# 2012 2<sup>nd</sup> semester MIMO Communication Systems

# #4: Array Signal Processing

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# Agenda

#### Aim of today

Derive SNR and BER performance of maximal ratio diversity combining

#### Contents

- Review of Characteristics of Propagation Channels in Land Mobile Communications
- Plane wave signal model
  - Beamforming & interference cancellation
- Multi-path signal model
  - Diversity combining
  - Spatial correlation & its effect

1. Review of Characteristics of Propagation Channels in Land Mobile Communications

#### Characteristics of Propagation Channel for Land Mobile Communications (1)

- Variations in propagation channel for land mobile communications are characterized by the following 3 variations assuming frequency spectrum of VHF band (30 MHz – 300 MHz) or UHF band (300 MHz – 3 GHz)
- Distance-dependent path loss (large-scale propagation effects):
- Attenuation in the received signal level at a given distance between a transmitter and a receiver.
- Received signal variation due to distance-dependent path loss occurs over long distance (100 – 1000 m).
- Shadowing (short-scaled propagation effects):
- Variation caused by obstacles between a transmitter and receiver that attenuate received signal power through absorption, reflection, scattering, and diffraction.
- When attenuation due to obstacle is strong, the signal is blocked.
- Received signal variation due to shadowing occurs over distances that are proportional to the length of obstructing object (10 – 100 m in outdoor environments and less in indoor environments)

#### Instantaneous fading variation:

• Variation caused by obstacles surrounding a user equipment (UE).

#### Characteristics of Propagation Channel for Land Mobile Communications (2)



### **Distance-Dependent Path Loss**

- A number of distance-dependent path loss models have been development to predict path loss in typical wireless environments such as large urban macro cells, urban micro cells, and inside buildings.

$$L_p = 65.25 + 26.16 \log_{10}(f_c) - 13.82 \log_{10}(h_b) - a(h_m) + (44.9 - 6.55 \log(h_b)) \log_{10}(r)$$

where  $f_c$  is carrier frequency,  $h_b$  is height of BS antenna,  $h_m$  is height of a antenna of a UE, and "*r*" is distance between a MS and a UE

Empirical path loss formula for 2GHz-freqyency band

 $L_p = 128.1 + 37.6 \log_{10}(r)$ 

### **Log-Normal Shadowing**

- Signal transmitted through a wireless channel typically experiences random variation due to blockage from objects in signal path → random variation of the received signal power
- But, in general, the location size, and dielectric properties, changes in reflecting surfaces etc. of the blocking objects are unknown 

   statistical models are used to characterize the attenuation due to shadowing
- The most common model is for the additional attenuation is "lognormal shadowing" → accuracy of the model has been empirically confirmed in outdoor and indoor radio propagation environments.

#### Narrowband Rayleigh Fading (1)

- UE moves in the direction of 2 degree at a speed of v (m/s)
- Path *i* of plane wave is received from the direction of  $\xi_i$  degree with amplitude of  $a_i$ , and phase of  $\phi_i$  (*i* = 1, ..., *N*).
- Received composite signal a<sub>r</sub>(t) is represented as next equation assuming carrier angular frequency of ω<sub>c</sub>.



### Narrowband Rayleigh Fading (2)

 Assuming that N is large and amplitude a<sub>i</sub> and phase \(\phi\_i\) are independently different, the composite signal is approximated as Gaussian random process with zero mean and equal variance based on the central limit theorem

$$\sum_{i=1}^{N} a_i \cdot \cos\{\phi_i + 2\pi v t / \lambda \cdot \cos(\xi_i)\} \qquad \sum_{i=1}^{N} a_i \cdot \sin\{\phi_i + 2\pi v t / \lambda \cdot \cos(\xi_i)\}$$

• Composite signal is represented as

 $a_r(t) = R(t) \cdot \cos\{\omega_c t + \theta(t)\}$ 

 Amplitude *R(t)* is Rayleigh distributed that follows probability density function (PDF) of Rayleigh distribution based on the next equation

$$p(R) = 2R/\sigma \cdot \exp\left(-\frac{R^2}{\sigma}\right)$$
  
where  $\sigma\left(=\sum_{i=1}^{N} a_i^2\right)$  is mean squared value of Rayleigh variable

• Phase  $\phi_i(t)$  is uniformly distributed on [0,  $2\pi$ ]

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### Fading Maximum Doppler Frequency

# $v/\lambda \cdot \cos(\xi_i)$ Doppler frequency shift due to the relative<br/>movement of a UE $v/\lambda$ Maximum Doppler frequency that indicates

 $v/\lambda$  Maximum Doppler frequency that indicates variation in amplitude or power of a received signal suffering Rayleigh fading

