### 1.3 Character of dislocations and Burgers vector

### 1.3.1 dislocation character and sign

the vector $\mathbf{b}$ in Fig. $1.7 \rightarrow$ Burgers vector
a vector expressing the direction and magnitude of slip caused by the movement of a dislocation

Define a vector $\mathbf{t}$ : a unit vector parallel to the dislocation line
$\left\{\begin{array}{lll}\mathbf{b} \perp \mathbf{t}: & \text { edge dislocation } \\ \mathbf{b} / / \mathbf{t}: & \text { screw dislocation } \\ \text { otherwise } & \text { mixed dislocation }\end{array}\right.$
Dislocations have a sign. (Fig. 1.9)
A: positive (+b)
B: negative (-b)

The Burgers vector is an inherent and unique quantity for a given dislocation.

conservation law of the Burgers vector


Fig. 1.9 Positive and negative edge dislocations (A and B) move to the opposite directions under applied shear stress $\tau$.

### 1.3.2 Burgers circuit and Burgers vector

Assign $\mathbf{t}$.
Assign a starting lattice point S for both crystals with and without a dislocation. $\downarrow$
Make a circuit in both crystals so that $\mathbf{t}$ becomes a proceeding direction of a right-hand screw.

Close the circuit in the crystal with a dislocation so that the finishing point F becomes the same as S .
$\downarrow$
The same circuit in the perfect crystal results in the creation of a closure failure FS. $\downarrow$

(a) edge

(b) screw

Fig. 1.10 Burgers circuit and Burgers vector
The Burgers vector : a vector from F to S .

> A dislocation never terminate in a crystal. It terminates only at a surface or at a grain boundary.


Fig. 1.11 Motion of (a) edge and (b) screw dislocations under applied shear stress $\tau$.

Dislocations are not necessarily straight. They can be curved, branched, etc.


Loop

$b_{1}=b_{2}+b_{3}$
Branching


Network

Fig. 1.12 Various dislocation shapes

## Problem 1.4

Smartly and elegantly explain the fact that dislocations never terminate in a crystal.

## Problem 1.5

Which dislocation loop is physically possible, an edge dislocation loop or a screw dislocation loop?

## Chapter 2 Elastic Field and Line Tension of a Dislocation

### 2.1 Elastic field around a dislocation

A straight screw dislocation on the $\left(r, \theta, x_{3}\right)$ cylindrical coordinate system.:

The displacement $\mathbf{u}$ of an arbitrary point $(r, \theta, 0)$ along $x_{3}$ :

$$
\begin{align*}
& u_{1}=u_{2}=0 \\
& u_{3}=\theta b /(2 \pi)=(b / 2 \pi) \tan ^{-1}\left(x_{2} / x_{1}\right) \tag{2.1}
\end{align*}
$$

Since the displacement is known, elastic strains $e_{i j}$ can be calculated from $e_{i j}=\left(u_{i, j}+u_{j, i}\right) / 2$. Then, from the elastic


Fig. 2.1 A straight screw dislocation on the $x_{3}$ axis. strains and the Hooke's law, stress components $\sigma_{i j}^{\mathrm{s}}$ can be easily obtained. In the Cartesian coordinate system, they become

$$
\sigma_{i j}^{\mathrm{s}}=\left[\begin{array}{ccc}
0 & 0 & -\frac{\mu b}{2 \pi} \frac{x_{2}}{x_{1}^{2}+x_{2}^{2}}  \tag{2.2}\\
0 & 0 & \frac{\mu b}{2 \pi} \frac{x_{1}}{x_{1}^{2}+x_{2}^{2}} \\
-\frac{\mu b}{2 \pi} \frac{x_{2}}{x_{1}^{2}+x_{2}^{2}}, & \frac{\mu b}{2 \pi} \frac{x_{1}}{x_{1}^{2}+x_{2}^{2}}, & 0
\end{array}\right]
$$

where $\mu$ is the shear modulus. Or, in the cylindrical system

$$
\begin{equation*}
\varepsilon_{\theta x_{3}}=\varepsilon_{x_{3} \theta}=\frac{b}{4 \pi r}, \quad \sigma_{\theta x_{3}}^{\mathrm{s}}=\sigma_{x_{3} \theta}^{\mathrm{s}}=\frac{\mu b}{2 \pi r} \tag{2.3}
\end{equation*}
$$

Elastic field of a dislocation $\rightarrow$ inversely proportional to the distance from the dislocation The attenuation is rather weak. $\rightarrow$ long-range stress field

