

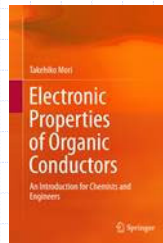
Organic Electronic Materials Physics

Lecture notes available from OCW

1. From molecular orbital to tight-binding model
2. Free Electron model and advanced tight-binding model
3. Fermi surface and transport properties
4. Magnetism and electron correlation
5. Organic conductors
6. Organic semiconductors
7. Organic Electronics

Reference:

Electronic properties of organic conductors, Springer
Chapters 2, 3, 4, 5, 7, 8
Electronic version available

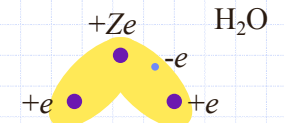


Molecular orbital theory

(1) One-electron Schrödinger equation

$$\left[-\frac{\hbar^2}{2m} \nabla^2 - \frac{e^2}{4\pi\epsilon_0} \sum_n \frac{Z_n}{r_n} \right] \phi = E \phi$$

Put one electron in the arranged nuclei.



(2) LCAO-MO (Linear Combination of Atomic Orbitals)

$$\phi = \sum_{i=1}^N c_i \chi_i$$

N : total number of atomic orbitals

(3) $E = \frac{\int \phi^* H \phi d\tau}{\int \phi^* \phi d\tau}$ energy minimum $\frac{\partial E}{\partial c_i} = 0 \quad i=1 \sim N$

(4) Secular equation $N \times N$

$$\begin{vmatrix} \alpha_{11} - E & \beta_{12} & 0 & \dots \\ \beta_{21} & \alpha_{22} - E & \beta_{23} & \dots \\ 0 & \beta_{32} & \alpha_{33} - E & \dots \\ \vdots & \vdots & \vdots & \ddots \end{vmatrix} = 0$$

Non diagonal: resonance integrals $\beta_{ij} = \int \chi_i^* H \chi_j d\tau$
 Diagonal: Energy level of i -th AO $\alpha_{ii} = \int \chi_i^* H \chi_i d\tau$

(5) N -order equation of E

(6) N energy levels of E (固有値)

Simultaneous equation for c_i

(7) N -set of c_i (固有関数) = Molecular orbital (分子軌道)

π -Electron System Hückel Method

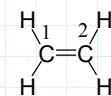
☐ σ and π -orbitals are orthogonal.

$$\begin{vmatrix} \sigma & 0 \\ 0 & \pi \end{vmatrix} = 0$$

Consider only this part.

- ☐ β for nearby C=C is nonzero. Others are zero.
- ☐ All overlap integrals are $S=0$.

Example ethylene



$$\begin{vmatrix} \alpha - E & \beta \\ \beta & \alpha - E \end{vmatrix} = 0$$

Bonding energy is

$$2(\alpha + \beta) - 2\alpha = 2\beta$$

$\alpha - \beta$ — $\phi = \chi_A - \chi_B$

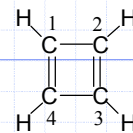
$\alpha + \beta$ — $\phi = \chi_A + \chi_B$



Hückel Method for Complicated π -Electron Systems

- Number carbon atoms with π , the total is N .
- Write a $N \times N$ secular equation, with all diagonal terms $\alpha - E$.
- Nondiagonal terms are β for bonded i -th and j -th carbons, and zero for non bonded carbons.
- $|\text{determinant}| = 0$ leads to N -th equation of E , which is solved to obtain N energy levels.
- Put electrons from the bottom. (#Electron)=(#Carbon)

Write the secular equation of cyclobutadiene.



The solution is

$$\alpha - 2\beta$$



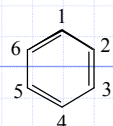
$$\alpha + 2\beta$$

Bonding energy is

There is no energy gain compared with two double bonds

$$2 \times 2\beta = 4\beta$$

Write the secular equation of benzene.



The solution

$$\alpha - 2\beta$$

$$\alpha - \beta$$

$$\alpha + \beta$$

$$\alpha + 2\beta$$

Bonding energy is

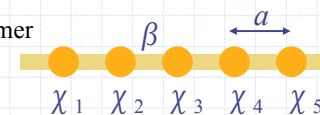
Compared with three double bonds

$3 \times 2\beta = 6\beta$, there is 2β energy gain (delocalization energy).

$4n+2$ membered ring delocalization aromatic
 $4n$ membered ring no delocalization
 (Hückel rule)

Energy Band (Tight-Binding Approximation)

LCAO-MO of a one-dimensional polymer
 (Hückel MO of polyacetylene)

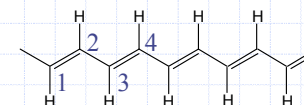


$$\varphi = \sum_n c_n \chi_n$$

The secular equation is

$$\begin{vmatrix} \alpha - E & \beta & 0 & \dots & 0 \\ \beta & \alpha - E & \beta & \dots & 0 \\ 0 & \beta & \alpha - E & \dots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & 0 & \dots & \alpha - E \end{vmatrix} = 0$$

$N \times N$ 次



We can directly solve this, but we use another way:

$$\phi = \sum_n c_n \chi_n$$

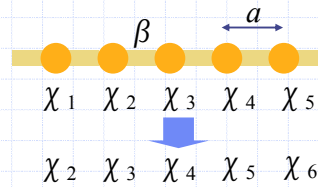
translation by one unit cell, $\mathbf{r} \rightarrow \mathbf{r} + \mathbf{a}$ should not change the physics, because it only changes the atom number.