#### Maximum Doppler frequency

$$f_D = v/\lambda = \frac{v \cdot f_c}{c}$$

- c: light velocity (= 3 x 10<sup>8</sup> m/second)
- Assuming carrier frequency of 2 GHz and speed f 3 km/h, f<sub>D</sub> becomes 5.55 Hz
- Maximum Doppler frequency is proportional to carrier frequency and to a speed of a UE (MS)

### **Frequency-Selective Fading (1)**

#### Frequency-selective fading (multipath fading)

- In wideband transmission with short symbol time, delayed paths due to obstacles between a BS and a UE influence on the performance.
- Delayed paths interfere mutually when the delay time of the paths is distinct compared to the symbol time (e.g., the delay time is longer than approximately 10 % of symbol time)



- Different amplitude and phase variations at respective frequency components
- Frequency-selective fading (multipath fading)



### **Frequency-Selective Fading (2)**

#### One-path channel

- Single frequency component, i.e., delay time of paths is negligible compare to symbol time (narrowband signal)
- Frequency-flat fading and varies in time domain.
- Multipath fading ->
- Delay times of paths are distinct compared to symbol time
- Frequency-selectivity appears from the composite signal of multipath signals



#### **Frequency-Selective Fading (3)**

- Narrowband signal
- Frequency-flat fading
- PDC (symbol rate of 21 kbps) → almost flay fading
- Wideband signal (W-CDMA, HSPA, LTE)
- Delay time of paths is much longer compared to chip or symbol time → frequency-selectivity appears



#### **Measures of Frequency Selectivity**

- Assume complex Gaussian wide-sense stationary uncorrelated scattering (WSSUS) channels that arre specified by their scattering functions.
- Measures of frequency selectivity
- Root mean square (r.m.s.) delay spread : r.m.s. delay spread of a channel is defined as the variance in the time delay with the normalized delay power profile of the channel as the probability density function.
- Coherence bandwidth:
  - Coherence bandwidth with level k is defined as the widest bandwidth in which the correlation of the channel frequency response is greater than k, where k is selected to be close to one between zero and one
  - Coherence bandwidth means the bandwidth over which the channel frequency response is highly correlated
  - Coherence bandwidth is represented using the r.m.s. delay spread as ,  $B_c = \frac{1}{h \cdot \tau_{rms}}$  where *h* is a constant value

# 2. Beamforming & Interference Cancellation Using Array Antennas

#### **Review of Eigen-Value Decomposition**

Question

Illustrate eigen-vectors of correlation matrix  $\mathbf{R} = \mathbf{H}\mathbf{H}^H$ 



# **Classification of Array Processing**

- Static or Mobile corresponds to Fixed or Adaptive
- RF control or BB control
- Beamforming, diversity, or Interference cancellation
- Average SNR, outage SNR, or SIR



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### **Plane Wave Signal Model**

Plane wave signal model

$$\mathbf{y}(t) = \mathbf{h}(\theta)s(t) + \mathbf{n}(t)$$

**Channel response** 

 $\mathbf{h}(\theta) = \beta d(\theta) \mathbf{a}(\theta)$ 



Array manifold

$$\mathbf{a}(\theta) = \left[1, e^{jkd\cos\theta}, e^{jk2d\cos\theta}, \cdots, e^{jk(M-1)d\cos\theta}\right] \qquad k = \frac{2\pi}{\lambda}$$

Antenna element directivity

 $d(\theta) \neq 1$  — Omni-directional pattern

### Beamforming

**Received signal** 

$$\mathbf{y}(t) = \beta \mathbf{a}(\theta) s(t) + \mathbf{n}(t)$$

Array combining

$$x(t) = \mathbf{w}^H \mathbf{y}(t)$$

Retro directive beamforming

 $\mathbf{w} = \beta \mathbf{a}(\theta)$  $x(t) = M\beta^2 s(t) + \sum_{i=1}^M \beta n_i(t)$ 

**Output SNR** 



Array gain  $\gamma_{o} = \frac{\mathrm{E}[|s_{o}(t)|^{2}]}{\mathrm{E}[|n_{o}(t)|^{2}]} = \frac{M^{2}\beta^{4}\mathrm{E}[|s(t)|^{2}]}{\beta^{2}\sum_{i=1}^{M}\mathrm{E}[|n_{i}(t)|^{2}]} = \frac{M^{2}\beta^{2}P}{M\sigma^{2}} = \frac{M\beta^{2}P}{\sigma^{2}}$ 

### Beamforming



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### **Interference Cancellation**



$$\mathbf{x}(t) = \mathbf{w}^{T} \mathbf{y}(t)$$
$$\mathbf{w} = \mathbf{e}_{i} \quad (i = 2, 3, 4)$$



