Discrete Mathematics & Computational Structures Lattice-Point Counting in Convex Polytopes (1) Frobenius' Coin-Exchange Problem

#### Yoshio Okamoto

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DMCS'09 (1)

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#### Overview

#### Textbook

We follow the book:

- Matthias Beck and Sinai Robins, Computing the Continuous Discretely. Integer-Point Enumeration in Polyhedra. Undergraduate Texts in Mathematics. New York, Springer. 2007.
  - The updated version is available at http://math.sfsu.edu/beck/ccd.html
  - Japanese translation will be available soon

#### Overview

## Lecture Style

- Language
  - Spoken: in English or Japanese
  - Slides: in English
  - Report submission: in Japanese/English (up to you)
- Feedback
  - Submission of a piece of paper at the end of each lecture
  - Can be anonymous
  - There might be a survey at the term end

#### Goal

This is a course on mathematics and/or theory of computation

#### Goal of the course

- Study an example of mathematical thoughts that are benefitial for algorithms design
- In this course, such an example = lattice-point counting in convex polytopes

## Prerequisites

- Nothing in particular
- Other than a moderate familiarity with freshmen math (Calculus, Linear Algebra, Discrete Math)
- And eagerness to learn

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Overview

#### How to get a credit

### Submission of exercise solutions

- Each lecture is accompanied with several exercises in the textbook
- Students should assign themselves to different exercises
- Assignment should be done at the wiki page of the course in the first-come-first-serve way
- Submission due: next lecture
- Wiki: http://www.is.titech.ac.jp/~okamoto/ cgi-bin/pukiwiki/index.php?DMCS09

#### Overview

## Administration

- Course Webpage
  - http://www.is.titech.ac.jp/~okamoto/lect/2009/dmcs/
  - Reachable from the CompView website (http://compview.titech.ac.jp/)
- This is in the Education Program for CompView
- Lecturer: Yoshio Okamoto
  - Email: okamoto at is.titech.ac.jp
  - Office: W904 in West 8th Bldg.
  - Int. Phone: 3871
  - Office hours: by appointment, or you can try your luck any time
- Remark: This lattice-point counting course will not be given next year; Could be discrete geometry, data structures, graph theory, extremal combinatorics, ..., I'm thinking

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# Frobenius' coin-exchange problem Why use generating functions? Two coins Partial fractions and a surprising formula Sylvester's result Three and more coins

# Oncluding remarks

Introduction	
The basic computational problems	
When a finite set $\Omega$ is given implicitly,	
• Decide whether $\Omega = \varnothing$	(decision)
<ul> <li>Find an element of Ω if it exists</li> </ul>	(search)
<ul> <li>Count  Ω </li> </ul>	(counting)
• List all elements of $\Omega$	(listing)

- Sample an element of  $\Omega$  uniformly at random
- They have some relationship
- Counting is the most difficult in a certain sense

(sampling)

## A kind of the most general setting

## One setting

- $\Omega = P \cap \mathbb{Z}^d$  where
  - *P* a *d*-dimensional convex polyhedron (in the H-representation) (the terminology will be defined through the course)
  - $\bullet~\mathbb{Z}$  is the set of integers



## A theoretical development

 $\Omega = P \cap \mathbb{Z}^d$ 

# Theorem (Barvinok, Math of OR '94)

```
P is rational, d is constant \Rightarrow
```

 $|P \cap \mathbb{Z}^d|$  can be computed in polynomial time

Implementations are also available

- LattE (Project led by De Loera) http://www.math.ucdavis.edu/~latte/
- LattE macchiato (Köppe) http://www.math.ucdavis.edu/~mkoppe/latte/
- barvinok (Verdoolaege) http://www.kotnet.org/~skimo/barvinok/

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## More formally speaking...