So the electron density $\rho = \phi^* \phi$ does not change. Accordingly, $c_{n+1}^* c_{n+1} = c_n^* c_n$, or only the phase of c_n may change. Thus, we can put $c_{n+1} = c_n e^{i\theta} = c_n e^{ika}$, Consequently ϕ is:

$$\begin{aligned} \phi &= c_0 [\chi_0 + e^{ika} \chi_1 + e^{i2ka} \chi_2 + e^{i3ka} \chi_3 + e^{i4ka} \chi_4 + \dots] \\ &= c_0 \sum_n e^{inka} \chi_n \end{aligned}$$

(Bloch function)

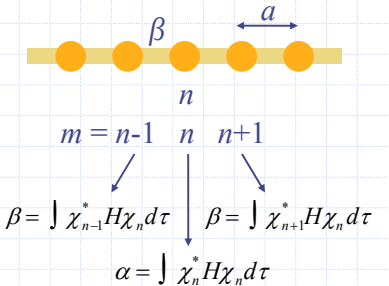
c_0 is mere a normalization constant.



Energy of $\phi = \sum_n e^{inka} \chi_n$ is

$$E = \frac{\int \phi^* H \phi d\tau}{\int \phi^* \phi d\tau} = \frac{\int (\sum_m e^{-imka} \chi_m^*) H (\sum_n e^{inka} \chi_n) d\tau}{\int (\sum_m e^{-imka} \chi_m^*) (\sum_n e^{inka} \chi_n) d\tau}$$

$$\begin{aligned} &= \frac{\sum_n \sum_m e^{i(n-m)ka} \int \chi_m^* H \chi_n d\tau}{\sum_n \sum_m e^{i(n-m)ka} \int \chi_m^* \chi_n d\tau} \\ &= \frac{N(e^{ika} \beta + \alpha + e^{-ika} \beta)}{N} \end{aligned}$$



$$E = \alpha + 2\beta \cos ka \quad \leftarrow \cos ka = \frac{e^{ika} + e^{-ika}}{2}$$

$$E = \alpha + 2\beta \cos ka$$

□ Owing to the periodicity, we only consider

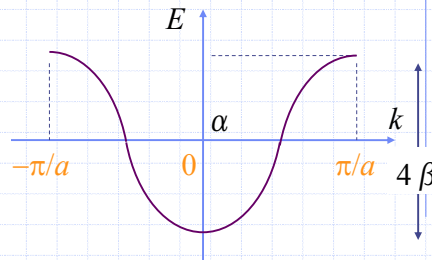
$$-\pi < ka < \pi \quad \text{or} \quad \left[-\frac{\pi}{a} \right] < k < \left[\frac{\pi}{a} \right]$$

(2) Owing to $\beta < 0$,

$$\text{Maximum of } E \text{ is (at } k = \pi/a) \quad E = \left[\right]$$

$$\text{Minimum of } E \text{ is (at } k = 0) \quad E = \left[\right]$$

(3) As a whole, the energy band has the bandwidth, $\left[\right]$



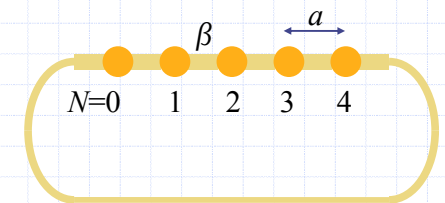
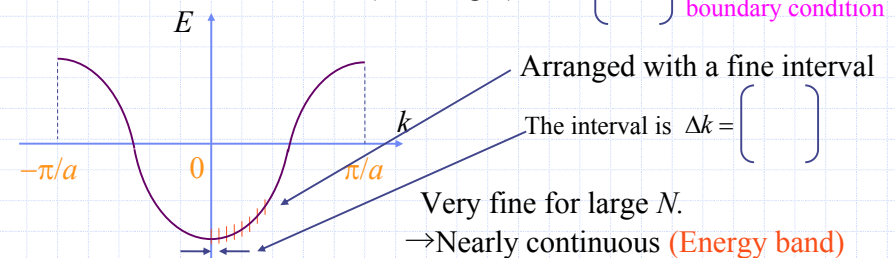
$$E = \alpha + 2\beta \cos ka$$

$$\phi = \sum_n e^{inka} \chi_n$$

(4) Make a ring.
(Otherwise, "edge state" appears.)

