

## Advanced Course in Surface Properties of Organic Materials

1. Energy Band of Organic Crystals (Mori)
2. Organic Conductors and Organic Electronics (Mori)
3. Optical Properties of Solids (Ishikawa)
4. Liquid Crystals (Ishikawa)
5. Surface Analysis and Spectroscopy (Ouchi)
6. Surface Properties of Organic Materials (Matsumoto)
7. Organic Nanomaterials (Matsumoto)

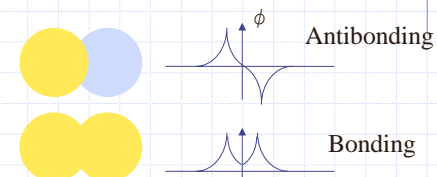
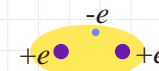
### Hydrogen Molecule

(2) LCAO-MO  $\phi = c_A \chi_A + c_B \chi_B$

(4) Secular Eq.  $\begin{vmatrix} \alpha - E & \beta \\ \beta & \alpha - E \end{vmatrix} = 0$

(6)  $\alpha - \beta \quad \phi = \chi_A - \chi_B$

$\alpha + \beta \quad \phi = \chi_A + \chi_B$



Stabilization due to the covalent bond is

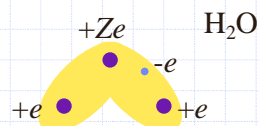
$$\left[ \right]$$

### Molecular orbital theory

(1) One-electron Schrödinger equation

$$\left[ -\frac{\hbar^2}{2m} \nabla^2 - \frac{e^2}{4\pi\epsilon_0} \sum_n \frac{Z_n}{r_n} \right] \phi = E \phi$$

Put one electron in the arranged nuclei.



(2) LCAO-MO (Linear Combination of Atomic Orbitals)

$$\phi = \sum_i^N c_i \chi_i \quad N: \text{total number of atomic orbitals}$$

(3)  $E = \frac{\int \phi^* H \phi d\tau}{\int \phi^* \phi d\tau}$  energy minimum  $\frac{\partial E}{\partial c_i} = 0 \quad i=1 \sim N$

(4) Secular equation  $N \times N$

$$\begin{vmatrix} \alpha_{11} - E & \beta_{12} & 0 & \dots \\ \beta_{21} & \alpha_{22} - E & \beta_{23} & \dots \\ 0 & \beta_{32} & \alpha_{33} - E & \dots \\ \vdots & \vdots & \vdots & \ddots \end{vmatrix} = 0$$

Non diagonal : resonance integrals  $\beta_{ij} = \int \chi_i^* H \chi_j d\tau$

Diagonal : Energy level of  $i$ -th AO  $\alpha_{ii} = \int \chi_i^* H \chi_i d\tau$

(5)  $N$ -order equation of  $E$

(6)  $N$  energy levels of  $E$  (固有値)

Simultaneous equation for  $c_i$

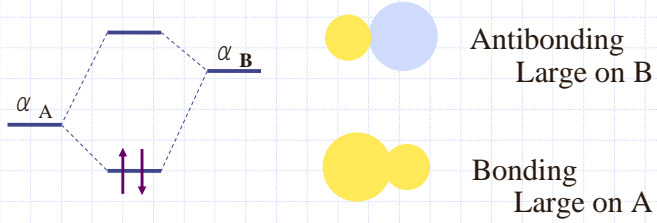
(7)  $N$ -set of  $c_i$  (固有関数) = Molecular orbital (分子軌道)

Polar bond

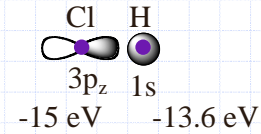
(2) LCAO-MO  $\phi = c_A \chi_A + c_B \chi_B$

(4) Secular Eq.  $\begin{vmatrix} \alpha_A - E & \beta \\ \beta & \alpha_B - E \end{vmatrix} = 0$

$$(6) E = \frac{\alpha_A + \alpha_B}{2} \pm \frac{1}{2} \sqrt{(\alpha_A - \alpha_B)^2 + 4\beta^2} \rightarrow \frac{\alpha_A + \alpha_B}{2} \pm \frac{|\alpha_A - \alpha_B|}{2} \left(1 + \frac{2\beta^2}{(\alpha_A - \alpha_B)^2}\right)$$

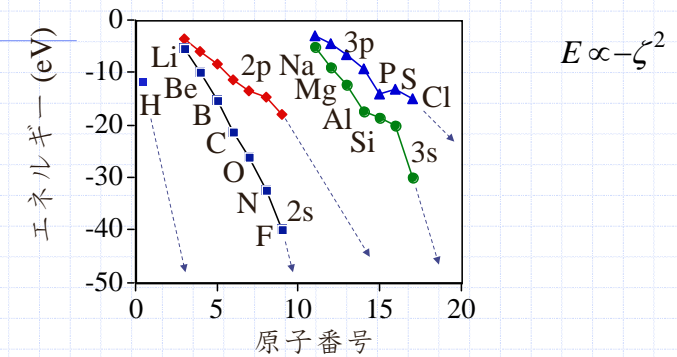


Large  $\alpha_A$   
→ Electronically negative atom



Cl<sup>δ-</sup>H<sup>δ+</sup>

Energy of Atomic Orbital  $\alpha_A = \text{Ionization energy}$



Due to the imperfect screening, going right leads to [ ] ionization energy, and [ ] atomic orbital.  
Going right leads to electronically negative.

