

Discrete Mathematics & Computational Structures
Lattice-Point Counting in Convex Polytopes
(8) Finite Fourier Analysis

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- ① A Motivating Example
- ② Finite Fourier Series for Periodic Functions on $\mathbb{Z}$
- ③ The Finite Fourier Transform and Its Properties
- ④ The Parseval Identity
- ⑤ The Convolution of Finite Fourier Series

Important theorems from the previous lectures

Theorem 3.8 (Ehrhart's Theorem)

$\mathcal{P}$ is an integral convex d -polytope $\Rightarrow$
 $L_{\mathcal{P}}(t)$ is a polynomial in t of degree d

Theorem 3.23 (Ehrhart's Theorem for rational polytopes)

$\mathcal{P}$ is a rational convex d -polytope $\Rightarrow$
 $L_{\mathcal{P}}(t)$ is a quasipolynomial in t of degree d ;
Its period divides the denominator of $\mathcal{P}$

Theorem 4.1 (Ehrhart–Macdonald reciprocity)

$\mathcal{P}$ a convex rational polytope $\Rightarrow$ for any $t \in \mathbb{Z}_{>0}$

$$L_{\mathcal{P}}(-t) = (-1)^{\dim \mathcal{P}} L_{\mathcal{P}^\circ}(t)$$

The goal of this chapter

Motivation

- Quasipolynomials involve **periodic** functions
- Especially **Fourier–Dedekind sums**

The goal of this chapter

- ① Establish a theory for periodic functions
- ② Prepare for the next chapter

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Example 7.1

- Consider the following function with period 3

$$n : 0, 1, 2, 3, 4, 5, 6, 7, 8, 9, \dots$$

$$a(n) : 1, 5, 2, 1, 5, 2, 1, 5, 2, 1, \dots$$

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- Embed this sequence into a generating function as follows

$$F(z) := 1 + 5z + 2z^2 + z^3 + 5z^4 + 2z^5 + \dots = \sum_{n \geq 0} a(n) z^n$$

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- Then

$$F(z) = 1 + 5z + 2z^2 + z^3 (1 + 5z + 2z^2) + z^6 (1 + 5z + 2z^2) + \dots$$

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Example 7.1 (cont'd)

- By the partial fraction expansion we obtain

$$F(z) = \frac{\hat{a}(0)}{1-z} + \frac{\hat{a}(1)}{1-\rho z} + \frac{\hat{a}(2)}{1-\rho^2 z}$$

- the constants $\hat{a}(0), \hat{a}(1), \hat{a}(2)$ remain to be found
- $\rho := e^{2\pi i/3}$

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We've derived the finite Fourier series of our sequence $a(n)$!

Example 7.1 (further cont'd)

- To obtain $\hat{a}(0), \hat{a}(1), \hat{a}(2)$, we use the identity for all $z \in \mathbb{C}$

$$1 + 5z + 2z^2 = \hat{a}(0)(1 - \rho z)(1 - \rho^2 z) + \hat{a}(1)(1 - z)(1 - \rho^2 z) + \hat{a}(2)(1 - z)(1 - \rho z)$$

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- By letting $z = 1, \rho^2, \rho$, respectively, we obtain

$$1 + 5 + 2 = 3 \hat{a}(0)$$

$$1 + 5\rho^2 + 2\rho^4 = 3 \hat{a}(1)$$

$$1 + 5\rho + 2\rho^2 = 3 \hat{a}(2)$$

where we used the identity $(1 - \rho)(1 - \rho^2) = 3$ (Exer 7.2)

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- Then, we get the finite Fourier series for our sequence

$$a(n) = \frac{8}{3} + \left(-\frac{4}{3} - \rho\right) \rho^n + \left(-\frac{1}{3} + \rho\right) \rho^{2n}$$



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► Write down the def of $P(z)$ on the board

where the last step simply defines $P(z) := \sum_{k=0}^{b-1} a(k) z^k$

Toward the general theory (2)

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 \end{aligned}$$

- By comparing the coefficients we get

$$a(n) = \sum_{m=0}^{b-1} \hat{a}(m) \xi^{mn}$$

Toward the general theory (3): Computing the Fourier coefficients

- We have

$$P(z) = \sum_{m=0}^{b-1} \hat{a}(m) \frac{1 - z^b}{1 - \xi^m z}$$

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$$m - n \not\equiv 0 \pmod{b} \Rightarrow \lim_{z \rightarrow \xi^{-n}} \frac{1 - z^b}{1 - \xi^m z} = 0$$