 $\Omega = P \cap \mathbb{Z}^d$ 

# Theorem (Barvinok, Math of OR '94)

```
P is rational, d is constant \Rightarrow
```

The Ehrhart quasi-polynomial of P can be computed in poly time

So, in this course we look at

- lattice points in convex polyhedra
- Ehrhart (quasi-)polynomials
- and relationship with other subarea of mathematics

#### What's discrete volume?





 $\#\left(\mathcal{P}\cap \mathbb{Z}^{d}\right)$  $\mathsf{vol}\,\mathcal{P}$ 

## What's discrete volume?



$$\operatorname{vol} \mathcal{P} = \lim_{k o \infty} \# \left( \mathcal{P} \cap \frac{1}{k} \mathbb{Z}^d \right) \frac{1}{k^d}$$

## What's discrete volume?



$$\begin{array}{lll} \operatorname{vol} \mathcal{P} &=& \lim_{k \to \infty} \# \left( \mathcal{P} \cap \frac{1}{k} \mathbb{Z}^d \right) \frac{1}{k^d} \\ & \text{integration} & \text{counting} \\ & (\text{analysis}) & (\text{combinatorics}) \end{array}$$

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### Lattice-point counting in convex polytopes



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# Frobenius' coin-exchange problem Why use generating functions? Two coins Partial fractions and a surprising formula Sylvester's result Three and more coins

## 3 Concluding remarks

A generating function of a sequence

### Definition (Generating function)

Given a sequence  $\{a_k\}$ , define its generating function as

$$F(z) = \sum_{k \geq 0} \mathsf{a}_k z^k$$

F(z) is a power series, but let's forget about the convergence for the moment

- Generating functions are quite useful for many reasons
- Generating functions are main objects we deal with in this course

#### Example: Fibonacci sequence

## Definition (Fibonacci sequence)

The Fibonacci sequence  $\{f_k\}$  is defined as follows

- $f_0 = 0, f_1 = 1$
- $f_{k+2} = f_{k+1} + f_k$  for all  $k \ge 0$

The first few numbers in the sequence are:

0, 1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, 144, 233, 377, 610, 987

See http://www.research.att.com/~njas/sequences/

• Excellent source of integer sequences

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Discovery through the generating function

• F(z) the generating function for the Fibonacci sequence

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Discovery through the generating function

- F(z) the generating function for the Fibonacci sequence
- Then, by the recursion

$$\sum_{k\geq 0} f_{k+2} z^k$$

(1)

Discovery through the generating function

- F(z) the generating function for the Fibonacci sequence
- Then, by the recursion

$$\sum_{k\geq 0} f_{k+2} z^k = \sum_{k\geq 0} \left( f_{k+1} + f_k \right) z^k \tag{1}$$

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Discovery through the generating function

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- Then, by the recursion

$$\sum_{k\geq 0} f_{k+2} z^k = \sum_{k\geq 0} \left( f_{k+1} + f_k \right) z^k = \sum_{k\geq 0} f_{k+1} z^k + \sum_{k\geq 0} f_k z^k \quad (1)$$

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$$\sum_{k\geq 0} f_{k+2} z^k = \frac{1}{z^2} \sum_{k\geq 0} f_{k+2} z^{k+2}$$

Discovery through the generating function

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- Then, by the recursion

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$$\sum_{k\geq 0} f_{k+2} z^k = \frac{1}{z^2} \sum_{k\geq 0} f_{k+2} z^{k+2} = \frac{1}{z^2} \sum_{k\geq 2} f_k z^k$$

Discovery through the generating function

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$$\sum_{k\geq 0} f_{k+2} z^k = \frac{1}{z^2} \sum_{k\geq 0} f_{k+2} z^{k+2} = \frac{1}{z^2} \sum_{k\geq 2} f_k z^k = \frac{1}{z^2} (F(z) - z)$$

Discovery through the generating function

- F(z) the generating function for the Fibonacci sequence
- Then, by the recursion

$$\sum_{k\geq 0} f_{k+2} z^k = \sum_{k\geq 0} \left( f_{k+1} + f_k \right) z^k = \sum_{k\geq 0} f_{k+1} z^k + \sum_{k\geq 0} f_k z^k \quad (1)$$

