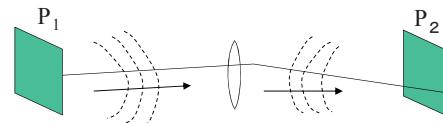
1

## 2. Optical imaging systems 2. 光学的イメージングシステム

### 2. Optical imaging systems

#### 2.1 Complex expression of waves

- Complex amplitude, Wavefront
- Plane wave, spherical wave



#### 2.2 Interference

- Coherence, Interferometer

#### 2.3 Diffraction and wave propagation

- Scalar wave propagation theory
- Fresnel diffraction, Fraunhofer diffraction

#### 2.4 Imaging through a lens system

- Optical Fourier transform, Coherent optical filtering
- Image formation

#### 2.5 Impulse response (PSF) and transfer function of a lens system

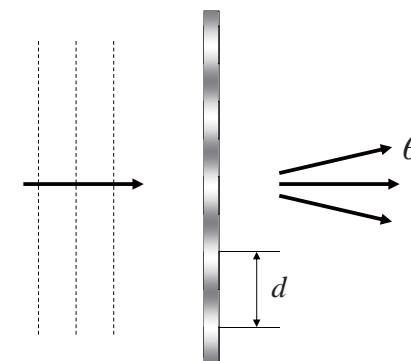
- Pupil function, Point spread function
- Coherent transfer function, Optical transfer function, Modulation transfer function

#### 2.6 Resolution of a lens system

- Diffraction limit, Rayleigh criterion, Numerical aperture

Appendix. Geometrical optics, ray-tracing, lens aberration

## Diffraction Grating 回折格子



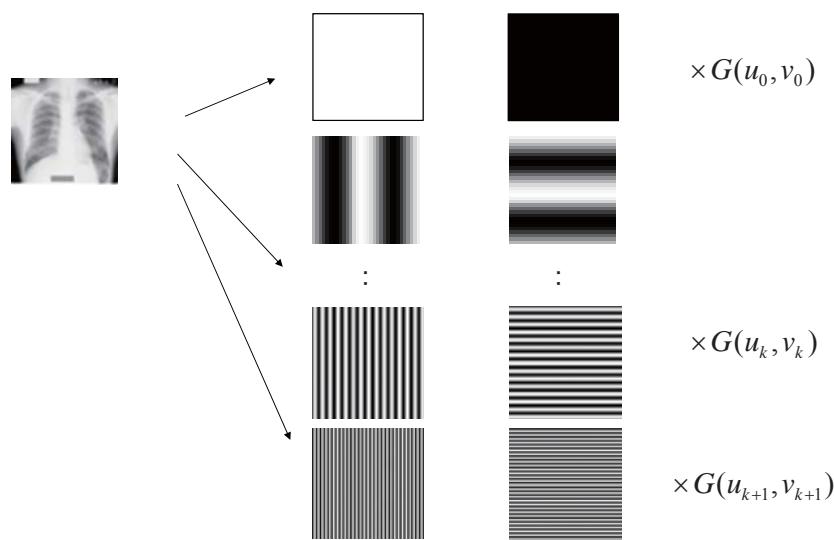
$$d \sin \theta = m \lambda$$

If sinusoidal grating,

$$d \sin \theta = 0, \pm \lambda$$

## 2-D Fourier transform

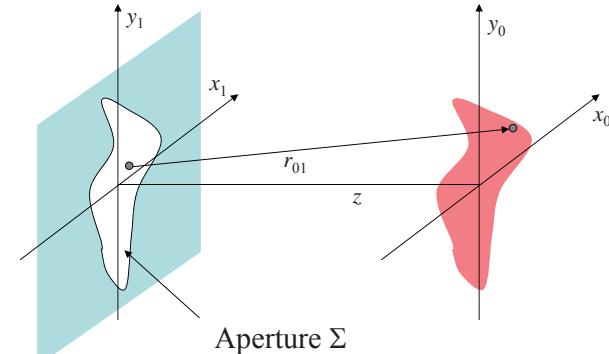
$$G(u, v) = \iint g(x, y) \exp\{-j2\pi(xu + yv)\} dx dy$$