Diatomic molecule like N<sub>2</sub>

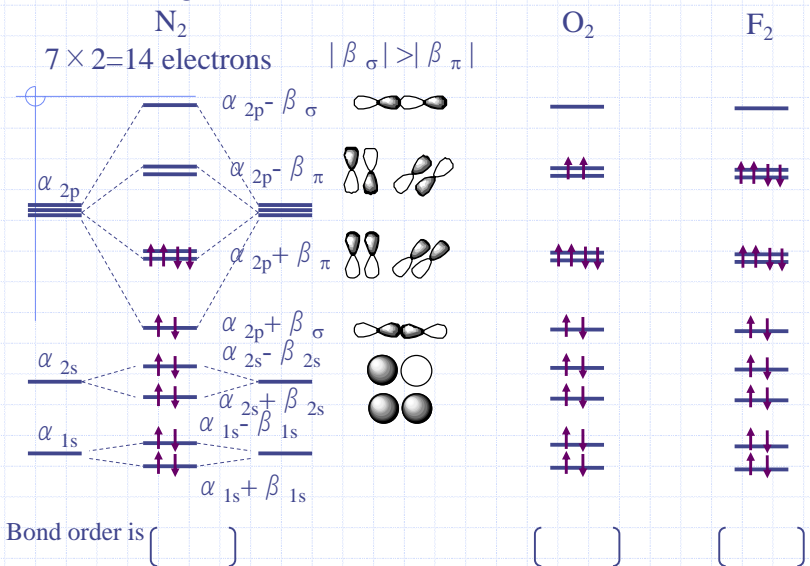
N 1s + 2s + 2p × 3 → 5AO × 2 = 10 AO

$$\phi = c_1 \chi_{1s}^A + c_2 \chi_{1s}^B + c_3 \chi_{2s}^A + c_4 \chi_{2s}^B + c_5 \chi_{2p_z}^A + c_6 \chi_{2p_z}^B + c_7 \chi_{2p_y}^A + c_8 \chi_{2p_y}^B + c_9 \chi_{2p_x}^A + c_{10} \chi_{2p_x}^B$$

$$\begin{vmatrix} \alpha_{1s} - E & \beta_{1s} & & & & \\ \beta_{1s} & \alpha_{1s} - E & & & & \\ & & \alpha_{2s} - E & \beta_{2s} & & \\ & & \beta_{2s} & \alpha_{2s} - E & & \\ & & & & \alpha_{2p} - E & \beta_{\sigma} & 0 & 0 & 0 & 0 \\ & & & & \beta_{\sigma} & \alpha_{2p} - E & 0 & 0 & 0 & 0 \\ & & & & 0 & 0 & \alpha_{2p} - E & \beta_{\pi} & 0 & 0 \\ & & & & 0 & 0 & \beta_{\pi} & \alpha_{2p} - E & 0 & 0 \\ & & & & 0 & 0 & 0 & 0 & \alpha_{2p} - E & \beta_{\pi} \\ & & & & 0 & 0 & 0 & 0 & \beta_{\pi} & \alpha_{2p} - E \end{vmatrix} = 0$$

orthogonal

Electron configuration



$$\text{Bond order} = \frac{(\# \text{Bonding orbital}) - (\# \text{Antibonding orbital})}{2}$$

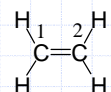
## $\pi$ -Electron System Hückel Method

$\sigma$  and  $\pi$ -orbitals are orthogonal.

$$\begin{vmatrix} \sigma & 0 \\ 0 & \pi \end{vmatrix} = 0 \quad \rightarrow \text{Consider only this part.}$$

$\beta$  for nearby C=C is nonzero. Others are zero.  
All overlap integrals are  $S=0$ .

Example ethylene



$$\begin{vmatrix} \alpha - E & \beta \\ \beta & \alpha - E \end{vmatrix} = 0$$

$$\alpha - \beta \quad \text{---} \quad \phi = \chi_A - \chi_B$$

$$\alpha + \beta \quad \text{---} \quad \phi = \chi_A + \chi_B$$



Bonding energy is

$$\left[ \quad \right]$$

## Hückel Method for Complicated $\pi$ -Electron Systems

Number carbon atoms with  $\pi$ , the total is  $N$ .

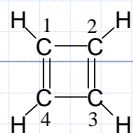
Write a  $N \times N$  secular equation, with all diagonal terms  $\alpha - E$ .

Nondiagonal terms are  $\beta$  for bonded  $i$ -th and  $j$ -th carbons, and zero for non bonded carbons.

$|\text{determinant}| = 0$  leads to  $N$ -th equation of  $E$ , which is solved to obtain  $N$  energy levels.

Put electrons from the bottom. (#Electron)=(#Carbon)

Write the secular equation of cyclobutadiene.



$$\left[ \quad \right]$$

The solution is

$$\alpha - 2\beta \quad \text{---}$$

$$\alpha \quad \text{---}$$

$$\alpha + 2\beta \quad \text{---}$$

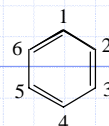
Bonding energy is

$$\left[ \quad \right]$$

There is no energy gain compared with two double bonds

$$2 \times 2\beta = 4\beta$$

Write the secular equation of benzene.



$$\left[ \quad \right]$$

The solution

$$\alpha - 2\beta \quad \text{---}$$

$$\alpha - \beta \quad \text{---}$$

$$\alpha + \beta \quad \text{---}$$

$$\alpha + 2\beta \quad \text{---}$$

Bonding energy is

$$\left[ \quad \right]$$

Compared with three double bonds  
 $3 \times 2\beta = 6\beta$ , there is  $2\beta$  energy gain  
(delocalization energy).

$4n+2$  membered ring delocalization aromatic  
 $4n$  membered ring no delocalization  
(Hückel rule)

## Energy Band (Tight-Binding Approximation)

⊕ LCAO-MO of a one-dimensional polymer  
(Hückel MO of polyacetylene)

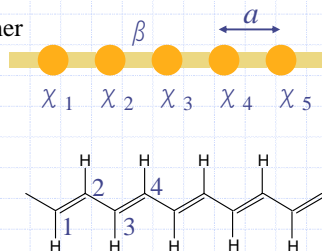
$$\phi = \sum_n c_n \chi_n$$

The secular equation is

$$\begin{vmatrix} \alpha - E & \beta & 0 & \dots \\ \beta & \alpha - E & \beta & \\ 0 & \beta & \alpha - E & \\ \vdots & & & \ddots \end{vmatrix} = 0$$

$N \times N$ 次

We can directly solve this, but we use another way:



$$\phi = \sum_n c_n \chi_n$$

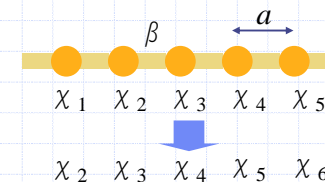
⊕ translation by one unit cell,  $\mathbf{r} \rightarrow \mathbf{r} + \mathbf{a}$   
should not change the physics, because it only changes the atom number.