• The LHS of (1) is

$$\sum_{k\geq 0} f_{k+2} z^k = \frac{1}{z^2} \sum_{k\geq 0} f_{k+2} z^{k+2} = \frac{1}{z^2} \sum_{k\geq 2} f_k z^k = \frac{1}{z^2} (F(z) - z)$$

$$\sum_{k\geq 0} f_{k+1} z^k + \sum_{k\geq 0} f_k z^k$$

Discovery through the generating function

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- Then, by the recursion

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$$\sum_{k\geq 0} f_{k+1} z^k + \sum_{k\geq 0} f_k z^k = \frac{1}{z} \sum_{k\geq 0} f_{k+1} z^{k+1} + \sum_{k\geq 0} f_k z^k$$

Discovery through the generating function

- F(z) the generating function for the Fibonacci sequence
- Then, by the recursion

$$\sum_{k\geq 0} f_{k+2} z^k = \sum_{k\geq 0} \left( f_{k+1} + f_k \right) z^k = \sum_{k\geq 0} f_{k+1} z^k + \sum_{k\geq 0} f_k z^k \quad (1)$$

• The LHS of (1) is

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$$\sum_{k\geq 0} f_{k+1} z^k + \sum_{k\geq 0} f_k z^k = \frac{1}{z} \sum_{k\geq 0} f_{k+1} z^{k+1} + \sum_{k\geq 0} f_k z^k = \frac{1}{z} F(z) + F(z)$$

•  $\therefore$  (1) is rewritten as

$$\frac{1}{z^2}(F(z)-z)=\frac{1}{z}F(z)+F(z)$$

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•  $\therefore$  (1) is rewritten as

$$\frac{1}{z^2}(F(z)-z)=\frac{1}{z}F(z)+F(z)$$

• Equivalently,

$$F(z)=\frac{z}{1-z-z^2}$$

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Discovery through the generating function (cont'd)

•  $\therefore$  (1) is rewritten as

$$\frac{1}{z^2}(F(z)-z)=\frac{1}{z}F(z)+F(z)$$

Frobenius' coin-exchange problem Why use generating functions?

• Equivalently,

$$F(z) = \frac{z}{1-z-z^2}$$

• A fun to check (by a computer)

$$\frac{z}{1-z-z^2} = z + z^2 + 2 z^3 + 3 z^4 + 5 z^5 + 8 z^6 + 13 z^7 + \cdots$$

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Discovery through the generating function (cont'd)

• A partial fraction expansion gives us

$$F(z) = \frac{z}{1 - z - z^2} = \frac{1/\sqrt{5}}{1 - \frac{1+\sqrt{5}}{2}z} - \frac{1/\sqrt{5}}{1 - \frac{1-\sqrt{5}}{2}z}$$
(2)

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(2)

• Remember the geometric series

$$\sum_{k\geq 0} x^k = \frac{1}{1-x}$$

(3)

• A partial fraction expansion gives us

$$F(z) = \frac{z}{1 - z - z^2} = \frac{1/\sqrt{5}}{1 - \frac{1+\sqrt{5}}{2}z} - \frac{1/\sqrt{5}}{1 - \frac{1-\sqrt{5}}{2}z}$$
(2)

• Remember the geometric series

$$\sum_{k\geq 0} x^k = \frac{1}{1-x} \tag{3}$$

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• Then, by setting  $x = \frac{1+\sqrt{5}}{2}z$  and  $x = \frac{1-\sqrt{5}}{2}z$  we have

$$F(z) = \frac{z}{1 - z - z^2}$$
  
=  $\frac{1}{\sqrt{5}} \sum_{k \ge 0} \left( \frac{1 + \sqrt{5}}{2} z \right)^k - \frac{1}{\sqrt{5}} \sum_{k \ge 0} \left( \frac{1 - \sqrt{5}}{2} z \right)^k$ 

• We have

$$F(z) = \frac{1}{\sqrt{5}} \sum_{k \ge 0} \left( \frac{1 + \sqrt{5}}{2} z \right)^k - \frac{1}{\sqrt{5}} \sum_{k \ge 0} \left( \frac{1 - \sqrt{5}}{2} z \right)^k$$