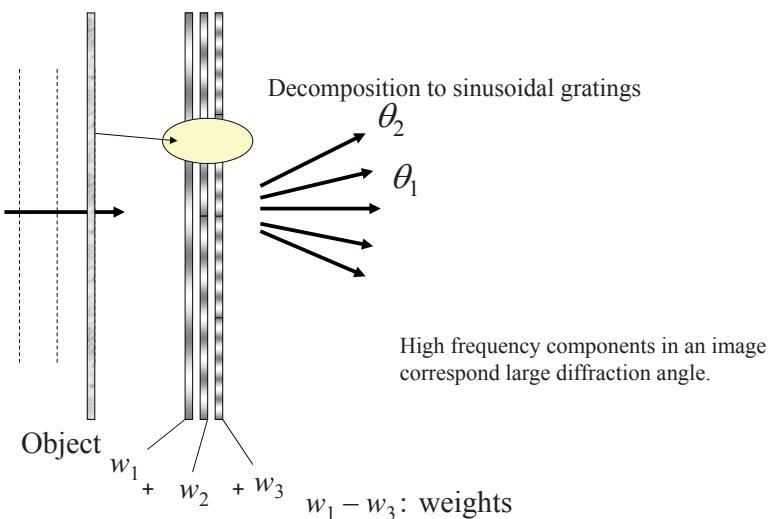
## Scalar diffraction theory

スカラー回折理論

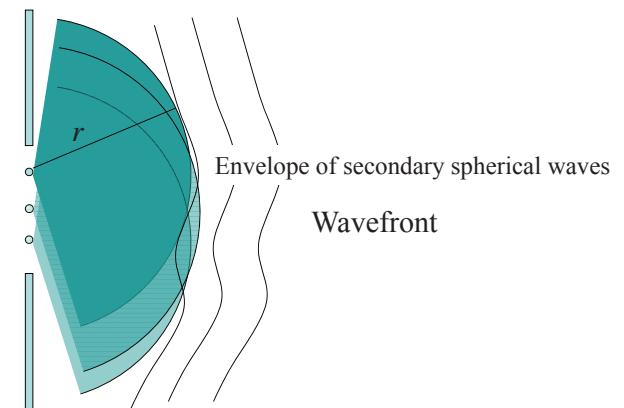
$$U(x_0, y_0) = \frac{1}{j\lambda z} \iint_{\Sigma} U(x_1, y_1) \exp(jkr_{01}) dx_1 dy_1$$



## Superposition of sinusoidal gratings



## Huygens-Fresnel Principle



## Fresnel approximation, フレネル近似

If  $|x_0 - x_1| \ll z$  and  $|y_0 - y_1| \ll z$

$$\begin{aligned} r_{01} &= \sqrt{(x_0 - x_1)^2 + (y_0 - y_1)^2 + (z_0 - z_1)^2} \\ &= z \sqrt{1 + \left(\frac{x_0 - x_1}{z}\right)^2 + \left(\frac{y_0 - y_1}{z}\right)^2} \\ &\approx z \left[ 1 + \frac{1}{2} \left( \frac{x_0 - x_1}{z} \right)^2 + \frac{1}{2} \left( \frac{y_0 - y_1}{z} \right)^2 \right] \end{aligned}$$

➡ Paraxial approximation

Spherical wave is approximated by quadratic wave:

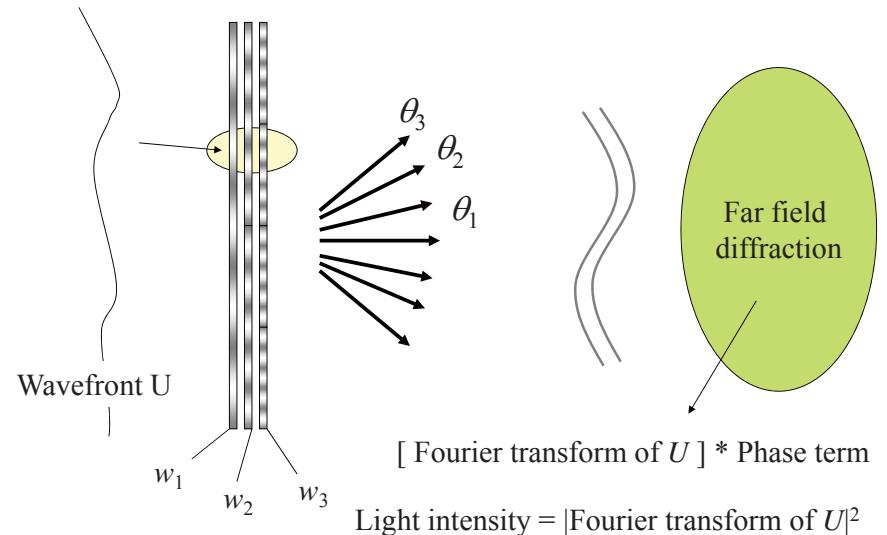
Spherical wave

$$U(x_0, y_0) = \frac{\exp(jkz)}{j\lambda z} \exp\left\{j\frac{k}{2z}[(x_0 - x_1)^2 + (y_0 - y_1)^2]\right\}$$