So the electron density  $\rho = \phi^* \phi$  does not change. Accordingly,  $c_{n+1}^* c_{n+1} = c_n^* c_n$ , or only the phase of  $c_n$  may change. Thus, we can put  $c_{n+1} = c_n e^{i\theta} = c_n e^{ika}$ , Consequently  $\phi$  is:

$$\begin{aligned} \phi &= c_0 [\chi_0 + e^{ika} \chi_1 + e^{i2ka} \chi_2 + e^{i3ka} \chi_3 + e^{i4ka} \chi_4 + \dots] \\ &= c_0 \sum_n e^{inka} \chi_n \end{aligned}$$

(Bloch function)

$c_0$  is mere a normalization constant.



Energy of  $\phi = \sum_n e^{inka} \chi_n$  is

$$\begin{aligned} E &= \frac{\int \phi^* H \phi d\tau}{\int \phi^* \phi d\tau} = \frac{\int (\sum_m e^{-imka} \chi_m^*) H (\sum_n e^{inka} \chi_n) d\tau}{\int (\sum_m e^{-imka} \chi_m^*) (\sum_n e^{inka} \chi_n) d\tau} \\ &= \frac{\sum_n \sum_m e^{i(n-m)ka} \int \chi_m^* H \chi_n d\tau}{\sum_n \sum_m e^{i(n-m)ka} \int \chi_m^* \chi_n d\tau} \\ &= \frac{N(e^{ika} \beta + \alpha + e^{-ika} \beta)}{N} \end{aligned}$$

$E = \alpha + 2\beta \cos ka$

$\cos ka = \frac{e^{ika} + e^{-ika}}{2}$

$E = \alpha + 2\beta \cos ka$

⊕ Owing to the periodicity,  
we only consider

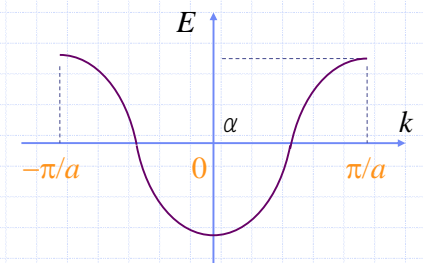
$$-\pi < ka < \pi \quad \text{or} \quad \left[ -\frac{\pi}{a} \right] < k < \left[ \frac{\pi}{a} \right]$$

(2) Owing to  $\beta < 0$ ,

Maximum of  $E$  is (at  $k = \pi/a$ )  $E = \{ \quad \}$

Minimum of  $E$  is (at  $k = 0$ )  $E = \{ \quad \}$

(3) As a whole, the energy band has the bandwidth,  $[ \quad ]$



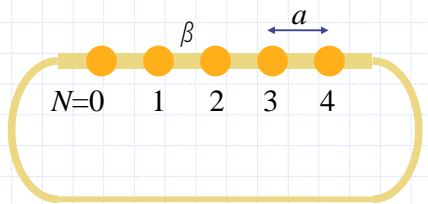
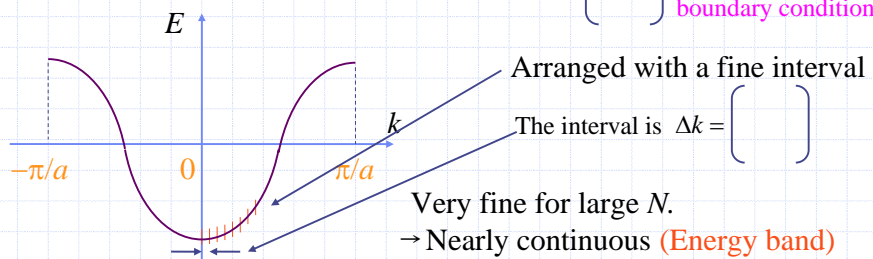
$$E = \alpha + 2\beta \cos ka$$

$$\phi = \sum_n e^{inka} \chi_n$$

(4) Make a ring.  
(Otherwise, "edge state" appears.)

When the total atoms are  $N$ ,  $N$ -th atom = 0-th atom, so

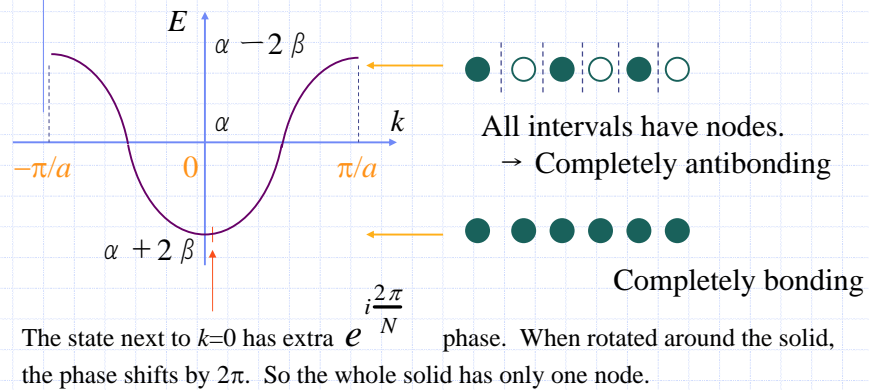
$$e^{iNka} = 1 \rightarrow Nka = 2\pi n \quad (n : \text{integer}) \quad \underset{F \uparrow}{k} = \left[ \right] \quad \text{Periodical boundary condition}$$



$$E = \alpha + 2\beta \cos ka$$

$$(5) \quad \phi = \sum_n e^{inka} \chi_n$$

$$\begin{aligned} k=0 & \text{ leads to } \phi = \chi_0 + \chi_1 + \chi_2 + \chi_3 + \dots \\ k=\pi/a & \text{ leads to } \phi = \left( \begin{array}{l} \end{array} \right. \end{aligned}$$



(6) Total number of levels

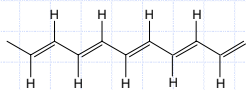
$$2 \times \frac{\pi}{\frac{a}{2\pi Na}} = \left[ \right]$$
 level interval

$N$  atoms  $\rightarrow N$  energy levels

(7) For  $N$  electrons

$$2 \frac{2k_F}{2\pi} = N \rightarrow k_F = \left( \right)$$

for half-filled.