Output SNR  $\gamma_o = \frac{\mathbf{w}^H \mathbf{h} \mathbf{h}^H \mathbf{w} P}{\mathbf{w}^H \mathbf{w} \sigma^2} = \frac{0}{\sigma^2}$ 

### **Interference Cancellation**



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### 3. Diversity Combining

# **Multipath Signal Model**

Multi-path signal model

$$\mathbf{y}(t) = \mathbf{h}s(t) + \mathbf{n}(t)$$

$$\mathbf{h}(\theta) = \sum_{l=1}^{L} \mathbf{h}(\theta_l)$$

Time variant channel response

$$\mathbf{h}(\theta_l) = \beta_l(t) d(\theta_l) \mathbf{a}(\theta_l)$$

 $\beta_l(t) = \beta_l e^{jkvt\cos\theta_l}$ 



# **Diversity Combining**

Multi-path signal model

$$\mathbf{y}(t) = \mathbf{h}s(t) + \mathbf{n}(t)$$

Maximum ratio diversity combining

$$x(t) = \mathbf{w}^H \mathbf{y}(t)$$

 $\mathbf{w} = \mathbf{h}$ 

**Output SNR** 



W

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**y**(*t*)

# **Diversity Combining**



### **Characteristic Function**

PDF of sum of independent random variables

$$f(x) f(y) f(x,y) = f(x)f(y)$$
  

$$z = x + y$$
  

$$f(z) = \int f(x)f(z-x)dx$$
  
Convolution

**Characteristic function** 

$$f(\gamma) = \frac{1}{2\pi} \int_{-\infty}^{\infty} \varphi(t) \exp(-j\gamma t) dt \quad \longleftrightarrow \quad \varphi(t) = \int_{0}^{\infty} f(\gamma) \exp(j\gamma t) d\gamma$$

Characteristic function on convolution

$$\gamma = \sum_{i} \gamma_{i} \qquad \longleftrightarrow \qquad \varphi(t) = \prod_{i} \varphi_{i}(t)$$

# **PDF of Diversity Combining**

#### Output SNR of MRC

$$\gamma = \sum_{i=1}^{M} \gamma_i$$

Characteristic function of each branch

$$f(\gamma_i) = \frac{1}{\overline{\gamma}} \exp\left(-\frac{\gamma_i}{\overline{\gamma}}\right) \quad \varphi_i(t) = \frac{1}{1 - j\overline{\gamma}t}$$

PDF of output SNR in MRC

$$\varphi(t) = \prod_{i=1}^{M} \varphi_i(t) = \left(\frac{1}{1 - j\overline{\gamma}t}\right)^M$$

$$f(\gamma) = \frac{1}{(M - 1)!\overline{\gamma}^M} \varphi^{M-1} \exp\left(-\frac{\gamma}{\overline{\gamma}}\right)$$
Diversity gain (Xi square distribution)

# **CDF of Diversity Combining**



#### Array gain on average SNR

# **BER of Diversity Combining**



### **Beam Pattern Interpretation of Diversity**



# **Diversity with Non-Identical Elements**

#### Non-identical elements

$$\overline{\gamma}_i \neq \overline{\gamma}_j$$
 due to  $d_i(\theta) \neq d_j(\theta)$ 

Characteristic function of output SNR with non-identical elements

$$\varphi(t) = \prod_{i=1}^{M} \frac{1}{1 - j\overline{\gamma}_{i}t}$$

PDF of output SNR in MRC with non-identical elements

$$f(\gamma) = \frac{1}{\prod_{i=1}^{M} \overline{\gamma_i}} \sum_{i=1}^{M} \frac{\exp\left(-\frac{\gamma}{\overline{\gamma_i}}\right)}{\prod_{k=1, k \neq i}^{M} \left(\frac{1}{\overline{\gamma_k}} - \frac{1}{\overline{\gamma_i}}\right)}$$



# **Spatial Correlation**

Correlation matrix of received signals

 $\mathbf{R}_{y} = \mathrm{E}[\mathbf{y}\mathbf{y}^{H}] = P \,\mathrm{E}[\mathbf{h}\mathbf{h}^{H}] + \sigma^{2}\mathbf{I}$ 

**Correlation matrix of channels** 





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ho| : Correlation coefficient between branches