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Frobenius' coin-exchange problem Why use generating functions? Discovery through the generating function (cont'd)

• We have

$$F(z) = \frac{1}{\sqrt{5}} \sum_{k \ge 0} \left( \frac{1 + \sqrt{5}}{2} z \right)^k - \frac{1}{\sqrt{5}} \sum_{k \ge 0} \left( \frac{1 - \sqrt{5}}{2} z \right)^k$$
$$= \sum_{k \ge 0} \frac{1}{\sqrt{5}} \left( \left( \frac{1 + \sqrt{5}}{2} \right)^k - \left( \frac{1 - \sqrt{5}}{2} \right)^k \right) z^k$$

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Frobenius' coin-exchange problem Why use generating functions? Discovery through the generating function (cont'd)

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$$= \sum_{k \ge 0} \frac{1}{\sqrt{5}} \left( \left( \frac{1 + \sqrt{5}}{2} \right)^k - \left( \frac{1 - \sqrt{5}}{2} \right)^k \right) z^k$$

• Thus, we obtain a closed formula for the Fibonacci sequence

$$f_k = rac{1}{\sqrt{5}} \left(rac{1+\sqrt{5}}{2}
ight)^k - rac{1}{\sqrt{5}} \left(rac{1-\sqrt{5}}{2}
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Usefulness of a generating function

• It gives a closed formula of a sequence

$$f_k = rac{1}{\sqrt{5}} \left(rac{1+\sqrt{5}}{2}
ight)^k - rac{1}{\sqrt{5}} \left(rac{1-\sqrt{5}}{2}
ight)^k$$

• It gives a short description of a sequence as a rational function

$$F(z) = \frac{z}{1-z-z^2}$$

Partial fraction expansion (in case you've never heard of that)

Theorem 1.1 (Partial fraction expansion)

Given any rational function

$$F(z):=\frac{p(z)}{\prod_{k=1}^m (z-a_k)^{e_k}},$$

where p is a polynomial of degree less than  $e_1 + e_2 + \cdots + e_m$  and the  $a_k$ 's are distinct, there exists a decomposition

$$F(z) = \sum_{k=1}^{m} \left( \frac{c_{k,1}}{z-a_k} + \frac{c_{k,2}}{(z-a_k)^2} + \cdots + \frac{c_{k,e_k}}{(z-a_k)^{e_k}} \right),$$

where  $c_{k,j} \in \mathbb{C}$  are unique.

Proof: Exercise 1.35

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### Introduction

## Frobenius' coin-exchange problem Why use generating functions? Two coins Partial fractions and a surprising formula Sylvester's result Three and more coins

### Occluding remarks

What if the new coin system is introduced

Imagine we only have 4-yen, 7-yen, 9-yen, and 34-yen coins Which price can be paid without making any change?

1	6	11	16	21
2	7	12	17	22
3	8	13	18	23
4	9	14	19	24
5	10	15	20	25

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Imagine we only have 4-yen, 7-yen, 9-yen, and 34-yen coins Which price can be paid without making any change?

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Exercise 1.20

The coins are coprime  $\Rightarrow$  Only finitely many prices cannot be paid

What if the new coin system is introduced

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Exercise 1.20

The coins are coprime  $\Rightarrow$  Only finitely many prices cannot be paid

Frobenius' coin-exchange problem, informally

Find the largest price that the coin system cannot allow us to pay

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Two coins

### Frobenius' coin-exchange problem

 $A = \{a_1, a_2, \dots, a_d\}$  a set of coprime positive integers

Definition (Representable integer)