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- Therefore

$$\hat{a}(n) = \frac{1}{b} P(\xi^{-n})$$

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- Therefore

$$\hat{a}(n) = \frac{1}{b} P(\xi^{-n}) = \frac{1}{b} \sum_{k=0}^{b-1} a(k) \xi^{-nk}$$

Main theorem (and Definition)

Theorem 7.2

$a(n)$ any periodic function on $\mathbb{Z}$ with period $b \Rightarrow$

$$a(n) = \sum_{k=0}^{b-1} \hat{a}(k) \xi^{nk},$$

where the **Fourier coefficients** are

$$\hat{a}(n) = \frac{1}{b} \sum_{k=0}^{b-1} a(k) \xi^{-nk},$$

with $\xi = e^{2\pi i/b}$



In this way, we obtain the general term of a periodic function

Matrix view of the finite Fourier series

The Fourier transform can be rephrased with a matrix:

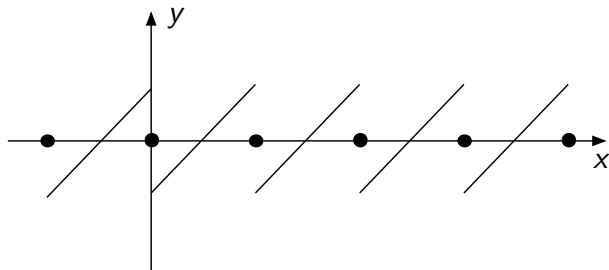
$$\begin{pmatrix} a(0) \\ a(1) \\ a(2) \\ \vdots \\ a(b-1) \end{pmatrix} = \begin{pmatrix} 1 & 1 & 1 & \cdots & 1 \\ 1 & \xi & \xi^2 & \cdots & \xi^{b-1} \\ 1 & \xi^2 & \xi^4 & \cdots & \xi^{2(b-1)} \\ \vdots & & & \ddots & \vdots \\ 1 & \xi^{b-1} & \xi^{2(b-1)} & \cdots & \xi^{(b-1)^2} \end{pmatrix} \begin{pmatrix} \hat{a}(0) \\ \hat{a}(1) \\ \hat{a}(2) \\ \vdots \\ \hat{a}(b-1) \end{pmatrix}$$

Example: Sawtooth functions

Definition (Sawtooth function)

The **sawtooth function** $((\cdot)) : \mathbb{R} \rightarrow \mathbb{R}$ is defined as

$$((x)) := \begin{cases} \{x\} - \frac{1}{2} & \text{if } x \notin \mathbb{Z}, \\ 0 & \text{if } x \in \mathbb{Z} \end{cases}$$



Remark: $((x))$ is a periodic function with period 1

The finite Fourier series of sawtooth functions

Lemma 7.3

The finite Fourier series for the discrete sawtooth function $\left(\left(\frac{a}{b}\right)\right)$, a periodic function of $a \in \mathbb{Z}$ with period b , is given by

$$\left(\left(\frac{a}{b}\right)\right) = \frac{1}{2b} \sum_{k=1}^{b-1} \frac{1 + \xi^k}{1 - \xi^k} \xi^{ak}$$

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$$\left(\left(\frac{a}{b}\right)\right) = \frac{1}{2b} \sum_{k=1}^{b-1} \frac{1 + \xi^k}{1 - \xi^k} \xi^{ak} = \frac{i}{2b} \sum_{k=1}^{b-1} \cot \frac{\pi k}{b} \xi^{ak}$$

Reminder

The **cotangent** of x is defined by $\cot x = \frac{\cos x}{\sin x}$

- It follows $\frac{1 + e^{2\pi i x}}{1 - e^{2\pi i x}} = i \cot(\pi x)$

Proof of Lemma 7.3

- $\left(\left(\frac{a}{b}\right)\right) = \sum_{k=0}^{b-1} \hat{a}(k) \xi^{ak}$ and $\hat{a}(k) = \frac{1}{b} \sum_{m=0}^{b-1} \left(\left(\frac{m}{b}\right)\right) \xi^{-mk}$ (Thm 7.2)