Fresnel diffraction

$$U(x_0, y_0) = \frac{\exp(jkz)}{j\lambda z} \iint_{\Sigma} U(x_1, y_1) \exp\left\{j\frac{k}{2z}[(x_0 - x_1)^2 + (y_0 - y_1)^2]\right\} dx_1 dy_1$$

## Fraunhofer Diffraction フラウンホーファー回折



## Rewriting the Fresnel diffraction equation

$$\begin{aligned} g(x_0, y_0) &= \iint h(x_0 - x_1, y_0 - y_1) f(x_1, y_1) dx_1 dy_1 \\ &= f(x_0, y_0) * h(x_0, y_0) \quad \longrightarrow \text{Convolution} \end{aligned}$$

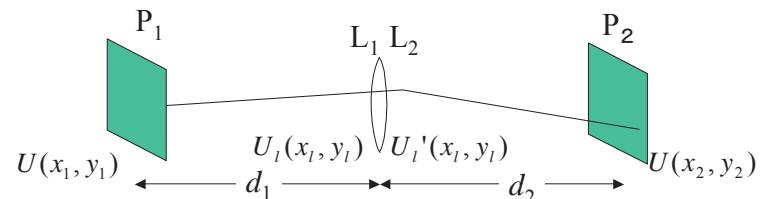
$$h(x_0, y_0; x_1, y_1) = \frac{\exp\{jkz\}}{j\lambda z} \exp\left\{j\frac{k}{2z}[(x_0 - x_1)^2 + (y_0 - y_1)^2]\right\}$$

$$\begin{aligned} U(x_0, y_0) &= \frac{\exp\{jkz\}}{j\lambda z} \exp\left\{j\frac{k}{2z}(x_0^2 + y_0^2)\right\} \iint_{-\infty}^{\infty} U(x_1, y_1) \\ &\quad \exp\left\{j\frac{k}{2z}(x_1^2 + y_1^2)\right\} \exp\left\{-j\frac{2\pi}{\lambda z}(x_0 x_1 + y_0 y_1)\right\} dx_1 dy_1 \end{aligned}$$

Fourier Transform of  $U(x_1, y_1) \exp\{j\frac{k}{2z}(x_1^2 + y_1^2)\}$  \* Phase Term

## 2.4 Imaging through a lens system

### 2.4 レンズ系による結像



$P_1 \rightarrow L_1$  Fresnel Diffraction

$$U_l(x_l, y_l) = \frac{\exp(jkd_1)}{j\lambda d_1} \iint_{-\infty}^{\infty} U(x_1, y_1) \exp\left\{j\frac{k}{2d_1}[(x_l - x_1)^2 + (y_l - y_1)^2]\right\} dx_1 dy_1$$

$L_1 \rightarrow L_2$  Phase modulation by lens

$$U_l'(x_l, y_l) = U_l(x_l, y_l) P(x_l, y_l) \exp\left\{-j\frac{k}{2f}(x_l^2 + y_l^2)\right\}$$

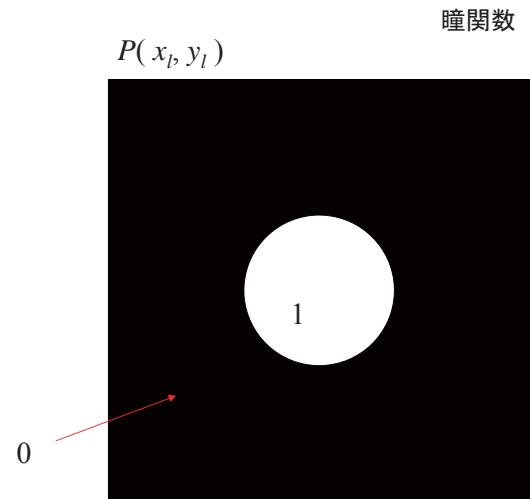
Transformation of wavefront

Spherical wave  $\rightarrow$  Plane wave  
Spherical wave  $\rightarrow$  Spherical wave