When the total atoms are N , N -th atom = 0-th atom, so

$$e^{iNka} = 1 \rightarrow Nka = 2\pi n \quad (n : \text{integer}) \quad k = \left[\right] \quad \text{Periodical boundary condition}$$

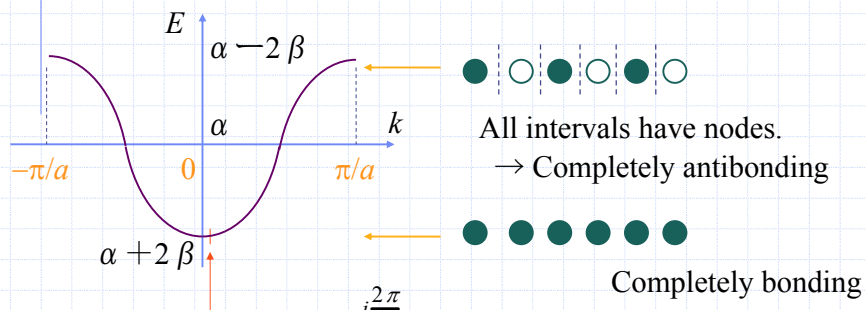


$$E = \alpha + 2\beta \cos ka$$

$$(5) \phi = \sum_n e^{inka} \chi_n$$

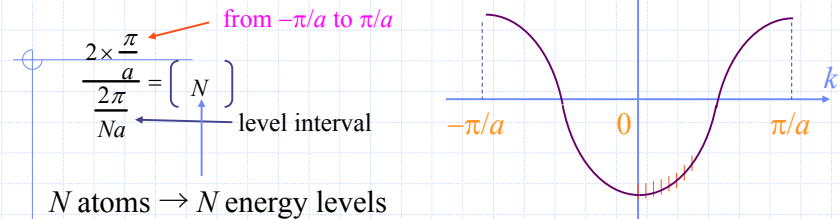
$$k=0 \text{ leads to } \phi = \chi_0 + \chi_1 + \chi_2 + \chi_3 + \dots$$

$$k=\pi/a \text{ leads to } \phi = \left[\begin{array}{c} \chi_0 - \chi_1 + \chi_2 - \chi_3 + \dots \end{array} \right]$$



The state next to $k=0$ has extra $e^{i\frac{2\pi}{N}k}$ phase. When rotated around the solid, the phase shifts by 2π . So the whole solid has only one node.

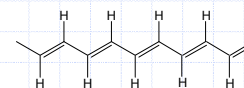
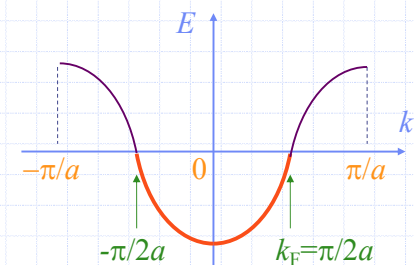
(6) Total number of levels



(7) For N electrons

$$2 \frac{2k_F}{2\pi} = N \rightarrow k_F = \left[\frac{Na}{4} \right]$$

for half-filled.



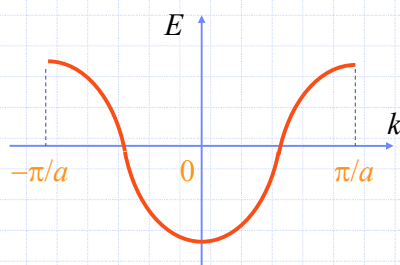
Polyacetylene without bond alternation

(8) For $2N$ electrons

$$2 \frac{2k_F}{2\pi} = 2N \rightarrow k_F = \left[\frac{Na}{2} \right]$$

All states are occupied

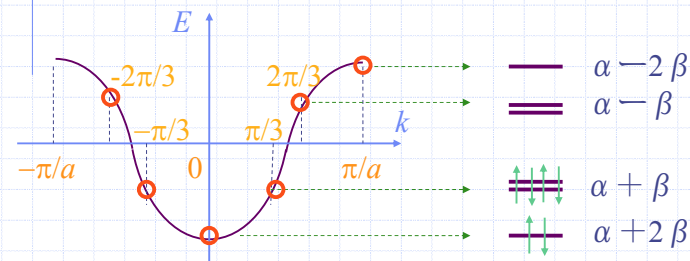
Two electrons, \uparrow and \downarrow , enter in one atomic orbital χ .



(9) For $N=6$

$$k = \frac{2\pi n}{Na} = \frac{2\pi n}{6a} \text{ leads to } E = \alpha + 2\beta \cos ka = \alpha + 2\beta \cos \left(\frac{2\pi n}{6} \right)$$

$$E = \left[\begin{array}{c} \alpha - 2\beta \\ \alpha - \beta \\ \alpha + \beta \\ \alpha + 2\beta \end{array} \right] \left[\begin{array}{c} 0, \pm\pi/3, \pm2\pi/3, \pi \end{array} \right] \left[\begin{array}{c} \uparrow \downarrow \\ \uparrow \downarrow \\ \uparrow \downarrow \\ \uparrow \downarrow \end{array} \right]$$



π orbitals (Hückel method) for benzene

Similarly, we can calculate Hückel molecular orbitals for N -carbon rings.

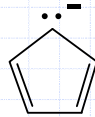
Excise Hückel Molecular Orbital of Cyclopentadienyl

Cyclopentadienyl anion (right) is pentagon and has delocalized negative charge.

Calculate the energy levels from the

equation of the tight-binding band, $E = \alpha + 2\beta \cos ka$.