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- $$\left(\left(\frac{a}{b}\right)\right) = \sum_{k=0}^{b-1} \hat{a}(k) \xi^{ak} \text{ and } \hat{a}(k) = \frac{1}{b} \sum_{m=0}^{b-1} \left(\left(\frac{m}{b}\right)\right) \xi^{-mk}$$
(Thm 7.2)
- $$\hat{a}(0) = \frac{1}{b} \sum_{m=0}^{b-1} \left(\left(\frac{m}{b}\right)\right) = 0$$
(Exer 7.14)

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- When $k \neq 0$

$$\hat{a}(k) = \frac{1}{b} \sum_{m=1}^{b-1} \left(\frac{m}{b} - \frac{1}{2}\right) \xi^{-mk}$$



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Another example: Dedekind sums

Definition (Dedekind sum)

For any two relatively prime integers a and $b > 0$, the **Dedekind sum** is defined by

$$s(a, b) = \sum_{k=0}^{b-1} \left(\left(\frac{ka}{b} \right) \right) \left(\left(\frac{k}{b} \right) \right)$$

Note: $s(a, b)$ is a periodic fn of the variable a with period b , i.e.,

- $s(a + jb, b) = s(a, b)$ for all $j \in \mathbb{Z}$
- $s(a, b) = s(a \bmod b, b)$

The finite Fourier series of Dedekind sums

Lemma 7.4

$$s(a, b) = \frac{1}{4b} \sum_{\mu=1}^{b-1} \frac{1 + \xi^\mu}{1 - \xi^\mu} \frac{1 + \xi^{-\mu a}}{1 - \xi^{-\mu a}} = \frac{1}{4b} \sum_{\mu=1}^{b-1} \cot \frac{\pi \mu}{b} \cot \frac{\pi \mu a}{b}$$

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Proof:

$$s(a, b) = \sum_{k=0}^{b-1} \left(\left(\frac{ka}{b} \right) \right) \left(\left(\frac{k}{b} \right) \right)$$

The finite Fourier series of Dedekind sums

Lemma 7.4

$$s(a, b) = \frac{1}{4b} \sum_{\mu=1}^{b-1} \frac{1 + \xi^\mu}{1 - \xi^\mu} \frac{1 + \xi^{-\mu a}}{1 - \xi^{-\mu a}} = \frac{1}{4b} \sum_{\mu=1}^{b-1} \cot \frac{\pi \mu}{b} \cot \frac{\pi \mu a}{b}$$

Proof:

$$\begin{aligned} s(a, b) &= \sum_{k=0}^{b-1} \left(\left(\frac{ka}{b} \right) \right) \left(\left(\frac{k}{b} \right) \right) \\ &= \frac{1}{4b^2} \sum_{k=0}^{b-1} \left(\left(\sum_{\mu=1}^{b-1} \frac{1 + \xi^\mu}{1 - \xi^\mu} \xi^{\mu ka} \right) \left(\sum_{\nu=1}^{b-1} \frac{1 + \xi^\nu}{1 - \xi^\nu} \xi^{\nu k} \right) \right) \end{aligned}$$

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Proof of Lemma 7.4 (cont'd)

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The Fourier transform

- f a given periodic function f on $\mathbb{Z}$ with period b

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Definition (Fourier transform)

The **Fourier transform** of f , denoted by $\mathbf{F}(f)$, is the periodic function on $\mathbb{Z}$ defined by the sequence of uniquely determined values

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Remark: $\mathbf{F}(f)$ is a linear transformation on V_G (Thm 7.2)

A basis of V_G

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- In fact, the following functions form a basis of V_G (Exer 7.7)

$$\delta_m(x) := \begin{cases} 1 & \text{if } x = m + kb, \text{ for some integer } k, \\ 0 & \text{otherwise} \end{cases}$$

Another basis of V_G

- For any fixed integer a
the roots of unity $\{\mathbf{e}_a(x) := e^{2\pi i ax/b} : x \in \mathbb{Z}\}$ can be
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- Conclusion: the finite Fourier transform is the basis transform from the first to the second