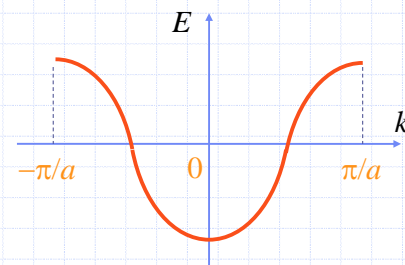
### Polyacetylene without bond alternation

(8) For  $2N$  electrons

$$2 \frac{2k_F}{\frac{2\pi}{Na}} = 2N \rightarrow k_F = \left[ \right]$$

All states are occupied

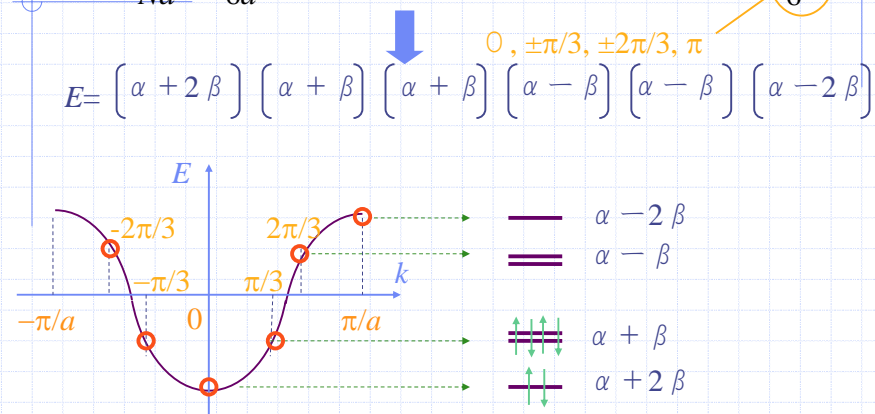
Two electrons,  $\uparrow$  and  $\downarrow$ , enter in one atomic orbital  $\chi$ .





(9) For  $N=6$

$$k = \frac{2\pi n}{Na} = \frac{2\pi n}{6a} \text{ leads to } E = \alpha + 2\beta \cos ka = \alpha + 2\beta \cos \frac{2\pi}{6} n$$



$\pi$  orbitals (Hückel method) for benzene

Similarly, we can calculate Hückel molecular orbitals for  $N$ -carbon rings.

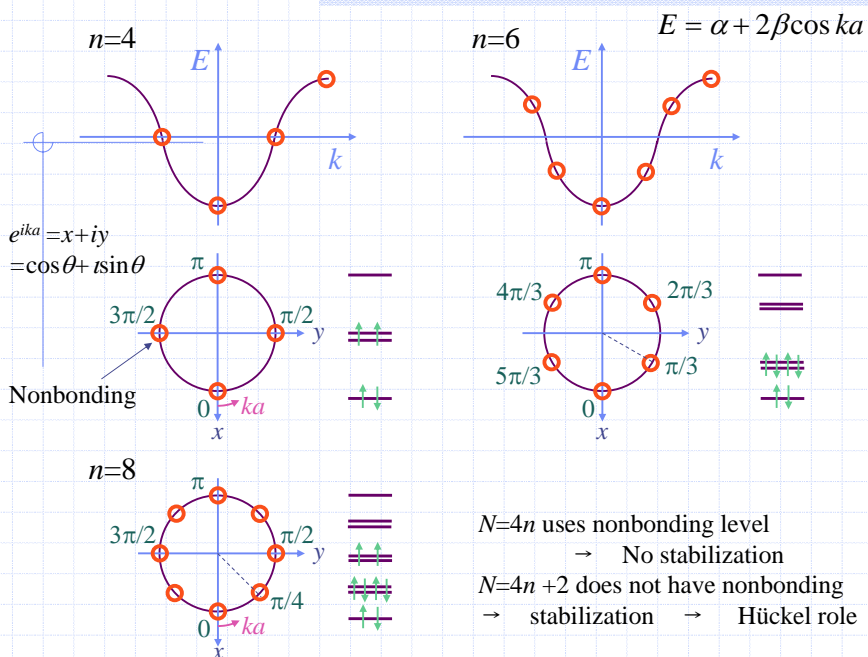
## Excise Hückel Molecular Orbital of Cyclopentadienyl

Cyclopentadienyl anion (right) is pentagon and has delocalized negative charge.



Calculate the energy levels from the equation of the tight-binding band,  $E = \alpha + 2\beta \cos ka$ .

