## 1.3 Character of dislocations and Burgers vector

### **1.3.1** dislocation character and sign

the vector **b** in Fig. 1.7  $\rightarrow$  Burgers vector

a vector expressing the direction and magnitude of slip caused by the movement of a dislocation

Define a vector **t** : a unit vector parallel to the dislocation line

 $\begin{cases} \mathbf{b} \perp \mathbf{t} : & \text{edge dislocation} \\ \mathbf{b} \ /\!/ \ \mathbf{t} : & \text{screw dislocation} \\ \text{otherwise} : mixed dislocation} \end{cases}$ 

Dislocations have a sign. (Fig. 1.9) A: positive (+**b**) B: negative (-**b**)

The Burgers vector is an inherent and unique quantity for a given dislocation.



Fig. 1.9 Positive and negative edge dislocations (A and B) move to the opposite directions under applied shear stress  $\tau$ .

conservation law of the Burgers vector

### 1.3.2 Burgers circuit and Burgers vector

Assign **t**.

Assign a starting lattice point S for both crystals with and without a dislocation.

Make a circuit in both crystals so that **t** becomes a proceeding direction of a right-hand screw.

Close the circuit in the crystal with a dislocation so that the finishing point F becomes the same as S.

The same circuit in the perfect crystal results in the creation of a closure failure FS.  $\downarrow$ 

The Burgers vector : a vector from F to S.



Fig. 1.10 Burgers circuit and Burgers vector





Fig. 1.11 Motion of (a) edge and (b) screw dislocations under applied shear stress  $\tau$ .

Dislocations are not necessarily straight. They can be curved, branched, etc.



Fig. 1.12 Various dislocation shapes

# Problem 1.4

Smartly and elegantly explain the fact that dislocations never terminate in a crystal.

# Problem 1.5

Which dislocation loop is physically possible, an edge dislocation loop or a screw dislocation loop?

# Chapter 2 Elastic Field and Line Tension of a Dislocation

## 2.1 Elastic field around a dislocation

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A straight screw dislocation on the  $(r, \theta, x_3)$  cylindrical coordinate system.:

The displacement **u** of an arbitrary point  $(r, \theta, 0)$  along  $x_3$ :

$$u_1 = u_2 = 0$$
  

$$u_3 = \theta b / (2\pi) = (b / 2\pi) \tan^{-1}(x_2 / x_1)$$
(2.1)

Since the displacement is known, elastic strains  $e_{ij}$  can be calculated from  $e_{ij} = (u_{i,j} + u_{j,i})/2$ . Then, from the elastic strains and the Hooke's law, stress components  $\sigma_{ij}^{s}$  can be easily obtained. In the Cartesian coordinate system, they become



Fig. 2.1 A straight screw dislocation on the  $x_3$  axis.

$$\sigma_{ij}^{s} = \begin{bmatrix} 0 & 0 & -\frac{\mu b}{2\pi} \frac{x_{2}}{x_{1}^{2} + x_{2}^{2}} \\ 0 & 0 & \frac{\mu b}{2\pi} \frac{x_{1}}{x_{1}^{2} + x_{2}^{2}} \\ -\frac{\mu b}{2\pi} \frac{x_{2}}{x_{1}^{2} + x_{2}^{2}}, & \frac{\mu b}{2\pi} \frac{x_{1}}{x_{1}^{2} + x_{2}^{2}}, & 0 \end{bmatrix}$$
(2.2)

where  $\mu$  is the shear modulus. Or, in the cylindrical system

$$\varepsilon_{\theta_{x_3}} = \varepsilon_{x_3\theta} = \frac{b}{4\pi r}, \qquad \sigma_{\theta_{x_3}}^s = \sigma_{x_3\theta}^s = \frac{\mu b}{2\pi r}$$
 (2.3)

Elastic field of a dislocation  $\rightarrow$  inversely proportional to the distance from the dislocation The attenuation is rather weak.  $\rightarrow$  long-range stress field

For a straight edge dislocation with t = [0, 0, 1] and  $\mathbf{b} = [b, 0, 0]$ 

$$\sigma_{ij}^{e} = \begin{bmatrix} -\frac{\mu b}{2\pi(1-\nu)} \frac{x_{2}(3x_{1}^{2}+x_{2}^{2})}{(x_{1}^{2}+x_{2}^{2})^{2}} & \frac{\mu b}{2\pi(1-\nu)} \frac{x_{1}(x_{1}^{2}-x_{2}^{2})}{(x_{1}^{2}+x_{2}^{2})^{2}} & 0 \\ \frac{\mu b}{2\pi(1-\nu)} \frac{x_{1}(x_{1}^{2}-x_{2}^{2})}{(x_{1}^{2}+x_{2}^{2})^{2}} & \frac{\mu b}{2\pi(1-\nu)} \frac{x_{2}(x_{1}^{2}-x_{2}^{2})}{(x_{1}^{2}+x_{2}^{2})^{2}} & 0 \\ 0 & 0 & -\frac{\mu \nu b}{\pi(1-\nu)} \frac{x_{2}}{x_{1}^{2}+x_{2}^{2}} \end{bmatrix}$$
(2.4)

where *v* is the Poisson ratio.

#### 2.2 Elastic strain energy of a dislocation

$$E_{\rm el} = \frac{1}{2} \int_{-\infty}^{\infty} \int_{0}^{2\pi} \int_{0}^{\infty} \left( \varepsilon_{\theta x_3} \sigma_{\theta x_3}^{\rm s} + \varepsilon_{x_3\theta} \sigma_{x_3\theta}^{\rm s} \right) r \, \mathrm{d}r \, \mathrm{d}\theta \, \mathrm{d}x_3 = \int_{-\infty}^{\infty} \int_{0}^{2\pi} \int_{0}^{\infty} \left\{ \mu b^2 / (8\pi^2 r^2) \right\} r \, \mathrm{d}r \, \mathrm{d}\theta \, \mathrm{d}x_3$$

per unit length

$$E_0^{\rm s} = \int_0^{2\pi} \int_0^\infty \{\mu b^2 / (8\pi^2 r^2)\} r \, \mathrm{d}r \, \mathrm{d}\theta = \frac{2\pi \mu b^2}{8\pi^2 r^2} \int_0^\infty \left(\frac{1}{r}\right) \mathrm{d}r$$

by setting the upper and lower bounds of the integral as  $r_0$  and R

$$E_0^{\rm s} = \frac{\mu b^2}{4\pi} \ln\left(\frac{R}{r_0}\right), \quad \text{(screw dislocation)} \tag{2.5}$$

 $r_0 ~(\approx 5b$ ) : dislocation core radius, R : crystal radius or grain radius Similarly,

$$E_0^{\rm e} = \frac{\mu b^2}{4\pi (1-\nu)} \ln\left(\frac{R}{r_0}\right), \quad (\text{edge dislocation})$$
(2.6)

Very rough expression

$$E_0 = \alpha \mu b^2$$
 ( $\alpha \approx 1/2$  to 1, regardless of dislocation character) (2.7)

## 2.3 Line tension

string model of a dislocation with line tension  $T_{\rm L}$ 

$$T_{\rm L} \approx E_0 \approx \mu b^2 / 2 \tag{2.8}$$

#### Problem 2.1

(1) Using  $r_0 = 10^{-9}$  m and  $R = 10^{-6}$  m as well as Eq. (2.5), calculate  $\alpha$  in Eq. (2.7) for a screw dislocation.

(2) For Cu ( $\mu = 4.6 \times 10^{10}$  Pa, b = 0.255 nm), what will be the elastic strain energy of the above screw dislocation with length *b*? Answer in units of [J] and [eV].

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