Inner products on V_G

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- Define the **distance** of two functions $f, g \in V_G$ as

$$d(f, g) = \langle f - g, f - g \rangle$$

Orthogonality relations

Lemma 7.5 (Orthogonality relations)

$$\frac{1}{b} \langle \mathbf{e}_a, \mathbf{e}_c \rangle = \delta_a(c) = \begin{cases} 1 & \text{if } b \mid (a - c), \\ 0 & \text{otherwise} \end{cases}$$

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$$\sum_{m=0}^{b-1} e^{\frac{2\pi i(a-c)m}{b}} = \frac{e^{b \frac{2\pi i(a-c)}{b}} - 1}{e^{\frac{2\pi i(a-c)}{b}} - 1}$$



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Example 7.6

- Consider

$$B(k) := \left(\left(\frac{k}{b} \right) \right) = \begin{cases} \left\{ \frac{k}{b} \right\} - \frac{1}{2} & \text{if } \frac{k}{b} \notin \mathbb{Z}, \\ 0 & \text{if } \frac{k}{b} \in \mathbb{Z} \end{cases}$$

We'll use it in Example 7.9

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- From the proof of Lemma 7.3, we have

$$\widehat{B}(n) = \frac{1}{2b} \frac{1 + \xi^n}{1 - \xi^n} = \frac{i}{2b} \cot \frac{\pi n}{b}$$

for $n \neq 0$, and $\widehat{B}(0) = 0$

We'll use it in Example 7.9

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Parseval identity

Theorem 7.7 (Parseval identity)

For all $f \in V_G$,

$$\langle f, f \rangle = b \langle \hat{f}, \hat{f} \rangle$$

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$$\hat{f}(x) = \frac{1}{b} \sum_{m=0}^{b-1} f(m) \xi^{-mx} = \frac{1}{b} \sum_{m=0}^{b-1} f(m) \overline{\mathbf{e}_m(x)}$$

Proof of Parseval identity (cont'd)

$$\langle \hat{f}, \hat{f} \rangle = \left\langle \frac{1}{b} \sum_{m=0}^{b-1} f(m) \overline{\mathbf{e}_m}, \frac{1}{b} \sum_{n=0}^{b-1} f(n) \overline{\mathbf{e}_n} \right\rangle \quad (\text{Thm 7.2})$$



Proof of Parseval identity (cont'd)

$$\begin{aligned}
 \langle \hat{f}, \hat{f} \rangle &= \left\langle \frac{1}{b} \sum_{m=0}^{b-1} f(m) \overline{\mathbf{e}_m}, \frac{1}{b} \sum_{n=0}^{b-1} f(n) \overline{\mathbf{e}_n} \right\rangle && (\text{Thm 7.2}) \\
 &= \frac{1}{b^2} \sum_{k=0}^{b-1} \sum_{m=0}^{b-1} f(m) \overline{\mathbf{e}_m(k)} \sum_{n=0}^{b-1} \overline{f(n)} \mathbf{e}_n(k)
 \end{aligned}$$



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 &= \frac{1}{b^2} \sum_{m=0}^{b-1} \sum_{n=0}^{b-1} f(m) \overline{f(n)} \langle \mathbf{e}_m, \mathbf{e}_n \rangle
 \end{aligned}$$



Proof of Parseval identity (cont'd)

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&= \frac{1}{b^2} \sum_{k=0}^{b-1} \sum_{m=0}^{b-1} f(m) \overline{\mathbf{e}_m(k)} \sum_{n=0}^{b-1} \overline{f(n)} \mathbf{e}_n(k) \\
&= \frac{1}{b^2} \sum_{m=0}^{b-1} \sum_{n=0}^{b-1} f(m) \overline{f(n)} \langle \mathbf{e}_m, \mathbf{e}_n \rangle \\
&= \frac{1}{b} \sum_{m=0}^{b-1} \sum_{n=0}^{b-1} f(m) \overline{f(n)} \delta_m(n) && \text{(Orthogonality)}
\end{aligned}$$