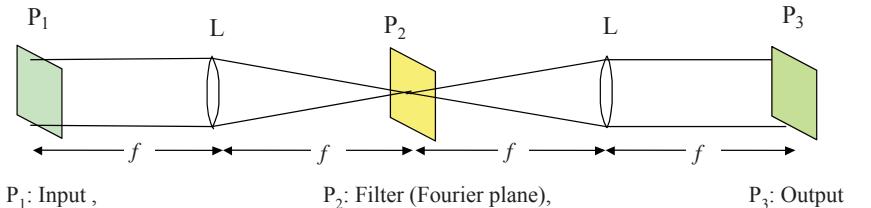
$L_2 \rightarrow P_2$  Fresnel Diffraction

$$U(x_2, y_2) = \frac{\exp(jkd_2)}{j\lambda d_2} \iint_{-\infty}^{\infty} U_l'(x_l, y_l) \exp\left\{j\frac{k}{2d_2}[(x_2 - x_l)^2 + (y_2 - y_l)^2]\right\} dx_l dy_l$$

Lens aperture = Pupil function



Optical Fourier transform and Coherent optical filtering



When  $d_1 = f$  and  $d_2 = f$ ,

$$U(x_2, y_2) = A \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} U(x_1, y_1) \exp\left\{j \frac{2\pi}{\lambda f} [x_1 x_2 + y_1 y_2]\right\} dx_1 dy_1$$

$$u = x_2 / \lambda f, v = y_2 / \lambda f$$

$$U(u, v) = C \mathcal{F}\{U(x_1, y_1)\}$$

Wavefront at  $P_1$  and  $P_2$  planes

$P_1$                      $L_1 L_2$                      $P_2$

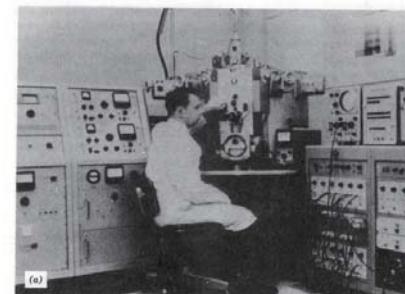
$\longleftrightarrow d_1 \longleftrightarrow d_2 \longrightarrow$

$$U(x_2, y_2) = A \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} h(x_2, y_2; x_1, y_1) U(x_1, y_1) dx_1 dy_1$$

$$h(x_2, y_2; x_1, y_1) = \frac{1}{\lambda^2 d_1 d_2} \exp\left[j \frac{k}{2d_2} (x_2^2 + y_2^2)\right] \exp\left[j \frac{k}{2d_1} (x_1^2 + y_1^2)\right]$$

$$\int_{-\infty}^{\infty} \int_{-\infty}^{\infty} P(x, y) \exp\left[j \frac{k}{2} \left(\frac{1}{d_1} + \frac{1}{d_2} - \frac{1}{f}\right) (x^2 + y^2)\right]$$

$$\exp\left[-jk\left\{\left(\frac{x_1}{d_1} + \frac{x_2}{d_2}\right)x + \left(\frac{y_1}{d_1} + \frac{y_2}{d_2}\right)y\right\}\right] dx dy$$



Optical high-pass filtering  
光学的ハイパスフィルターの例



W. T. Cathey, Optical Information Processing and Holography, John Wiley & Sons, New York, 1974

Figure 7-11 (a) Image before filtering. (b) Image after use of high-pass spatial filter.

## Phase contrast imaging 位相コントラスト法

- Phase shift of zero frequency component

$$f(x, y) = \exp\{j\phi(x, y)\} \approx 1 + j\phi(x, y) \quad (\phi(x, y) \ll 1)$$

$$g(x, y) = \exp(j\frac{\pi}{2}) + j\phi(x, y) = j + j\phi(x, y)$$

$$I(x, y) = |g(x, y)|^2 \approx 1 + 2\phi(x, y)$$

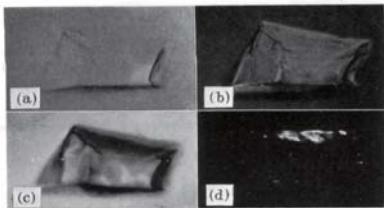


Fig. 8.34. Microscope images of glass fragments ( $n = 1.52$ ) mounted in clastic ( $n = 1.54$ ), 100  $\times$ .  
(a), Bright field image; (b) and (c), Phase-contrast images; (d), Dark field image.  
(After A. H. BENNETT, H. JUPNIK, H. ÖSTERBERG, and O. W. RICHARDS,  
*Trans. Amer. Microscop. Soc.*, **65** (1946), 119.)