An integer *n* is representable by A if  $\exists$  non-negative integers

 $m_1, m_2, \ldots, m_d$  s.t.  $n = m_1 a_1 + \cdots + m_d a_d$ 

## Definition (Frobenius number)

 $g(A) = \max$  number that's not representable by A

# Frobenius' coin-exchange problem Determine g(A)

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#### Two coins

When d = 2 the situation is well studied

Theorem 1.2

$$a_1, a_2$$
 coprime  $\Rightarrow g(a_1, a_2) = a_1a_2 - a_1 - a_2$ 

- Quite simple
- But such a simple formula cannot be expected for larger d

We prove it in the next subsection

#### Sylvester's theorem

More is known when d = 2Theorem 1.3 (Sylvester's theorem, 1884) 1)( - )

$$a_1, a_2 \text{ coprime} \Rightarrow \begin{array}{c} \text{exactly } \frac{(a_1 - 1)(a_2 - 1)}{2} \text{ integers are not} \\ \text{representable} \end{array}$$

Example: 
$$a_1 = 3, a_2 = 7 ( \rightsquigarrow a_1 a_2 - a_1 - a_2 = 11 )$$

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A tool: restricted partition function

 $A = \{a_1, \ldots, a_d\}$  a set of coprime positive integer

Definition (Restricted partition function)

$$p_A(n) := \# \left\{ (m_1, \ldots, m_d) \in \mathbb{Z}^d : \begin{array}{l} \text{all } m_j \geq 0, \\ m_1 a_1 + \cdots + m_d a_d = n \end{array} \right\}$$

#### In words

$$p_A(n) = \#$$
 representations of  $n$  by  $A$ 

#### Note

$$g(A) = \max\{n \mid p_A(n) = 0\}$$

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Two coins

Restricted partition functions and polytopes

#### Definition (Dilate)

The *n*-th dilate of any set  $S \subseteq \mathbb{R}^d$  is

$$nS = \{(nx_1, nx_2, \ldots, nx_d) : (x_1, \ldots, x_d) \in S\}$$

If we define

$$\mathcal{P} = \left\{ (x_1, \dots, x_d) \in \mathbb{R}^d : \text{ all } x_j \ge 0, \ x_1 a_1 + \dots + x_d a_d = 1 
ight\}$$
 (4)

then we see that

- $\mathcal{P}$  is a polytope (defined in the next lecture), and
- $p_A(n) = \#(n\mathcal{P} \cap \mathbb{Z}^d)$

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## Schematic geometric picture for three coins



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#### Geometric picture for two coins

$$A = \{4, 7\}$$
, the lines  $4x + 7y = n$ ,  $n = 1, 2, ...$ 



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## Introduction

# Frobenius' coin-exchange problem Why use generating functions? Two coins Partial fractions and a surprising formula Sylvester's result Three and more coins

# Occluding remarks

Concentrate on the case d = 2, so let  $A = \{a, b\}$  where a, b coprime

• Consider  $p_{\{a,b\}}(n) = \# \{(k,l) \in \mathbb{Z}^2 : k, l \ge 0, ak + bl = n\}$ 

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- Consider  $p_{\{a,b\}}(n) = \# \{(k,l) \in \mathbb{Z}^2 : k, l \ge 0, ak + bl = n\}$
- Consider the product of the following two geometric series:

$$\left(\frac{1}{1-z^a}\right)\left(\frac{1}{1-z^b}\right)$$

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$$\left(\frac{1}{1-z^{a}}\right)\left(\frac{1}{1-z^{b}}\right) = \left(1+z^{a}+z^{2a}+\cdots\right)\left(1+z^{b}+z^{2b}+\cdots\right)$$

- Consider  $p_{\{a,b\}}(n) = \# \{(k,l) \in \mathbb{Z}^2 : k, l \ge 0, ak + bl = n\}$
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$$\begin{pmatrix} 1\\ 1-z^a \end{pmatrix} \begin{pmatrix} 1\\ 1-z^b \end{pmatrix} = (1+z^a+z^{2a}+\cdots)(1+z^b+z^{2b}+\cdots)$$
$$= \sum_{k\geq 0} \sum_{l\geq 0} z^{ak} z^{bl}$$

- Consider  $p_{\{a,b\}}(n) = \# \{(k,l) \in \mathbb{Z}^2 : k, l \ge 0, ak + bl = n\}$
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• : this fn is the generating fn for the seq  $(p_{\{a,b\}}(n))_{n=0}^{\infty}$ 