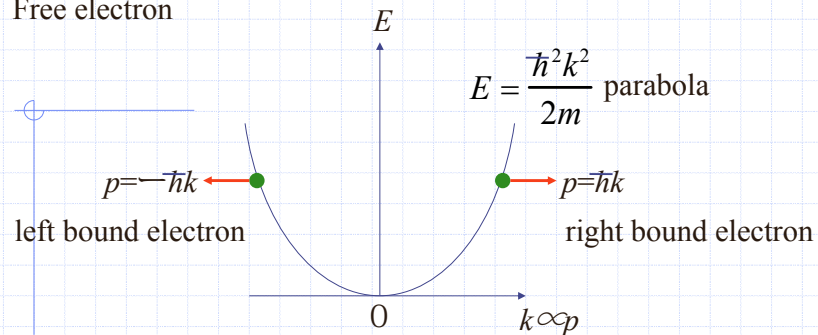
- (1) When $N=5$, k takes the values of $0, \pm A, \pm B$. Show A and B.
- (2) Obtain the energy levels.
Use $\cos(2\pi/5) = \cos 72^\circ = 0.309$, $\cos(4\pi/5) = \cos 144^\circ = -0.809$.
- (3) Calculate energies of the anion, the radical and the cation.



Free electron approximation

- (1) Simple free electron approximation derived from the first principle of **quantum mechanics**.
- (2) Electrons in metals, particularly the energy and momentum distribution, are investigated starting from a large number of free electrons.
- (3) Distribution of electrons at finite temperatures are discussed in view of the Fermi statistics:
statistical mechanics.

Free electron



Wave number $k = 2\pi / \lambda$ is inverse of the wavelength.
The number of waves in unit $\times 2\pi$ length.

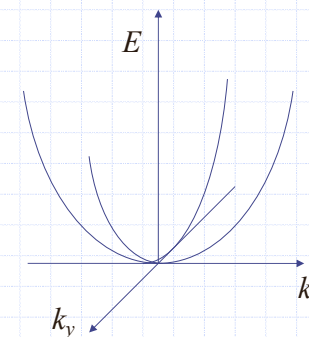
$$E = \frac{p_x^2 + p_y^2 + p_z^2}{2m} + V \text{ in three dimension.}$$

Schrödinger equation is,

$$\left[-\frac{\hbar^2}{2m} \left(\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} + \frac{\partial^2}{\partial z^2} \right) + V \right] \phi = E \phi$$

Eigenfunction (solution) for $V=0$ is $\phi(x, y, z) = e^{i(k_x x + k_y y + k_z z)}$

Eigenvalue (energy) is $E = \left[\right]$



We cannot depict k_z at the same time.

Instead of an infinite space, consider a box with a finite length L , and the $x=L$ edge is connected to $x=0$.

(Otherwise, the edge generates a "surface" state.)

$$\phi(x+L, y, z) = \phi(x, y, z)$$

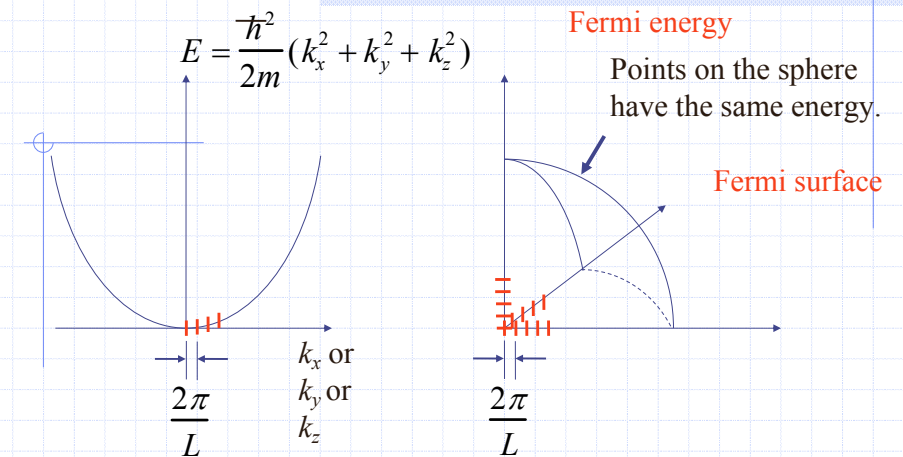
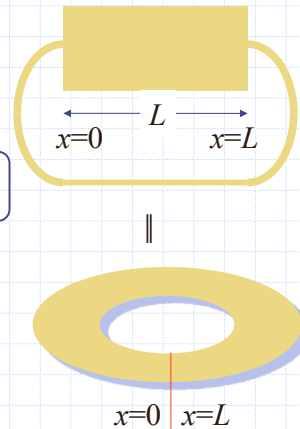
$$e^{ik_x L} = 1 \rightarrow k_x L = 2\pi n \rightarrow k_x = \left(\frac{2\pi n}{L} \right) \quad (n : \text{integer})$$

Similarly for y, z ,

$$k_x = \frac{2\pi}{L} n_x \quad k_y = \frac{2\pi}{L} n_y \quad k_z = \frac{2\pi}{L} n_z$$

(Consider a cube with L edges.)