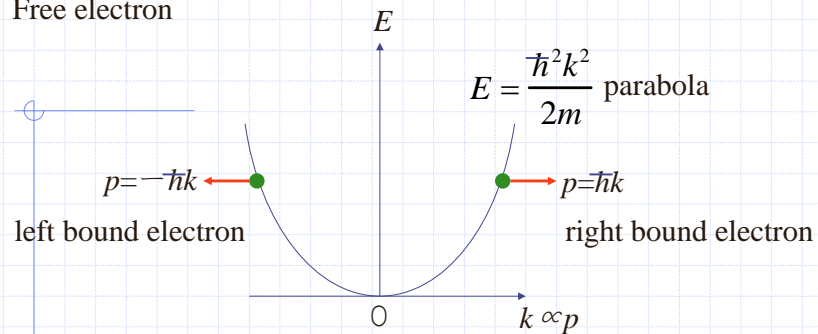
- (1) When  $N=5$ ,  $k$  takes the values of  $0, \pm A, \pm B$ . Show A and B.
- (2) Obtain the energy levels.  
Use  $\cos(2\pi/5) = \cos 72^\circ = 0.309$ ,  $\cos(4\pi/5) = \cos 144^\circ = -0.809$ .
- (3) Calculate energies of the anion, the radical and the cation.



## Free electron approximation

- (1) Simple free electron approximation derived from the first principle of **quantum mechanics**.
- (2) Electrons in metals, particularly the energy and momentum distribution, are investigated starting from a large number of free electrons.
- (3) Distribution of electrons at finite temperatures are discussed in view of the Fermi statistics: **statistical mechanics**.

Free electron



Wave number  $k = 2\pi / \lambda$  is inverse of the wavelength.  
The number of waves in unit  $\times 2\pi$  length.

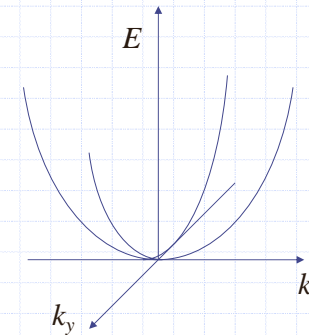
$$E = \frac{p_x^2 + p_y^2 + p_z^2}{2m} + V \text{ in three dimension.}$$

Schrödinger equation is,

$$\left[ -\frac{\hbar^2}{2m} \left( \frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right) + V \right] \phi = E \phi$$

Eigenfunction (solution) for  $V=0$  is  $\phi(x, y, z) = e^{i(k_x x + k_y y + k_z z)}$

Eigenvalue (energy) is  $E = \left[ \right]$



We cannot depict  $k_z$  at the same time.

Instead of an infinite space, consider a box with a finite length  $L$ , and the  $x=L$  edge is connected to  $x=0$ .

(Otherwise, the edge generates a "surface" state.)

$$\phi(x+L, y, z) = \phi(x, y, z)$$

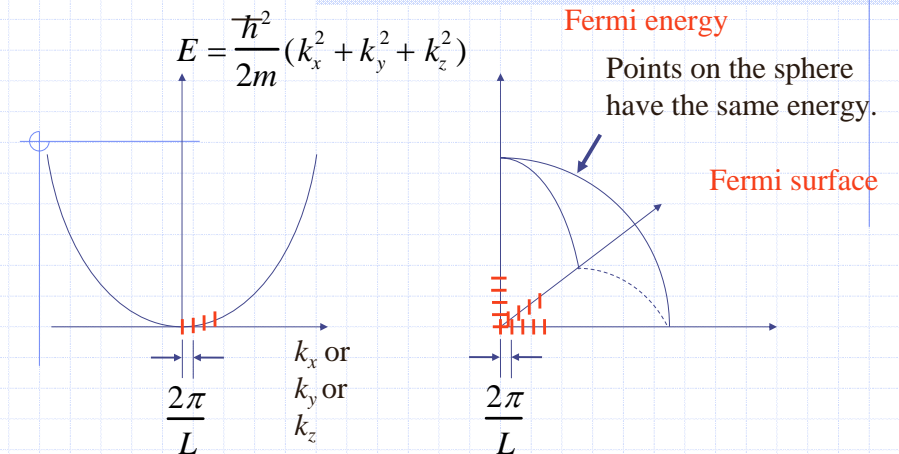
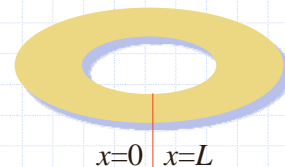
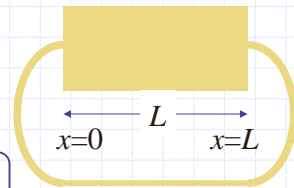
$$e^{ik_x L} = 1 \rightarrow k_x L = 2\pi n \rightarrow k_x = \left[ \right] \quad (n : \text{integer})$$

Similarly for  $y, z$ ,

$$k_x = \frac{2\pi}{L} n_x \quad k_y = \frac{2\pi}{L} n_y \quad k_z = \frac{2\pi}{L} n_z$$

(Consider a cube with  $L$  edges.)

Periodical boundary condition



Interval of energy levels  
→ continuous for large  $L$ .  
→ **Energy band**

Fill  $N$  electrons according to the Pauli's exclusion principle. Starting from the origin with the minimum energy, to the inside of a sphere with radius  $k = \sqrt{k_x^2 + k_y^2 + k_z^2}$

Volume of a sphere with radius  $k$  is

$$2 \frac{\frac{4\pi}{3} k^3}{\left(\frac{2\pi}{L}\right)^3} = N \quad \Rightarrow \quad \left( \right) = N \quad \text{where} \quad V = L^3$$

Interval of states (3D)