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• ... this fn is the generating fn for the seq  $(p_{\{a,b\}}(n))_{n=0}^{\infty}$ The idea is to study the compact function on the LHS! Frobenius' coin-exchange problem Partial fractions and a surprising formula Looking at the constant term by shifting

More convenient if we can look at the constant term after shifting

• Namely,  $p_A(n)$  is the constant term of

$$f(z) := \frac{1}{(1-z^a)(1-z^b)z^n} = \sum_{k \ge 0} p_{\{a,b\}}(k) z^{k-n}$$

This is a Laurent series

Frobenius' coin-exchange problem Partial fractions and a surprising formula Looking at the constant term by shifting

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• To obtain  $p_A(n)$  we only need to "evaluate" f(z) at z = 0, but this is impossible since f(z) has terms with negative exponents

Frobenius' coin-exchange problem Partial fractions and a surprising formula Looking at the constant term by shifting

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This is a Laurent series

- To obtain  $p_A(n)$  we only need to "evaluate" f(z) at z = 0, but this is impossible since f(z) has terms with negative exponents
- We just need a contant term, so we subtract the terms with negative exponents from f(z) and evaluate it at z = 0
- (Or, we may use the residue theorem from complex analysis)

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After a few minutes of computation...

#### We get

$$p_{\{a,b\}}(n) = \frac{1}{2a} + \frac{1}{2b} + \frac{n}{ab} + \frac{1}{a} \sum_{k=1}^{a-1} \frac{1}{(1-\xi_a^{kb})\xi_a^{kn}} + \frac{1}{b} \sum_{j=1}^{b-1} \frac{1}{(1-\xi_b^{ja})\xi_b^{jn}}$$
(7)

#### where

$$\xi_a := e^{2\pi i/a} = \cos\frac{2\pi}{a} + i\sin\frac{2\pi}{a}$$

is the *a*-th root of unity

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is the *a*-th root of unity

• Let's make it simpler and more understandable

Greatest-integer functions and fractional-part functions

Let  $x \in \mathbb{R}$ 

Definition (Greatest-integer function)

 $\lfloor x \rfloor = \max\{n \in \mathbb{Z} \mid n \le x\}$ 

Definition (Fractional-part function)

 $\{x\} = x - \lfloor x \rfloor$ 

Example:

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• When b = 1, the problem gets one-dimensional

$$p_{\{a,1\}}(n) = \# \{(k,l) \in \mathbb{Z}^2 : k, l \ge 0, ak + l = n\}$$

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= # { k \in \mathbb{Z} : 0 \le k \le \frac{n}{a} }

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$$p_{\{a,1\}}(n) = \# \{(k,l) \in \mathbb{Z}^2 : k, l \ge 0, ak+l=n\}$$
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• Therefore,

$$\frac{1}{2a} + \frac{1}{2} + \frac{n}{a} + \frac{1}{a} \sum_{k=1}^{a-1} \frac{1}{(1-\xi_a^k)\xi_a^{kn}} = \left\lfloor \frac{n}{a} \right\rfloor + 1$$

(8)

Image: Image:

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$$\frac{1}{a} \sum_{k=1}^{a-1} \frac{1}{(1 - \xi_a^k) \xi_a^{kn}} = -\left\{ \frac{n}{a} \right\} + \frac{1}{2} - \frac{1}{2a} \qquad (8)$$

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## Popoviciu's theorem

• Exercise 1.22

$$\frac{1}{a}\sum_{k=1}^{a-1}\frac{1}{(1-\xi_a^{bk})\xi_a^{kn}} = \frac{1}{a}\sum_{k=1}^{a-1}\frac{1}{(1-\xi_a^k)\xi_a^{b^{-1}kn}}$$
(9)

where  $b^{-1}$  is an integer s.t.  $b^{-1}b \equiv 1 \mod a$ 

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## Popoviciu's theorem

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• Therefore

$$\frac{1}{a} \sum_{k=1}^{a-1} \frac{1}{(1-\xi_a^{bk})\xi_a^{kn}} = -\left\{\frac{b^{-1}n}{a}\right\} + \frac{1}{2} - \frac{1}{2a}$$
(10)