Periodical boundary condition



Interval of energy levels
→ continuous for large L .
→ **Energy band**

Fill N electrons according to the Pauli's exclusion principle. Starting from the origin with the minimum energy, to the inside of a sphere with radius $k = \sqrt{k_x^2 + k_y^2 + k_z^2}$

Volume of a sphere with radius k is

$$2 \frac{\frac{4\pi}{3} k^3}{\left(\frac{2\pi}{L}\right)^3} = N \rightarrow \left(\frac{V}{3\pi^2} k^3 \right) = N \quad \text{where } V = L^3$$

Interval of states (3D)

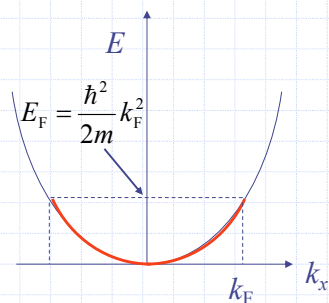
One energy level has two electrons with \uparrow and \downarrow spins

Electron with the highest energy (Fermi energy) is $k_F^2 = k_x^2 + k_y^2 + k_z^2$

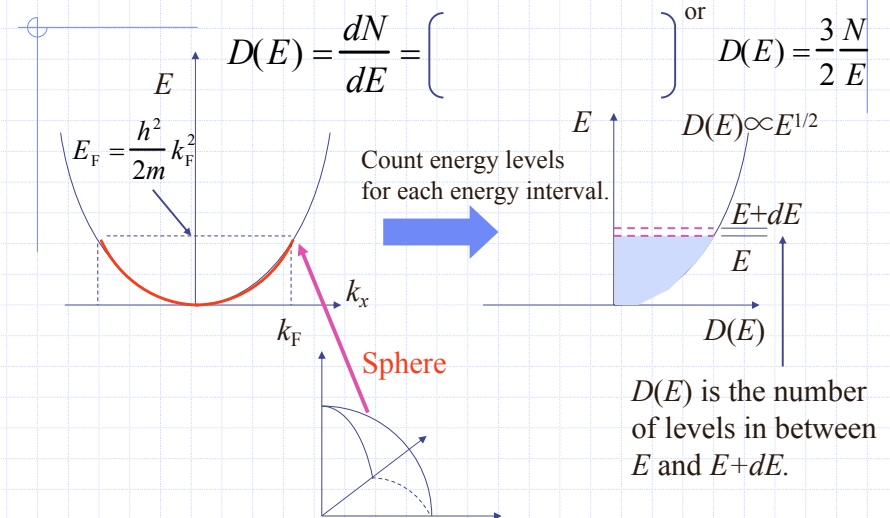
$$E_F = \frac{\hbar^2}{2m} k_F^2 = \frac{\hbar^2}{2m} \times \left(\frac{2\pi}{L} \right)^2 \left(\frac{N}{2} \right)^{2/3}$$

is solved as for N to give,

$$N = \frac{V}{3\pi^2} \times \left(\frac{2m E_F}{\hbar^2} \right)^{3/2}$$



Differentiate as for E , and the number of energy levels per unit energy (density of states or states density) is



$D(E)$ is the number of levels in between E and $E+dE$.

Another derivation of states density

dN is the number of states in between E and $E+dE$.

Surface of the sphere

$$2 \frac{4\pi k^2 dk}{\left(\frac{2\pi}{L}\right)^3} = dN$$
Interval of the states in 3D

$E = \frac{\hbar^2 k^2}{2m} \Rightarrow dE = \left(\frac{\hbar^2 k}{m} \right) dk$

$D(E) = \frac{dN}{dE} = 2 \frac{4\pi k^2}{\left(\frac{2\pi}{L}\right)^3} \frac{1}{\frac{\hbar^2 k}{m} dk} = \frac{V}{2\pi^2} \frac{2m}{\hbar^2} k = \frac{V}{2\pi^2} \left(\frac{2m}{\hbar^2} \right)^{\frac{1}{2}} E^{\frac{1}{2}}$

$k = \left(\frac{2mE}{\hbar^2} \right)^{\frac{1}{2}}$ The same conclusion

Two-dimensional metal

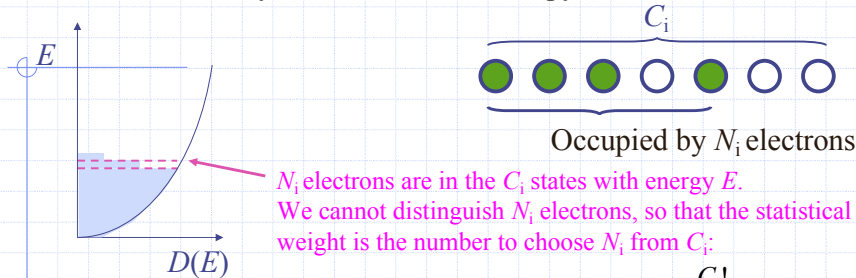
$E = \frac{\hbar^2}{2m} (k_x^2 + k_y^2) = \text{const.}$ leads to $k_x^2 + k_y^2 = k_F^2 = \text{const.}$

$\rightarrow \square$

Occupied Fermi surface = cylinder

Area of the circle
 $2 \frac{\pi k^2}{\left(\frac{2\pi}{L}\right)^2} = N \Rightarrow N = \frac{L^2}{2\pi} k^2 \Rightarrow D(E) = \frac{dN}{dE} = \left(\frac{L^2}{2\pi} \right) \frac{1}{\frac{\hbar^2 k}{m} dk}$

Fermi statistics: only one electron can occupy a state.