For a straight edge dislocation with $\mathrm{t}=[0,0,1]$ and $\mathbf{b}=[b, 0,0]$
$\sigma_{i j}^{\mathrm{e}}=\left[\begin{array}{cccc}-\frac{\mu b}{2 \pi(1-v)} \frac{x_{2}\left(3 x_{1}^{2}+x_{2}^{2}\right)}{\left(x_{1}^{2}+x_{2}^{2}\right)^{2}} & \frac{\mu b}{2 \pi(1-v)} \frac{x_{1}\left(x_{1}^{2}-x_{2}^{2}\right)}{\left(x_{1}^{2}+x_{2}^{2}\right)^{2}} & 0 \\ \frac{\mu b}{2 \pi(1-v)} \frac{x_{1}\left(x_{1}^{2}-x_{2}^{2}\right)}{\left(x_{1}^{2}+x_{2}^{2}\right)^{2}} & \frac{\mu b}{2 \pi(1-v)} \frac{x_{2}\left(x_{1}^{2}-x_{2}^{2}\right)}{\left(x_{1}^{2}+x_{2}^{2}\right)^{2}} & 0 \\ 0 & 0 & -\frac{\mu v b}{\pi(1-v)} \frac{x_{2}}{x_{1}^{2}+x_{2}^{2}}\end{array}\right]$
where $v$ is the Poisson ratio.

### 2.2 Elastic strain energy of a dislocation

$$
E_{\mathrm{el}}=\frac{1}{2} \int_{-\infty}^{\infty} \int_{0}^{2 \pi} \int_{0}^{\infty}\left(\varepsilon_{\theta x_{3}} \sigma_{\theta x_{3}}^{\mathrm{s}}+\varepsilon_{x_{3} \theta} \sigma_{x_{3} \theta}^{\mathrm{s}}\right) r \mathrm{~d} r \mathrm{~d} \theta \mathrm{~d} x_{3}=\int_{-\infty}^{\infty} \int_{0}^{2 \pi} \int_{0}^{\infty}\left\{\mu b^{2} /\left(8 \pi^{2} r^{2}\right)\right\} r \mathrm{~d} r \mathrm{~d} \theta \mathrm{~d} x_{3}
$$

per unit length

$$
E_{0}^{\mathrm{s}}=\int_{0}^{2 \pi} \int_{0}^{\infty}\left\{\mu b^{2} /\left(8 \pi^{2} r^{2}\right)\right\} r \mathrm{~d} r \mathrm{~d} \theta=\frac{2 \pi \mu b^{2}}{8 \pi^{2} r^{2}} \int_{0}^{\infty}\left(\frac{1}{r}\right) \mathrm{d} r
$$

by setting the upper and lower bounds of the integral as $r_{0}$ and $R$

$$
\begin{equation*}
E_{0}^{\mathrm{s}}=\frac{\mu b^{2}}{4 \pi} \ln \left(\frac{R}{r_{0}}\right), \quad \text { (screw dislocation) } \tag{2.5}
\end{equation*}
$$

$r_{0}(\approx 5 b)$ : dislocation core radius, $R$ : crystal radius or grain radius Similarly,

$$
\begin{equation*}
E_{0}^{\mathrm{e}}=\frac{\mu b^{2}}{4 \pi(1-v)} \ln \left(\frac{R}{r_{0}}\right), \quad \text { (edge dislocation) } \tag{2.6}
\end{equation*}
$$

Very rough expression

$$
\begin{equation*}
E_{0}=\alpha \mu b^{2}(\alpha \approx 1 / 2 \text { to } 1, \text { regardless of dislocation character }) \tag{2.7}
\end{equation*}
$$

### 2.3 Line tension

string model of a dislocation with line tension $T_{\mathrm{L}}$

$$
\begin{equation*}
T_{\mathrm{L}} \approx E_{0} \approx \mu b^{2} / 2 \tag{2.8}
\end{equation*}
$$

## Problem 2.1

(1) Using $r_{0}=10^{-9} \mathrm{~m}$ and $R=10^{-6} \mathrm{~m}$ as well as Eq. (2.5), calculate $\alpha$ in Eq. (2.7) for a screw dislocation.
(2) For $\mathrm{Cu}\left(\mu=4.6 \times 10^{10} \mathrm{~Pa}, b=0.255 \mathrm{~nm}\right)$, what will be the elastic strain energy of the above screw dislocation with length $b$ ? Answer in units of $[\mathrm{J}]$ and $[\mathrm{eV}]$.