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## Popoviciu's theorem

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(10)

• Combining this with (7) gives the following theorem

Theorem 1.5 (Popoviciu's theorem, 1953)  

$$a, b \text{ coprime} \Rightarrow \begin{array}{l} p_{\{a,b\}}(n) = \frac{n}{ab} - \left\{\frac{b^{-1}n}{a}\right\} - \left\{\frac{a^{-1}n}{b}\right\} + 1 \\ \text{where } b^{-1}b \equiv 1 \mod a, \ a^{-1}a \equiv 1 \mod b \end{array}$$

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Geometric picture for two coins, again

$$A = \{4, 7\}$$
, the lines  $4x + 7y = n$ ,  $n = 1, 2, ...$ 



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## Introduction

# Frobenius' coin-exchange problem Why use generating functions? Two coins Partial fractions and a surprising formula Sylvester's result Three and more coins

# Oncluding remarks

#### What we're going to do now

Prove Theorems 1.2 and 1.3 from Theorem 1.5

Theorem 1.2

$$a_1, a_2$$
 coprime  $\Rightarrow g(a_1, a_2) = a_1a_2 - a_1 - a_2$ 





## Lemma 1.6

$$a, b$$
 coprime,  $n \in [1, ab-1]$ ,  $a \not\mid n, b \not\mid n \Rightarrow$ 

$$p_{\{a,b\}}(n) + p_{\{a,b\}}(ab-n) = 1$$

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## Proof: Use Theorem 5

$$p_{\{a,b\}}(ab-n) = rac{ab-n}{ab} - \left\{rac{b^{-1}(ab-n)}{a}
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$$\stackrel{(\star)}{=} -\frac{n}{ab} + \left\{\frac{b^{-1}n}{a}\right\} + \left\{\frac{a^{-1}n}{b}\right\}$$

(\*) follows by 
$$\{-x\} = 1 - \{x\}$$
 for  $x \notin \mathbb{Z}$ 

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$$\stackrel{(\star)}{=} -\frac{n}{ab} + \left\{\frac{b^{-1}n}{a}\right\} + \left\{\frac{a^{-1}n}{b}\right\}$$
$$= 1 - p_{\{a,b\}}(n)$$
$$(\star) \text{ follows by } \{-x\} = 1 - \{x\} \text{ for } x \notin \mathbb{Z}$$

## It suffices to prove the following two

1 
$$p_{\{a,b\}}(ab-a-b) = 0$$
 (Exer 1.24 and Lem 1.6  
2  $p_{\{a,b\}}(ab-a-b+n) > 0$  for all  $n > 0$ 

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# Proof of (2):

• Note 
$$\left\{ \frac{m}{a} \right\} \le 1 - \frac{1}{a}$$
 for all  $m \in \mathbb{Z}$ 

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ight) - \left(1-rac{1}{b}
ight) + 1$$
 $= rac{n}{ab} > 0 \quad \Box$
• Non-representable numbers all in [1, *ab*-1] (by Thm 1.2)

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- Non-representable numbers all in [1, *ab*-1] (by Thm 1.2)
- $a|n \text{ or } b|n \Rightarrow n \text{ representable}$

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- Non-representable numbers all in [1, *ab*-1] (by Thm 1.2)
- $a|n \text{ or } b|n \Rightarrow n \text{ representable}$
- Otherwise, exactly one of n and ab-n is representable (Lem 1.6)

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- Non-representable numbers all in [1, *ab*-1] (by Thm 1.2)
- $a|n \text{ or } b|n \Rightarrow n \text{ representable}$
- Otherwise, exactly one of n and ab-n is representable (Lem 1.6)