The definition of entropy in statistical mechanics is

$$W_i = \frac{C_i!}{N_i!(C_i - N_i)!}$$

$$S = k_B \ln W = k_B \ln \prod_i W_i = k_B \sum_i \ln W_i = k_B \sum_i \ln \frac{C_i!}{N_i!(C_i - N_i)!}$$

$$= k_B \sum_i (C_i \ln C_i - N_i \ln N_i - (C_i - N_i) \ln (C_i - N_i))$$

Stirling' equation $\ln N! = N \ln N - N$

The realized distribution of N_i minimizes the Gibbs free energy

$F = E - TS - \mu N$ where $E = \sum N_i E_i$, $N = \sum N_i$.

So differentiation of F as for N_i is zero to give,

$\frac{\partial F}{\partial N_i} = E_i + k_B T (\ln N_i - \ln (C_i - N_i)) - \mu = 0$

$\Rightarrow \frac{C_i - N_i}{N_i} = \left(\frac{e^{\frac{\mu - E_i}{k_B T}}}{1} \right) \Rightarrow \text{Occupation } f(E_i) = \frac{N_i}{C_i} = \left(\frac{e^{\frac{\mu - E_i}{k_B T}}}{1} \right)$

Fermi-Dirac distribution

When $T=0$:

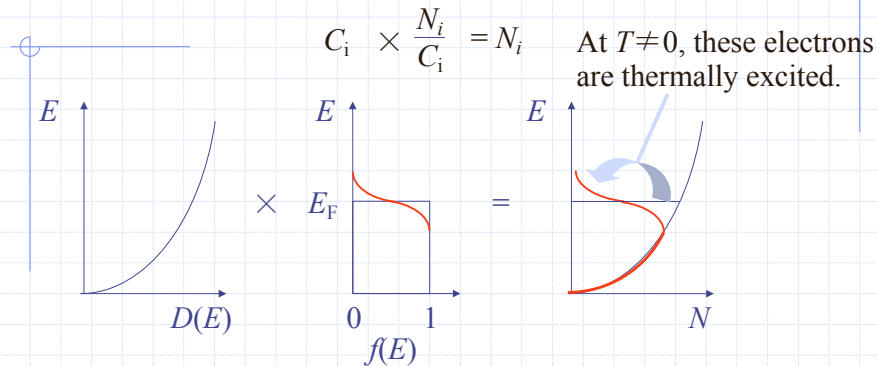
$E < \mu \quad f(E) = \frac{1}{e^{-\infty} + 1} = 1$

$E > \mu \quad f(E) = \frac{1}{e^{+\infty} + 1} = 0$

$E_F = \mu$: chemical potential

When $T \neq 0$, $f(E)$ changes continuously from 1 to 0 with the width of $k_B T$.

The real electron number is $D(E) \times f(E)$



$$\frac{E_F}{k_B T} \approx \frac{50000 \text{ K}}{300 \text{ K}} > 100 \rightarrow \text{Only } < 1\% \text{ electrons are thermally excited.}$$

Internal energy of metal electrons

$$U(T) = \int_0^\infty (E - E_F) D(E) f(E) dE$$

Specific heat

$$C_V = \frac{\partial U}{\partial T} = \int_0^\infty (E - E_F) D(E) \frac{\partial f(E)}{\partial T} dE$$

Measured from $E_F = 0$.

T appears only in $f(E)$.

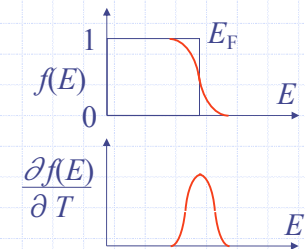
where $f(E_i) = \frac{1}{e^{\frac{E_i - \mu}{k_B T}} + 1} = \frac{1}{e^x + 1}$ $x = \frac{E - \mu}{k_B T}$ gives

$$\frac{\partial f}{\partial T} = \left[\frac{e^x}{(e^x + 1)^2} \right] dx = \frac{dE}{k_B T}$$

$\frac{\partial f(E)}{\partial T}$ is nonzero only near E_F .

so that approximated to be

$$D(E) \sim D(E_F).$$



$$C_V = D(E_F) \int_0^\infty (E - E_F) \frac{\partial f(E)}{\partial T} dE$$

$$= D(E_F) \int_0^\infty (k_B T x) \frac{x}{T (e^x + 1)^2} k_B T dx$$

$$= k_B^2 T D(E_F) \int_0^\infty x^2 \frac{e^x}{(e^x + 1)^2} dx \quad \pi^2/3 \text{ from table of integrals}$$

$$= \left[\right] T \quad C_V = \gamma T \quad \text{Specific heat of metal electrons}$$

or using $D(E_F) = \frac{3}{2} \frac{N}{E_F} = \frac{3}{2} \frac{N}{k_B T_F}$ T_F : Fermi temperature

$$C_V = \frac{\pi^2}{3} \frac{3}{2} \frac{N}{k_B T_F} k_B^2 T = \frac{\pi^2}{2} N k_B \frac{T}{T_F} = \frac{\pi^2}{2} n R \frac{T}{T_F}$$

Gas constant

If free electron is an ideal gas, according to the Dulong-Petit theorem, the specific heat is $C_V = 3R$. However, it is less than

$$\frac{T}{T_F} \approx \frac{300 \text{ K}}{50000 \text{ K}} \approx 10^{-2}$$

➔ Owing to the Fermi distribution, only $k_B T$ electrons near E_F are excited, and contribute to the specific heat.