Proof of Parseval identity (cont'd)

$$\begin{aligned}
\langle \hat{f}, \hat{f} \rangle &= \left\langle \frac{1}{b} \sum_{m=0}^{b-1} f(m) \mathbf{e}_m, \frac{1}{b} \sum_{n=0}^{b-1} f(n) \overline{\mathbf{e}_n} \right\rangle && (\text{Thm 7.2}) \\
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&= \frac{1}{b^2} \sum_{m=0}^{b-1} \sum_{n=0}^{b-1} f(m) \overline{f(n)} \langle \mathbf{e}_m, \mathbf{e}_n \rangle \\
&= \frac{1}{b} \sum_{m=0}^{b-1} \sum_{n=0}^{b-1} f(m) \overline{f(n)} \delta_m(n) && (\text{Orthogonality}) \\
&= \frac{1}{b} \langle f, f \rangle
\end{aligned}$$



A more general version

Theorem 7.8

For all $f, g \in V_G$, we have

$$\langle f, g \rangle = b \langle \hat{f}, \hat{g} \rangle$$

Proof: Similar to Theorem 7.7

Example 7.9: Reprove Lemma 7.4

Definition (Dedekind sum (recap))

For any two relatively prime integers a and $b > 0$, the **Dedekind sum** is defined by

$$s(a, b) = \sum_{k=0}^{b-1} \left(\left(\frac{ka}{b} \right) \right) \left(\left(\frac{k}{b} \right) \right)$$

Lemma 7.4 (recap)

For any two relatively prime integers a and $b > 0$,

$$s(a, b) = \frac{1}{4b} \sum_{\mu=1}^{b-1} \frac{1 + \xi^{\mu}}{1 - \xi^{\mu}} \frac{1 + \xi^{-\mu a}}{1 - \xi^{-\mu a}} = \frac{1}{4b} \sum_{\mu=1}^{b-1} \cot \frac{\pi \mu}{b} \cot \frac{\pi \mu a}{b}$$

Another proof: Based on Parseval identity

Example 7.9: The Fourier transforms

- Let $f(k) = \left(\left(\frac{k}{b}\right)\right)$ and $g(k) = \left(\left(\frac{ka}{b}\right)\right)$
- $\hat{f}(n) = \frac{i}{2b} \cot \frac{\pi n}{b}$
- By Lem 7.3, $\left(\left(\left(\frac{ka}{b}\right)\right)\right) = \frac{i}{2b} \sum_{m=1}^{b-1} \cot \frac{\pi m}{b} \xi^{mka}$

(Example 7.6)

Example 7.9: The Fourier transforms

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- By Exercise 1.9

$$\sum_{m=1}^{b-1} \cot \frac{\pi m}{b} \xi^{mka} = \sum_{m=1}^{b-1} \cot \frac{\pi ma^{-1}}{b} \xi^{ma^{-1}ka}$$

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$$\sum_{m=1}^{b-1} \cot \frac{\pi m}{b} \xi^{mka} = \sum_{m=1}^{b-1} \cot \frac{\pi ma^{-1}}{b} \xi^{ma^{-1}ka} = \sum_{m=1}^{b-1} \cot \frac{\pi ma^{-1}}{b} \xi^{mk}$$

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$$\sum_{m=1}^{b-1} \cot \frac{\pi m}{b} \xi^{mka} = \sum_{m=1}^{b-1} \cot \frac{\pi ma^{-1}}{b} \xi^{ma^{-1}ka} = \sum_{m=1}^{b-1} \cot \frac{\pi ma^{-1}}{b} \xi^{mk}$$

- Therefore $\hat{g}(n) = \frac{i}{2b} \cot \frac{\pi na^{-1}}{b}$

Example 7.9: The Fourier series of Dedekind sums

Then, by Parseval identity,

$$s(a, b) := \sum_{k=0}^{b-1} \left(\left(\frac{k}{b} \right) \right) \left(\left(\frac{ka}{b} \right) \right)$$



Example 7.9: The Fourier series of Dedekind sums

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$$\begin{aligned}
 s(a, b) &:= \sum_{k=0}^{b-1} \left(\left(\frac{k}{b} \right) \right) \left(\left(\frac{ka}{b} \right) \right) \\
 &= b \sum_{m=1}^{b-1} \left(\frac{i}{2b} \cot \frac{\pi m}{b} \right) \overline{\left(\frac{i}{2b} \cot \frac{\pi ma^{-1}}{b} \right)}
 \end{aligned}$$



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- ① A Motivating Example
- ② Finite Fourier Series for Periodic Functions on $\mathbb{Z}$
- ③ The Finite Fourier Transform and Its Properties
- ④ The Parseval Identity
- ⑤ The Convolution of Finite Fourier Series