# non-representable numbers = 
$$\frac{(ab-1) - (b-1) - (a-1) + 0}{2}$$

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- Non-representable numbers all in [1, *ab*-1] (by Thm 1.2)
- $a|n \text{ or } b|n \Rightarrow n \text{ representable}$
- Otherwise, exactly one of n and ab-n is representable (Lem 1.6)

# non-representable numbers = 
$$\frac{(ab-1) - (b-1) - (a-1) + 0}{2}$$
$$= \frac{(a-1)(b-1)}{2} \quad \Box$$

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### Introduction

# Frobenius' coin-exchange problem Why use generating functions? Two coins Partial fractions and a surprising formula Sylvester's result Three and more coins

# Occluding remarks

### Three coins

a, b, c coprime (Reminder:  $\xi_b = e^{2\pi i/b}$ )

$$p_{\{a,b,c\}}(n) = \frac{n^2}{2abc} + \frac{n}{2} \left( \frac{1}{ab} + \frac{1}{ac} + \frac{1}{bc} \right) \\ + \frac{1}{12} \left( \frac{3}{a} + \frac{3}{b} + \frac{3}{c} + \frac{a}{bc} + \frac{b}{ac} + \frac{c}{ab} \right) \\ + \frac{1}{a} \sum_{k=1}^{a-1} \frac{1}{(1 - \xi_a^{kb})(1 - \xi_a^{kc})\xi_a^{kn}} \\ + \frac{1}{b} \sum_{k=1}^{b-1} \frac{1}{(1 - \xi_b^{kc})(1 - \xi_b^{ka})\xi_b^{kn}} \\ + \frac{1}{c} \sum_{k=1}^{c-1} \frac{1}{(1 - \xi_c^{kc})(1 - \xi_c^{kb})\xi_c^{kn}}$$

Y. Okamoto (Tokyo Tech)

DMCS'09 (1)

### Fourier-Dedekind sums

Reminder: 
$$\xi_b = e^{2\pi i/b}$$

Definition (Fourier–Dedekind sum)

$$s_n(a_1, a_2, \dots, a_m; b) := \frac{1}{b} \sum_{k=1}^{b-1} \frac{\xi_b^{kn}}{\left(1 - \xi_b^{ka_1}\right) \left(1 - \xi_b^{ka_2}\right) \cdots \left(1 - \xi_b^{ka_m}\right)}$$
(13)

- Generalizes Dedekind sums (defined in Chapter 7)
- Studied thoroughly (in Chapter 8)

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#### Three coins, rewritten

# a, b, c coprime

$$p_{\{a,b,c\}}(n) = \frac{n^2}{2abc} + \frac{n}{2}\left(\frac{1}{ab} + \frac{1}{ac} + \frac{1}{bc}\right) \\ + \frac{1}{12}\left(\frac{3}{a} + \frac{3}{b} + \frac{3}{c} + \frac{a}{bc} + \frac{b}{ac} + \frac{c}{ab}\right) \\ + s_{-n}(b,c;a) + s_{-n}(a,c;b) + s_{-n}(a,b;c)$$

For the derivation, and the extension to more coins, see the textbook

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# Two coins, revisited

# Eq. (7) for two coins

$$p_{\{a,b\}}(n) = \frac{1}{2a} + \frac{1}{2b} + \frac{n}{ab} + \frac{1}{a} \sum_{k=1}^{a-1} \frac{1}{(1 - \xi_a^{kb})\xi_a^{kn}} + \frac{1}{b} \sum_{j=1}^{b-1} \frac{1}{(1 - \xi_b^{ja})\xi_b^{jn}} = \frac{1}{2a} + \frac{1}{2b} + \frac{n}{ab} + s_{-n}(b;a) + s_{-n}(a;b)$$

# Introduction

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# Oncluding remarks

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- Frobenius' coin-exchange problem to see the relation of
  - Combinatorics (generating functions)
  - Geometry (convex polytopes)
  - Number theory (Dedekind sums)
- Lots of problems still remain unsolved

<u>Literature</u>

• J.L. Ramírez-Alfonsín. *The Diophantine Frobenius Problem*. Oxford University Press, 2006.