➔ Metal electrons are "Fermi" particles!

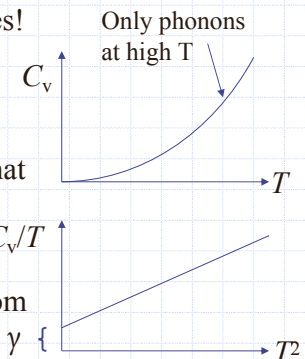
➔ Fermi gas cf. Classical gas

At low temperatures ($< 50 \text{ K}$), the lattice vibration (phonon) decays as $C_V \propto T^3$ so that

$$C_V = \gamma T + \beta T^3 \quad \frac{C_V}{T} = \gamma + \beta T^2$$

free electron phonon

Experimental estimation of $\gamma \rightarrow D(E_F)$ from the low-temperature ($< 4 \text{ K}$) specific heat. $\gamma \{$



Bose-Einstein statistics

Insert N_i particles in C_i levels, allowing any particles in the same level.

The number to arrange N_i particles and C_i-1 partitions.

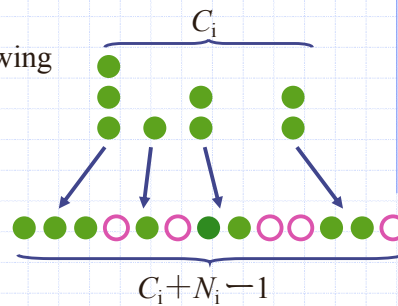
$$W_i = \frac{(C_i + N_i - 1)!}{N_i! (C_i - 1)!}$$

$C_i - 1 \rightarrow C_i$ gives

$$\ln W_i = (C_i + N_i) \ln(C_i + N_i) - N_i \ln N_i - C_i \ln C_i$$

Put this in $F = E - TS - \mu N$, and differentiation as for N_i is put zero to

$$\frac{\partial F}{\partial N_i} = \left[\frac{C_i + N_i}{N_i} \right] = 0 \Rightarrow \frac{C_i + N_i}{N_i} = e^{\frac{E_i - \mu}{k_B T}}$$



$$f(E_i) = \frac{N_i}{C_i} = \left[\frac{e^{\frac{E_i - \mu}{k_B T}}}{e^{\frac{E_i - \mu}{k_B T}} - 1} \right] \quad \text{Bose-Einstein statistics}$$

$T \rightarrow 0$

$$\begin{aligned} E_i - \mu > 0 & \quad e^{+\infty} \rightarrow +\infty \quad f(E) \rightarrow 0 \\ E_i - \mu = 0 & \quad e^0 \rightarrow 1 \quad f(E) \rightarrow +\infty \end{aligned}$$

All particles go to the lowest level.

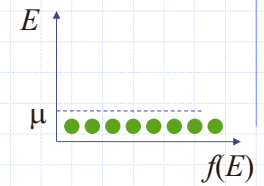
$$E_i - \mu \rightarrow \hbar \omega \quad \text{gives} \quad f(E_i) = \frac{1}{e^{\frac{\hbar \omega}{k_B T}} - 1} \quad \text{Planck distribution}$$

Phonon (lattice vibration) is Bose-Einstein particle.

Photon (light) is the same \rightarrow black body

$$f(E_i) = \frac{1}{e^{\frac{E_i - \mu}{k_B T}} \left[\begin{matrix} + \\ - \end{matrix} \right] 1} \quad \left\{ \begin{matrix} + \\ - \end{matrix} \right\}$$

Quantum statistics



$$E_i - \mu \gg k_B T \text{ leads to } e^{\frac{E_i - \mu}{k_B T}} \gg 1 \quad f(E_i) = e^{-\frac{E_i - \mu}{k_B T}}$$

Boltzmann (classical) distribution

Classical distribution

Each i -th state has n_i particles, with the total $N = \sum n_i$ particles.