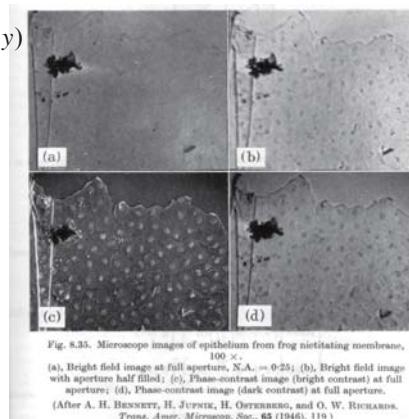


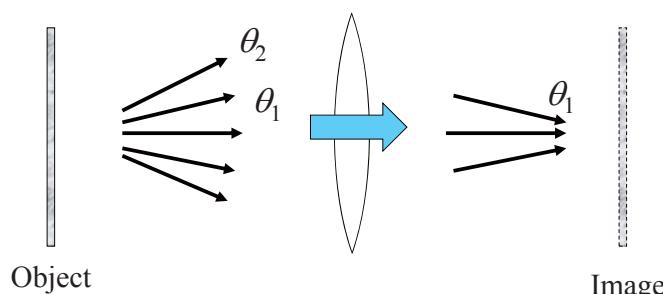
Fig. 8.35. Microscope images of epidermis from frog nictitating membrane, 100  $\times$ .  
(a), Bright field image at full aperture, N.A. = 0.25; (b), Bright field image with aperture half filled; (c), Phase-contrast image (bright contrast) at full aperture; (d), Phase-contrast image (dark contrast) at full aperture.  
(After A. H. BENNETT, H. JUPNIK, H. ÖSTERBERG, and O. W. RICHARDS,  
*Trans. Amer. Microscop. Soc.*, **65** (1946), 119.)

M. Born and E. Wolf, Principle of Optics, 6th Edition, Pergamon Press, 1980

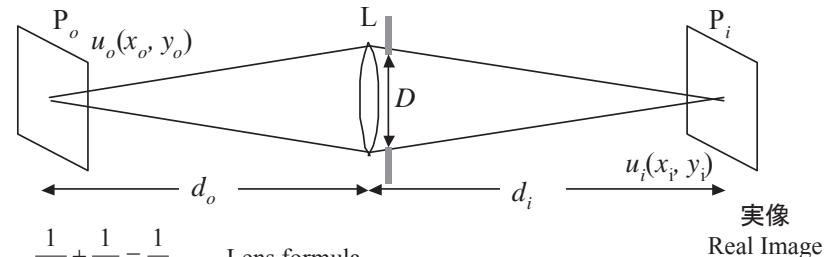
## 2.5 Impulse response (PSF) and transfer function of a lens system

### 2.5 レンズ系の点像分布関数と伝達関数

Imaging by a lens system



## Impulse response of an lens system



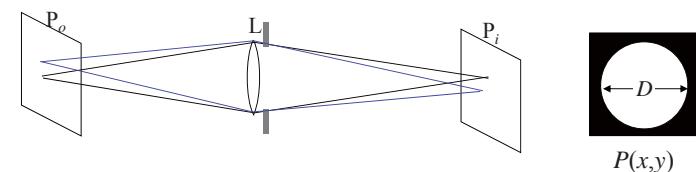
$$\frac{1}{d_o} + \frac{1}{d_i} = \frac{1}{f}$$

$$M = \frac{d_i}{d_o}$$

$$h(x_i, y_i; x_o, y_o) = \frac{1}{\lambda^2 d_i d_o} \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} P(x, y) \exp\left[-j \frac{2\pi}{\lambda d_i} \{(x_i + Mx_o)x + (y_i + My_o)y\}\right] dx dy$$

⇒ Impulse response = Fourier transform of the pupil function

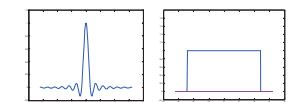
## Transfer function of lens system



- Coherent transfer function  $H_c(u, v)$

$$\text{PSF} \quad u_i(x_i, y_i) = P_f\left(\frac{x_i}{\lambda d_i}, \frac{y_i}{\lambda d_i}\right) * u_o\left(-\frac{x_i}{M}, -\frac{y_i}{M}\right) = h_c(x_i, y_i) * u_o\left(-\frac{x_i}{M}, -\frac{y_i}{M}\right)$$

$$U_i(u, v) = H_c(u, v) U_o(u, v)$$

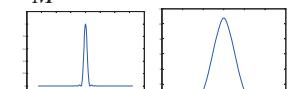


- Optical transfer function (Incoherent)