The convolution of two periodic functions

Definition (Convolution)

For any complex-valued periodic functions f, g with period b , the **convolution** is

$$(f * g)(t) = \sum_{m=0}^{b-1} f(t - m)g(m)$$

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$$(f * g)(t) = \sum_{m=0}^{b-1} f(t - m)g(m)$$

Theorem 7.10 (Convolution theorem for finite Fourier series)

$$f(t) = \frac{1}{b} \sum_{k=0}^{b-1} a_k \xi^{kt} \text{ and } g(t) = \frac{1}{b} \sum_{k=0}^{b-1} c_k \xi^{kt}, \text{ where } \xi = e^{2\pi i/b}$$

$$\Rightarrow (f * g)(t) = \frac{1}{b} \sum_{k=0}^{b-1} a_k c_k \xi^{kt}$$

Proof of Theorem 7.10

$$\sum_{m=0}^{b-1} f(t-m)g(m) = \frac{1}{b^2} \sum_{m=0}^{b-1} \left(\sum_{k=0}^{b-1} a_k \xi^{k(t-m)} \right) \left(\sum_{l=0}^{b-1} c_l \xi^{lm} \right)$$

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 &= \frac{1}{b^2} \sum_{k=0}^{b-1} \sum_{l=0}^{b-1} a_k c_l \left(\sum_{m=0}^{b-1} \xi^{kt+(l-k)m} \right)
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Note

- $l \neq k \Rightarrow \sum_{m=0}^{b-1} \xi^{(l-k)m} = 0$
(Exer 7.6)

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Example 7.11

Goal

Prove

$$\sum_{k=1}^{b-1} \cot^2 \left(\frac{\pi k}{b} \right) = \frac{(b-1)(b-2)}{3}$$

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Proof: Use the convolution

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- Let $f(t) = g(t) = \frac{1}{b} \sum_{k=1}^{b-1} \cot \frac{\pi k}{b} \xi^{kt}$
- Then $(f * g)(t) = \frac{1}{b} \sum_{k=1}^{b-1} \cot^2 \frac{\pi k}{b} \xi^{kt}$

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- Then $(f * g)(t) = \frac{1}{b} \sum_{k=1}^{b-1} \cot^2 \frac{\pi k}{b} \xi^{kt}$
- Didn't we see the fnct $\frac{1}{b} \sum_{k=1}^{b-1} \cot \frac{\pi k}{b} \xi^{kt}$ somewhere before?

Example 7.11 (cont'd)

- By Lem 7.3 $\left(\left(\frac{t}{b}\right)\right) = \frac{i}{2b} \sum_{k=1}^{b-1} \cot \frac{\pi k}{b} \xi^{kt}$

Example 7.11 (cont'd)

- By Lem 7.3 $\left(\left(\frac{t}{b}\right)\right) = \frac{i}{2b} \sum_{k=1}^{b-1} \cot \frac{\pi k}{b} \xi^{kt}$
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- By Lem 7.3 $\left(\left(\frac{t}{b}\right)\right) = \frac{i}{2b} \sum_{k=1}^{b-1} \cot \frac{\pi k}{b} \xi^{kt}$
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- $\therefore (f * g)(t) = -4 \sum_{m=1}^{b-1} \left(\left(\frac{t-m}{b}\right)\right) \left(\left(\frac{m}{b}\right)\right)$ (by def)

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 \therefore & -4b \sum_{m=1}^{b-1} \left(\left(\frac{t-m}{b}\right)\right) \left(\left(\frac{m}{b}\right)\right) = \sum_{k=1}^{b-1} \cot^2 \frac{\pi k}{b} \xi^{kt}
 \end{aligned}$$

Example 7.11 (further cont'd)

- Setting $t = 0$, we get

$$-4b \sum_{m=1}^{b-1} \left(\left(\frac{-m}{b} \right) \right) \left(\left(\frac{m}{b} \right) \right) = \sum_{k=1}^{b-1} \cot^2 \frac{\pi k}{b}$$

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Example 7.11 (further cont'd)

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The goal of this chapter

Motivation

- Quasipolynomials involve **periodic** functions
- Especially **Fourier–Dedekind sums**

The goal of this chapter

- ① Establish a theory for periodic functions
- ② Prepare for the next chapter