# **Diversity Combining with Correlation**

#### Eigen decomposition of correlation matrix CDF of output SNR $\mathbf{R}_{h} = \begin{vmatrix} \overline{g}_{h} & \overline{g}_{h} \rho \\ \overline{g}_{h} \rho^{*} & \overline{g}_{h} \end{vmatrix} = \mathbf{E} \Lambda \mathbf{E}^{H}$ $\rho = 0$ $\mathbf{E}^{H} \mathbf{R}_{y} \mathbf{E} = P \begin{bmatrix} (1+|\rho|)\overline{g}_{h} & 0\\ 0 & (1-|\rho|)\overline{g}_{h} \end{bmatrix} + \sigma^{2} \mathbf{I}^{\mathbf{U}} \mathbf{I}^{\mathbf{$ 0 = 0.90 = 1-20 -10 0 10 Normalized SNR [dB] $f(\gamma) = \frac{1}{2|\rho|\overline{\gamma}|} \exp\left(-\frac{\gamma}{(1+|\rho|)\overline{\gamma}|}\right) - \exp\left(-\frac{\gamma}{(1-|\rho|)\overline{\gamma}|}\right)$

# **Angular Profile & Spatial Correlation**

**Correlation matrix** 

$$\mathbf{R}_{h} = \mathbf{E} \left[ \mathbf{h} \mathbf{h}^{H} \right] = \begin{bmatrix} \overline{g}_{h} & \overline{g}_{h} \rho \\ \overline{g}_{h} \rho^{*} & \overline{g}_{h} \end{bmatrix} \qquad \mathbf{h} = \sum_{l=1}^{L} \beta_{l} e^{jkvt\cos\theta_{l}} \mathbf{a}(\theta_{l})$$

**Uncorrelated scattering** 

$$\mathbf{E}\left[e^{jkvt\cos\theta_{i}}e^{-jkvt\cos\theta_{j}}\right] = 0 \quad \text{for} \quad i \neq j$$

Spatial correlation

$$\mathbf{R}_{12} = \mathbf{E} \left[ \left( \sum_{l=1}^{L} \beta_l e^{jkvt\cos\theta_l} \right) \left( \sum_{l=1}^{L} \beta_l e^{jkvt\cos\theta_l} e^{jkd\cos\theta_l} \right)^* \right]$$

$$= \sum_{l=1}^{L} |\beta_l|^2 e^{-jkd\cos\theta_l} = \int_0^{2\pi} |\beta(\theta)|^2 e^{-jkd\cos\theta} d\theta$$
Angular profile
$$\rho = \frac{\mathbf{R}_{12}}{\overline{g}_h} = \int_0^{2\pi} P(\theta) e^{-jkd\cos\theta_l} d\theta$$

$$P(\theta) = \frac{|\beta(\theta)|^2}{\int_0^{2\pi} |\beta(\theta)|^2 d\theta}$$

# **Angular Profile & Spatial Correlation**



# **Diversity with Interference Cancellation**

Received signal with interference

$$\mathbf{y}(t) = \mathbf{h}_{\mathrm{D}} s_{\mathrm{D}}(t) + \sum_{i=1}^{N} \mathbf{h}_{\mathrm{I}i} s_{\mathrm{I}i}(t) + \mathbf{n}(t)$$

Interference cancellation

$$\mathbf{Q} = \left[\mathbf{e}_{N+1}, \cdots, \mathbf{e}_{M}\right] \in C^{M \times (M-N)}$$
$$\mathbf{Q}^{H} \mathbf{y} = \mathbf{Q}^{H} \mathbf{h}_{D} s(t) + \mathbf{Q}^{H} \mathbf{n}(t)$$
$$= \widetilde{\mathbf{h}}_{D} s(t) + \widetilde{\mathbf{n}}(t) \in C^{M-N}$$

**Diversity combining** 



Subspace decomposition

$$\mathbf{H}_{\mathrm{I}} = \left[\mathbf{h}_{\mathrm{II}}, \mathbf{h}_{\mathrm{I2}}, \cdots, \mathbf{h}_{\mathrm{IN}}\right]$$
$$\mathbf{H}_{\mathrm{I}}\mathbf{H}_{\mathrm{I}}^{H} = \mathbf{E}\mathbf{\Lambda}\mathbf{E}^{H}$$
$$\mathbf{E} = \left[\mathbf{e}_{1}, \cdots, \mathbf{e}_{N}, \mathbf{e}_{N+1}, \cdots, \mathbf{e}_{M}\right]$$
Null space

 $\mathbf{x} = \mathbf{w}^{H} \mathbf{Q}^{H} \mathbf{y}$  $\mathbf{w} = \widetilde{\mathbf{h}}_{D}$  Interference cancellation (*N*<sub>th</sub> order)

Diversity combining (*M*-*N*<sub>th</sub> order)

# Summary

- Array signal processing
  - Beamforming & interference cancellation for plane wave signal
  - Diversity combining for multi-path signal
  - Diversity combining with interference cancellation



Improvement on SNR, SIR, and outage SNR

• Further revolution

What happen if array antennas are employed both at Tx and Rx

#### MIMO communication system