$$\text{PSF} \quad |u_i(x_i, y_i)|^2 = |P_f\left(\frac{x_i}{\lambda d_i}, \frac{y_i}{\lambda d_i}\right)|^2 * |u_o\left(-\frac{x_i}{M}, -\frac{y_i}{M}\right)|^2$$

$$g(x_i, y_i) = h'(x_i, y_i) * f(x_i, y_i)$$

$$G(u, v) = H'(u, v) F(u, v)$$



$$H(u, v) = H'(u, v) / H'(0, 0): \text{Optical transfer function (OTF)}$$

## Modulation Transfer Function

$$MTF = \frac{\text{Contrast of output image } (u,v)}{\text{Contrast of input image } (u,v)}$$

Ideal incoherent imaging system

$$MTF = |\text{OTF}|$$

$$\text{OTF}(u,v) = MTF(u,v) \exp\{j\phi(u,v)\}$$

$\phi(u,v)$  : Phase transfer function (PTF)

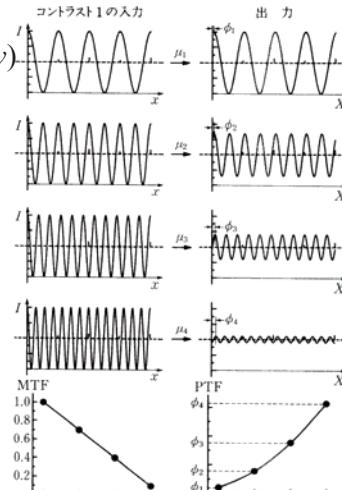
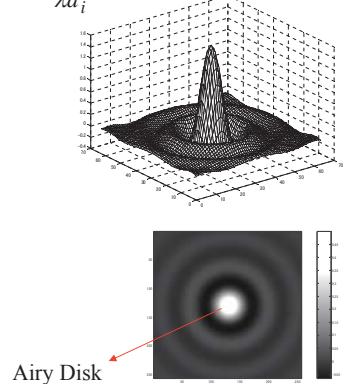
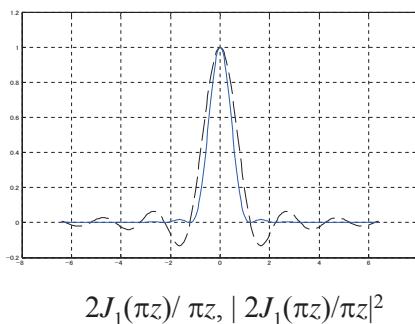


図 5.11 光強度の入出力特性  
吉村、「光情報工学の基礎」

## Impulse response of a circular aperture

$$h'(x_i, y_i) = |P_f\left(\frac{x_i}{\lambda d_i}, \frac{y_i}{\lambda d_i}\right)|^2$$

$$= \left| \frac{\pi D^2}{2} \cdot \frac{J_1\left(\frac{\pi D r_i}{\lambda d_i}\right)}{\frac{\pi D r_i}{\lambda d_i}} \right|^2 \quad h'(r_i) = 0 \quad \text{for } \frac{D r_i}{\lambda d_i} = 1.220$$

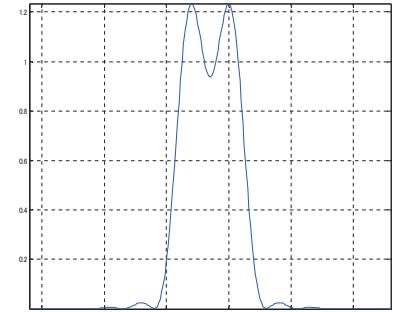
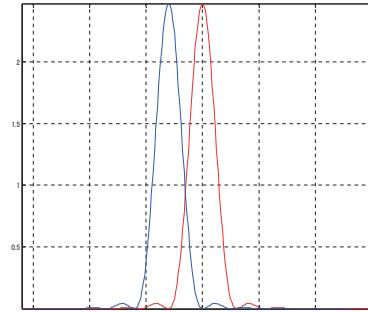