The statistical weight is

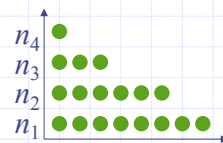
$$W = \frac{N!}{n_1! n_2! n_3! \dots} \quad \ln N! = N \ln N - N \quad \text{Stirling's equation}$$

so

$$\ln W = \ln \frac{N!}{n_1! n_2! n_3! \dots} = N \ln N - \sum_i n_i \ln n_i$$

Put this in $F = E - TS - \mu N$ ($S = k_B \ln W$)

$$F = \sum_i E_i n_i - k_B T (N \ln N - \sum_i n_i \ln n_i) - \mu \sum_i n_i$$



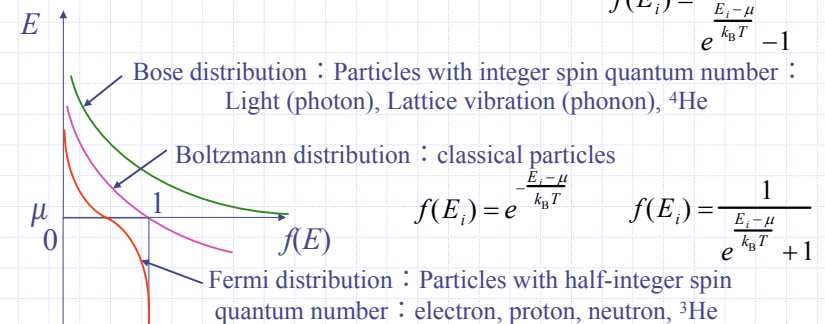
Differentiation as for n_i is zero to give,

$$\frac{\partial F}{\partial n_i} = \left[\frac{E_i - \mu}{k_B T} \right] = 0$$

so

$$f(E_i) = n_i = \left[\frac{e^{\frac{E_i - \mu}{k_B T}}}{e^{\frac{E_i - \mu}{k_B T}} \pm 1} \right] \quad \text{Boltzmann distribution}$$

$$f(E_i) = \frac{1}{e^{\frac{E_i - \mu}{k_B T}} - 1}$$

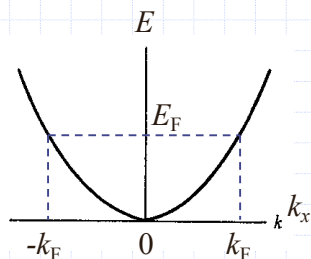
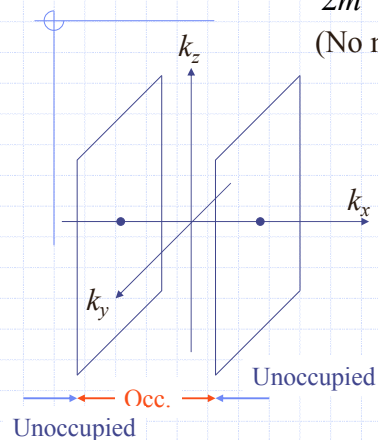


Everything approaches to Boltzmann at $E_i - \mu \gg k_B T$.

One-dimensional metal

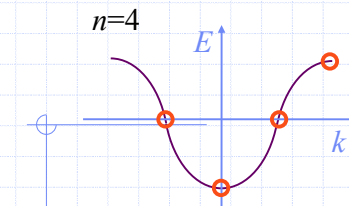
$$E = \frac{\hbar^2 k_x^2}{2m} = \text{const. leads to } k_x = k_F = \text{const.}$$

(No momentum for k_y, k_z
= does not move)



Fermi surface consists of a pair of planes.

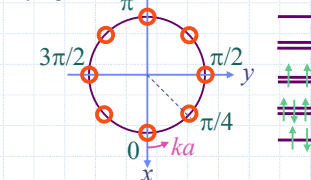
$n=4$



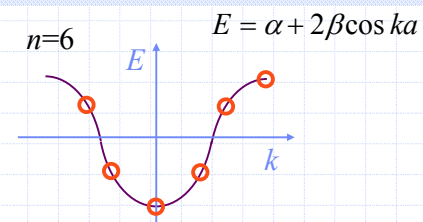
$$e^{ika} = x + iy = \cos \theta + i \sin \theta$$

Nonbonding

$n=8$



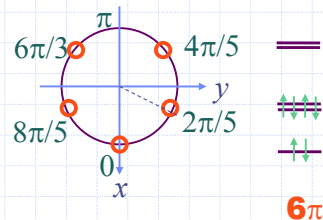
$n=6$



$$E = \alpha + 2\beta \cos ka$$

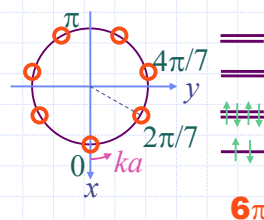
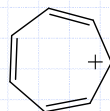
$N=4n$ uses nonbonding level
→ No stabilization
 $N=4n+2$ does not have nonbonding
→ stabilization → Hückel rule

$n=5$



6π

$n=7$

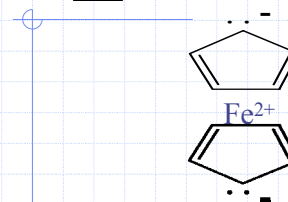


6π

Above $x = 0$ ($\alpha = 0$) are antibonding
→ Always 6π system is most stable
→ $4n+2$ rule for electrons instead of carbon atoms



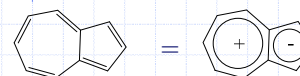
5 membered ring → anion is 6π → stable anion



Ferrocene

Inorganic compound consisting of Fe^{2+} and organic anion

→ Electrochemical standard soluble in organic solvent (oxidized to Fe^{3+})



Azulene

5 membered ring → anion is 6π
→ stable anion
7 membered ring → cation is 7π
→ stable cation

Naphthalene C_{10}H_8 isomer but polar!