Electron with the highest energy

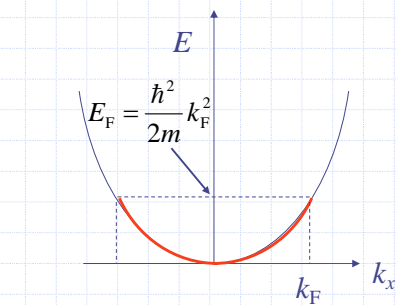
(Fermi energy) is

$$k_F^2 = k_x^2 + k_y^2 + k_z^2$$

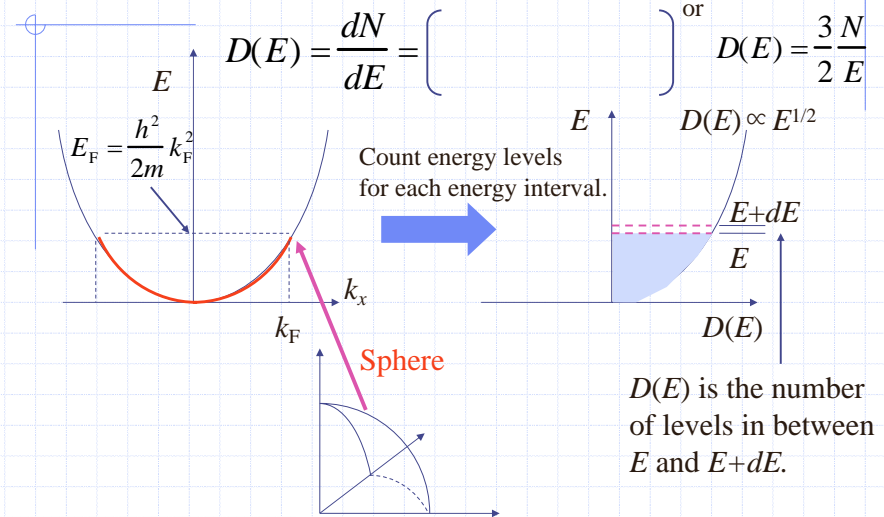
$$E_F = \frac{\hbar^2}{2m} k_F^2 = \frac{\hbar^2}{2m} \times \left( \right)$$

is solved as for  $N$  to give,

$$N = \frac{V}{3\pi^2} \times \left( \right)$$



Differentiate as for  $E$ , and the number of energy levels per unit energy (density of states or states density) is



Another derivation of states density

$dN$  is the number of states in between  $E$  and  $E+dE$ .

Surface of the sphere

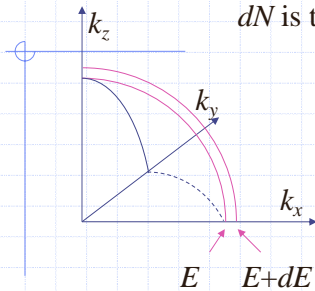
$$2 \frac{4\pi k^2 dk}{\left(\frac{2\pi}{L}\right)^3} = dN$$

Interval of the states in 3D

$$E = \frac{\hbar^2 k^2}{2m} \quad \Rightarrow \quad dE = \left( \right)$$

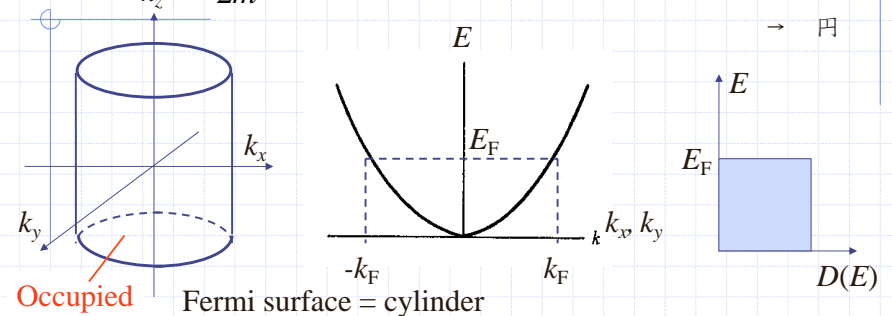
$$D(E) = \frac{dN}{dE} = 2 \frac{4\pi k^2}{\left(\frac{2\pi}{L}\right)^3} \frac{1}{\hbar^2 k} \frac{dk}{dk} = \frac{V}{2\pi^2} \frac{2m}{\hbar^2} k = \frac{V}{2\pi^2} \left(\frac{2m}{\hbar^2}\right)^{\frac{3}{2}} E^{\frac{1}{2}}$$

$k = \left(\frac{2mE}{\hbar^2}\right)^{\frac{1}{2}}$       The same conclusion



Two-dimensional metal

$$E = \frac{\hbar^2}{2m} (k_x^2 + k_y^2) = \text{const.} \quad \text{leads to} \quad k_x^2 + k_y^2 = k_F^2 = \text{const.}$$

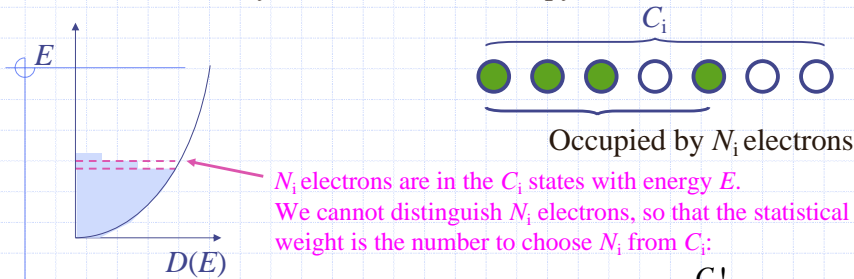


Area of the circle

$$2 \frac{\pi k^2}{\left(\frac{2\pi}{L}\right)^2} = N \quad \Rightarrow \quad N = \frac{L^2}{2\pi} k^2 = \left( \right) \quad \Rightarrow \quad D(E) = \frac{dN}{dE} = \left( \right)$$



Fermi statistics: only one electron can occupy a state.



$$W_i = \frac{C_i!}{N_i!(C_i - N_i)!}$$

The definition of entropy in statistical mechanics is

$$S = k_B \ln W = k_B \ln \prod_i W_i = k_B \sum_i \ln W_i = k_B \sum_i \ln \frac{C_i!}{N_i!(C_i - N_i)!}$$

$$= k_B \sum_i (C_i \ln C_i - N_i \ln N_i - (C_i - N_i) \ln (C_i - N_i))$$

Stirling' equation  $\ln N! = N \ln N - N$

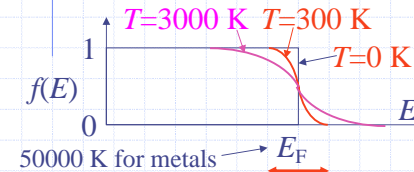
The realized distribution of  $N_i$  minimizes the Gibbs free energy

$$F = E - TS - \mu N \quad \text{where } E = \sum N_i E_i, \quad N = \sum N_i.$$

So differentiation of  $F$  as for  $N_i$  is zero to give,

$$\frac{\partial F}{\partial N_i} = E_i + k_B T (\ln N_i - \ln (C_i - N_i)) - \mu = 0$$

$$\frac{C_i - N_i}{N_i} = \left( \right) \quad \text{Occupation} \quad f(E_i) = \frac{N_i}{C_i} = \left( \right)$$