Airy Disk

## 2.6 Resolution of a lens system

### 2.6 レンズ系の分解能

- Rayleigh criterion -

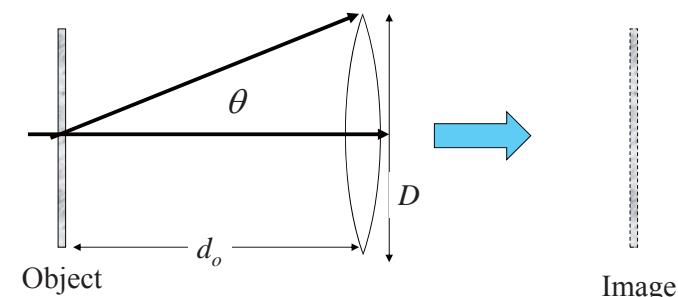


$$\text{Rayleigh limit} \quad L = 1.22 \frac{\lambda d_i}{D}$$

回折限界・解像限界 (Diffraction limit)

## Estimating the resolution of a lens system

$$p \sin \theta = \lambda$$

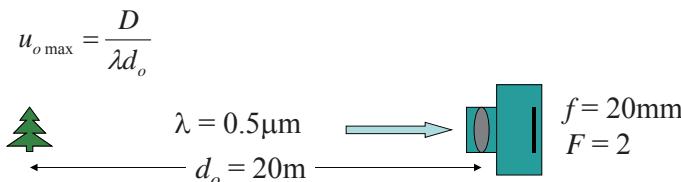


$$\sin \theta \approx \theta = D / (2 d_o)$$

$$U_{\max} = 1 / p_{\min} = \sin \theta / \lambda \approx D / (2 \lambda d_o)$$

$$\text{Rayleigh limit (image plane)} \quad L = 1.22 \frac{\lambda d_i}{D} \rightarrow \text{Object plane} \quad \frac{L}{M} = 1.22 \frac{\lambda d_i}{D M} = 1.22 \frac{\lambda d_o}{D}$$

## Example



- Lens diameter  $D = f / F = 10\text{mm}$
- $u_{o \max} = 10 / (0.5 \times 10^{-3} \times 20 \times 10^3) = 1.0 (\text{mm}^{-1})$
- Object larger than  $\approx 1\text{mm}$  can be resolved.

## 60x Plan APOCHROMAT Objective



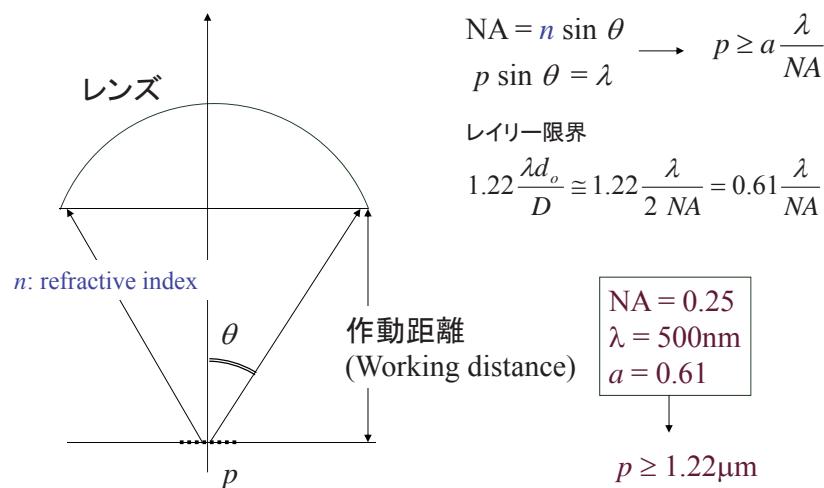
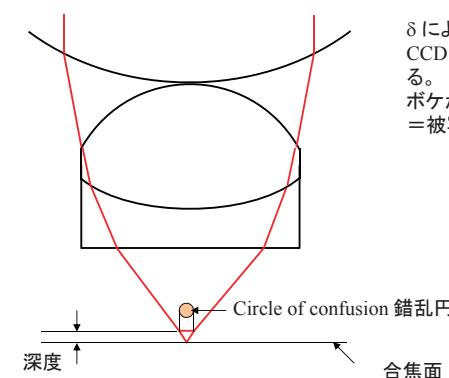
Optical Correction* and Magnification	Numerical Aperture	Working Distance (Millimeters)
ACH 10x	0.25	6.10
ACH 20x	0.40	3.00
ACH 40x	0.65	0.45
ACH 60x	0.80	0.23
ACH 100x (Oil)	1.25	0.13
PL 4x	0.10	22.0
PL 10x	0.25	10.5
PL 20x	0.40	1.20
PL 40x	0.65	0.56
PL 100x (Oil)	1.25	0.15
PL FL 4x	0.13	17.0
PL FL 10x	0.30	10.00
PL FL 20x	0.50	1.60
PL FL 40x	0.75	0.51
PL FL 100x (Oil)	1.30	0.10
PL APO 1.25x	0.04	5.1
PL APO 2x	0.06	6.20
PL APO 4x	0.16	13.00
PL APO 10x	0.40	3.10
PL APO 20x	0.70	0.65
PL APO 40x	0.85	0.20
PL APO 60x (Oil)	1.40	1.10
PL APO 100x (Oil)	1.40	0.10