**Fermi-Dirac distribution**

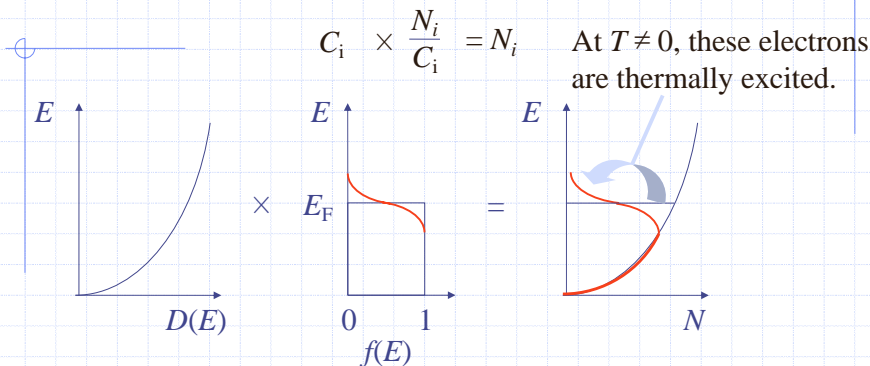
When  $T=0$ :

$$E < \mu \quad f(E) = \frac{1}{e^{-\infty} + 1} = \left( \right)$$

$$E > \mu \quad f(E) = \frac{1}{e^{+\infty} + 1} = \left( \right)$$

$$E_F = \mu : \text{chemical potential}$$

The real electron number is  $D(E) \times f(E)$



$$\frac{E_F}{k_B T} \approx \frac{50000 \text{ K}}{300 \text{ K}} > 100 \rightarrow \text{Only } < 1\% \text{ electrons are thermally excited.}$$

Internal energy of metal electrons

$$U(T) = \int_0^\infty (E - E_F) D(E) f(E) dE$$

Specific heat

$$C_V = \frac{\partial U}{\partial T} = \int_0^\infty (E - E_F) D(E) \frac{\partial f(E)}{\partial T} dE$$

Measured from  $E_F = 0$ .

$T$  appears only in  $f(E)$ .

where  $f(E_i) = \frac{1}{e^{\frac{E_i - \mu}{k_B T}} + 1} = \frac{1}{e^x + 1}$

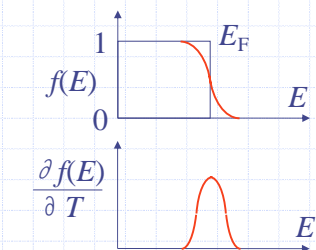
$x = \frac{E - \mu}{k_B T}$  gives

$$\frac{\partial f}{\partial T} = \left( \right) \quad dx = \frac{dE}{k_B T}$$

$\frac{\partial f(E)}{\partial T}$  is nonzero only near  $E_F$ .

so that approximated to be

$$D(E) \sim D(E_F).$$



$$C_V = D(E_F) \int_0^\infty (E - E_F) \frac{\partial f(E)}{\partial T} dE$$

$$= D(E_F) \int_0^\infty (k_B T x) \frac{x}{T (e^x + 1)^2} k_B T dx$$

$$= k_B^2 T D(E_F) \int_0^\infty x^2 \frac{e^x}{(e^x + 1)^2} dx \quad \pi^2/3 \text{ from table of integrals}$$

$$= \left[ \gamma \right] T \quad C_V = \gamma T$$

Specific heat of metal electrons

or using  $D(E_F) = \frac{3}{2} \frac{N}{E_F} = \frac{3}{2} \frac{N}{k_B T_F}$   $T_F$ : Fermi temperature

$$C_V = \frac{\pi^2}{3} \frac{3}{2} \frac{N}{k_B T_F} k_B^2 T = \frac{\pi^2}{2} N k_B \frac{T}{T_F} = \frac{\pi^2}{2} n R \frac{T}{T_F}$$

Gas constant

If free electron is an ideal gas, according to the Dulong-Petit theorem, the specific heat is  $C_V = 3R$ . However, it is less than

$$\frac{T}{T_F} \approx \frac{300 \text{ K}}{50000 \text{ K}} \approx 10^{-2}$$

➔ Owing to the Fermi distribution, only  $k_B T$  electrons near  $E_F$  are excited, and contribute to the specific heat.

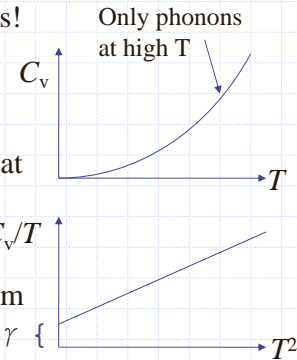
➔ Metal electrons are "Fermi" particles!

➔ Fermi gas cf. Classical gas

At low temperatures (<50 K), the lattice vibration (phonon) decays as  $C_V \propto T^3$  so that

$$C_V = \gamma T + \beta T^3 \quad \text{free electron} \quad \text{phonon} \quad \Rightarrow \quad \frac{C_V}{T} = \gamma + \beta T^2$$

Experimental estimation of  $\gamma \rightarrow D(E_F)$  from the low-temperature (<4 K) specific heat.  $\gamma \{$



### Bose-Einstein statistics

Insert  $N_i$  particles in  $C_i$  levels, allowing any particles in the same level.

The number to arrange  $N_i$  particles and  $C_i - 1$  partitions.

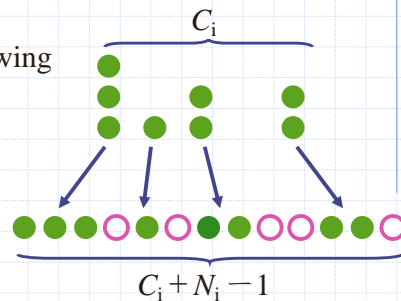
$$W_i = \frac{(C_i + N_i - 1)!}{N_i! (C_i - 1)!}$$

$C_i - 1 \rightarrow C_i$  gives

$$\ln W_i = (C_i + N_i) \ln(C_i + N_i) - N_i \ln N_i - C_i \ln C_i$$

Put this in  $F = E - TS - \mu N$ , and differentiation as for  $N_i$  is put zero to

$$\frac{\partial F}{\partial N_i} = \left[ \frac{C_i + N_i}{N_i} \right] = 0 \quad \Rightarrow \quad \frac{C_i + N_i}{N_i} = e^{\frac{E_i - \mu}{k_B T}}$$



$$f(E_i) = \frac{N_i}{C_i} = \left[ \frac{e^{\frac{E_i - \mu}{k_B T}}}{e^{\frac{E_i - \mu}{k_B T}} - 1} \right] \quad \text{Bose-Einstein statistics}$$

$T \rightarrow 0$

$$E_i - \mu > 0 \quad e^{+\infty} \rightarrow +\infty \quad f(E) \rightarrow 0$$

$$E_i - \mu = 0 \quad e^0 \rightarrow 1 \quad f(E) \rightarrow +\infty$$

All particles go to the lowest level.

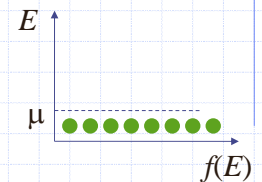
$$E_i - \mu \rightarrow \hbar \omega \quad \text{gives} \quad f(E_i) = \frac{1}{e^{\frac{\hbar \omega}{k_B T}} - 1} \quad \text{Planck distribution}$$

Phonon (lattice vibration) is Bose-Einstein particle.

Photon (light) is the same  $\rightarrow$  black body

$$f(E_i) = \frac{1}{e^{\frac{E_i - \mu}{k_B T}} \pm 1} \quad \left\{ \begin{array}{l} + \\ - \end{array} \right\}$$

Quantum statistics



$E_i - \mu \gg k_B T$  leads to  $e^{\frac{E_i - \mu}{k_B T}} \gg 1$   $f(E_i) = e^{-\frac{E_i - \mu}{k_B T}}$

Boltzmann (classical) distribution

Classical distribution

Each  $i$ -th state has  $n_i$  particles, with the total  $N = \sum n_i$  particles.

The statistical weight is

$$W = \frac{N!}{n_1! n_2! n_3! \dots}$$

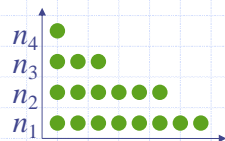
$\ln N! = N \ln N - N$   
Stirling's equation

so

$$\ln W = \ln \frac{N!}{n_1! n_2! n_3! \dots} = N \ln N - \sum_i n_i \ln n_i$$

Put this in  $F = E - TS - \mu N$  ( $S = k_B \ln W$ )

$$F = \sum_i E_i n_i - k_B T (N \ln N - \sum_i n_i \ln n_i) - \mu \sum_i n_i$$



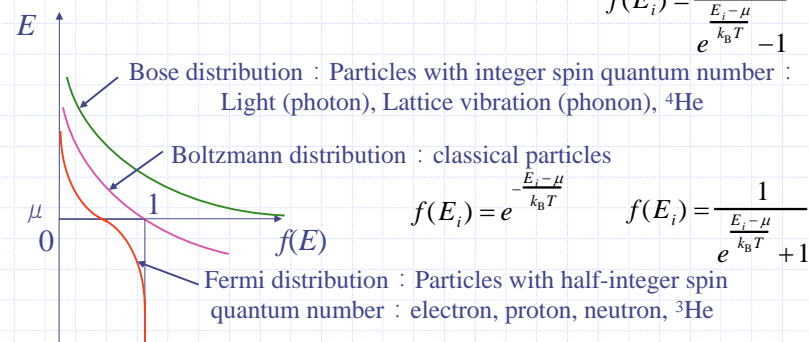
Differentiation as for  $n_i$  is zero to give,

$$\frac{\partial F}{\partial n_i} = \left[ \dots \right] = 0$$

so

$$f(E_i) = n_i = \left[ \dots \right] \text{ Boltzmann distribution}$$

$$f(E_i) = \frac{1}{e^{\frac{E_i - \mu}{k_B T}} - 1}$$

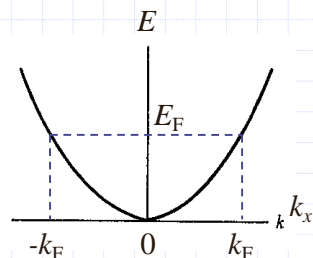
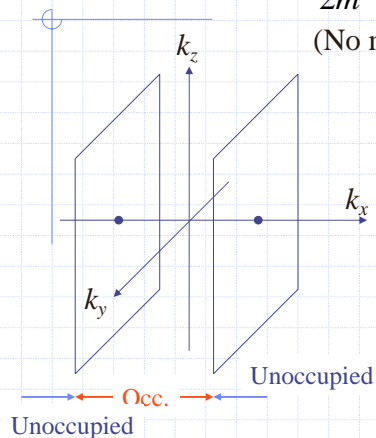


Everything approaches to Boltzmann at  $E_i - \mu \gg k_B T$ .

One-dimensional metal

$$E = \frac{\hbar^2 k_x^2}{2m} = \text{const. leads to } k_x = k_F = \text{const.}$$

(No momentum for  $k_y, k_z$   
= does not move)



Fermi surface consists of a pair of planes.