\*Abbreviations:  
ACH, Achromat  
PL, Plan Achromat  
PL FL, Plan Fluorite  
PL APO, Plan APOCHROMAT

Table 2

<http://www.microscopyu.com/articles/optics/objectivespecs.html>

## Numerical Aperture (開口数)

Depth of field  
被写界深度

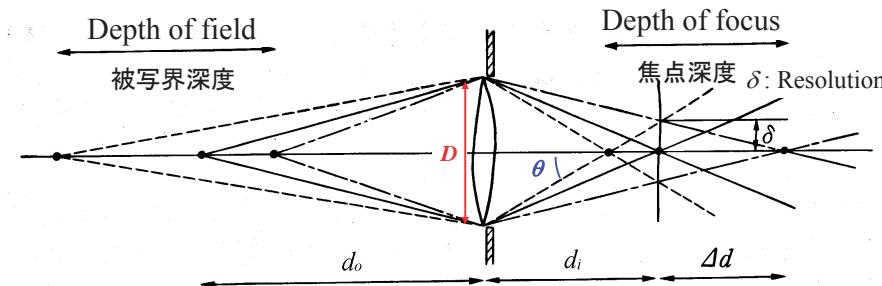
$\delta$ によるボケが眼の分解能、レンズ系の分解能、CCDの画素間隔より小さければ、ボケは許容できる。  
→ 許容錯乱円  
ボケが許容錯乱円となる深度の範囲  
=被写界深度



<http://www.medlab.com.au/cytology/>

- レンズのNAが大きければ被写界深度は浅くなる  
→ 分解能と被写界深度は一般的にトレードオフ

## Defocus / Depth of focus 焦点はずれ／焦点深度



$$\text{Depth of focus } \Delta d = \frac{d_i + \Delta d}{D} (2\delta) \approx \frac{d_i}{D} (2\delta) = \frac{2\delta}{2 \tan \theta} \approx \frac{\delta}{\sin \theta} = \frac{\delta}{NA}$$

$$\text{Depth of field } \Delta d_o \approx \frac{d_o}{D} (2\delta \frac{d_o}{d_i}) = \frac{d_o^2}{d_i D} (2\delta) = \frac{d_o^2}{d_i^2} \frac{\delta}{\tan \theta} \approx \frac{M^2 \delta}{NA}$$

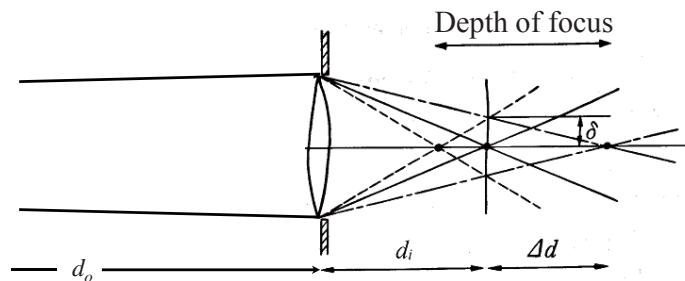
$\delta$ : Permissible circle of confusion  
determined by the diffraction limit, sensor resolution, etc.  
回折限界、センサー分解能などにより決まる

---

For  $\delta <$  Diffraction limit,  $\delta = 0.61 \frac{\lambda}{NA} = c \frac{\lambda}{NA}$   $\Rightarrow \Delta d = c \frac{\lambda}{(NA)^2}$

Demo: [http://www.matter.org.uk/tem/depth\\_of\\_field.htm](http://www.matter.org.uk/tem/depth_of_field.htm)

## Depth of focus (When $d_o$ is large, i.e., $d_i \approx f$ )



$$\Delta d = \frac{d_i}{D} (2\delta) \approx \frac{f}{D} (2\delta) = 2\delta F$$

$$\text{F-number } F = \frac{f}{D}$$

$$\text{For } \delta < \text{Diffraction limit}, (d_i \approx f) \quad \delta = 1.22 \frac{\lambda f}{D} = 1.22 \lambda F$$

$$\text{Depth of focus } \Delta d = 2.44 \lambda F^2$$